

Statistical bulletin

Coronavirus (COVID-19) Infection Survey pilot: England and Wales, 21 August 2020

Results include estimates for England and initial results for Wales. This survey is carried out in partnership with IQVIA, Oxford University and UK Biocentre.

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1. Main points

- An estimated 24,600 people (95% credible interval: 16,900 to 33,800) within the community population in England had the coronavirus (COVID-19) during the most recent week, from 7 to 13 August 2020, equating to around 1 in 2,200 individuals.
- There is some evidence of a small increase in the percentage of people testing positive for COVID-19 in July, following a low point in June, but this appears to have now levelled off.
- There is no evidence from this survey to say that there is a difference in COVID-19 infection rates between regions in England.
- During the most recent week (7 to 13 August 2020), we estimate there were around 0.44 (95% credible interval: 0.22 to 0.76) new COVID-19 infections for every 10,000 people per day in the community population in England, equating to around 2,400 new cases per day (95% credible interval: 1,200 to 4,200).
- There is not enough evidence to say at this point that there has been a fall in incidence in the most recent week, therefore we continue to report that the incidence rate for England has levelled off.
- During the most recent week (7 to 13 August 2020), we estimate that 1,300 people in Wales had COVID-19 (95% credible interval: 400 to 2,900), which is around 1 in 2,400 people.

In this bulletin, we refer to the number of current COVID-19 infections within the community population; community in this instance refers to private residential households and it excludes those in hospitals, care homes or other institutional settings.

We use current COVID-19 infections to mean testing positive for SARS-CoV-2, with or without having symptoms, on a swab taken from the nose and throat.

All analysis was produced with our research partners at the University of Oxford.

How the data in this bulletin can be used

The data can be used for:

- estimating the number of current positive cases in the community, including cases where people do not report having any symptoms
- identifying differences in numbers of positive cases between different regions
- estimating the number of new cases and change over time in positive cases

The data cannot be used for:

- measuring the number of cases and infections in care homes, hospitals and other institutional settings
- estimating the number of positive cases and new infections in smaller geographies, such as towns and cities
- providing information about recovery time of those infected

2. Number of people in England who had COVID-19

During the most recent week of the study ¹, we estimate that 24,600 people in England had the coronavirus (COVID-19) (95% credible interval: 16,900 to 33,800) ². This equates to 0.05% (95% credible interval: 0.03% to 0.06%) of the population in England or around 1 in 2,200 people (95% credible interval: 1 in 3,200 to 1 in 1,600). This is based on statistical modelling of the trend in rates of positive nose and throat swab results.

Figure 1 presents estimates of infection rates over time. While the percentage of individuals testing positive for COVID-19 has decreased since the start of the study (26 April 2020), the estimates suggest there was a small increase in July since the lowest recorded estimate, which was at the end of June. This trend appears to have now levelled off.

The modelled estimates for the latest six-week period are based on 135,808 swab tests collected over this period. During these weeks, 63 individuals from 61 households tested positive.

To provide stability in estimates, we advise using estimates we published in previous bulletins as these are our official estimates of the rate and spread of COVID-19 infections in the community in England. Both these and the modelled estimates are presented in Figure 1 and are used to interpret change over time.

As this is a household survey, our figures do not include people staying in hospitals, care homes or other institutional settings. In these settings, rates of COVID-19 infection are likely to be different. More information about rates of COVID-19 in care homes can be found in Impact of coronavirus in care homes in England: 26 May to 19 June 2020.

Figure 1: There is some evidence of a small increase in people testing positive for COVID-19 in July 2020 after a low point in June, which appears to have now levelled off

Estimated percentage of the population in England testing positive on nose and throat swabs for the coronavirus (COVID-19) daily since 3 July 2020

Notes:

- 1. These results are provisional and subject to revision.
- The break distinguishes between the latest six-week estimates and the earlier periods, which were modelled on 22 July. Using data from only the most recent six weeks in the model enables us to continue to provide timely results.
- 3. All estimates are subject to uncertainty, given that a sample is only part of the wider population. The model used to provide these estimates is a Bayesian model: these provide 95% credible intervals. A credible interval gives an indication of the uncertainty of an estimate from data analysis. 95% credible intervals are calculated so that there is a 95% probability of the true value lying in the interval.
- 4. Initial official reported estimates covering the full study period to date are not included because the same time periods are covered in the fortnightly weighted estimates.
- 5. Official reported estimates between 27 April and 5 July are based on average fortnightly weighted estimates reported each week and are plotted at the midpoint (Sunday) of each fortnightly period.
- 6. Official reported estimates from 6 July onwards are based on the mid-point (Thursday) modelled estimate of the given week. In the most recent week (7 to 13 August) the mid-point is a Monday.

Download the data

.xlsx

We also present the estimates in non-overlapping 14-day periods in the <u>dataset</u> that accompanies this bulletin. These 14-day estimates are provided for context. While the <u>confidence intervals</u> for these estimates are overlapping, they show a similar trend to the modelled estimates in Figure 1: that the percentage of people testing positive for COVID-19 had increased in July and appears to have now levelled off.

Information about how the modelled and 14-day non-overlapping estimates are calculated can be found in our methods article.

More about coronavirus

- Find the latest on coronavirus (COVID-19) in the UK.
- All ONS analysis, summarised in our <u>coronavirus roundup</u>.
- View all coronavirus data.
- Find out how we are working safely in our studies and surveys.

Notes for: Number of people in England who had COVID-19

- 1. As a result of delays in processing tests for the infection survey at the UK Biocentre in Milton Keynes due to unforeseen changes in testing priorities, we are unable to produce reliable estimates for visits after 13 August. This particularly affect visits in the last few days therefore the model for those testing positive does not include cases after the 13 August. We are working with Milton Keynes and other testing labs to ensure we have capacity to analyse more results as we look to expand the survey in the future.
- 2. This is based on model estimates from the reference point of the most recent week (7 to 13 August), Monday 10 August

3 . Regional analysis of the number of people in England who had COVID-19

In the data used to produce these estimates, the number of people sampled in each region who tested positive for the coronavirus (COVID-19) is low relative to England overall. This means there is a higher degree of uncertainty in the regional estimates for this period, as indicated by larger credible intervals.

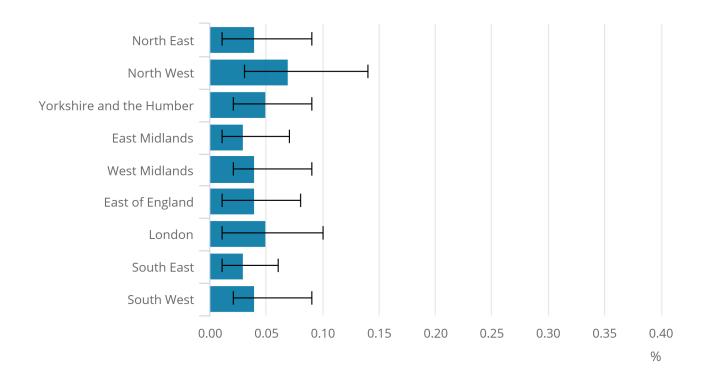
During the most recent week of the study (7 to 13 August 2020), there was no evidence from this survey that there were differences in infection rates by regions. This is based on statistical modelling of nose and throat swab test results.

Figure 2: There is no evidence that infection rates differ by region

Estimated percentage of the population testing positive for the coronavirus (COVID-19) across regions, England, 10 August 2020 (reference point of the most recent week from modelling)

Figure 2: There is no evidence that infection rates differ by region

Estimated percentage of the population testing positive for the coronavirus (COVID-19) across regions, England, 10 August 2020 (reference point of the most recent week from modelling)



Source: Office for National Statistics - Coronavirus (COVID-19) Infection Survey

Notes:

- 1. All results are provisional and subject to revision.
- 2. These statistics refer to infections reported in the community, by which we mean private households. These figures exclude infections reported in hospitals, care homes and/or other institutional settings.

Looking at trends over time, from this survey there is no clear evidence to say that COVID-19 infection rates have changed over the most recent six-week period in any region. The percentage of people testing positive by region was calculated using a similar modelling approach to the national daily estimates in Section 2: Number of people in England who had COVID-19.

The analysis is conducted over a six-week period, which means specific positive cases move into and then out of the sample. This causes variability between estimates over time, which is expected given the lower number of positive tests within each region, compared with England as a whole.

Figure 3: There is high uncertainty within regional estimates

Estimated percentage of the population testing positive for the coronavirus (COVID-19) on nose and throat swabs daily by region since 3 July 2020, England

Notes:

- 1. All results are provisional and subject to revision.
- 2. These statistics refer to infections reported in the community, by which we mean private households. These figures exclude infections reported in hospitals, care homes and/or other institutional settings.

Download the data

.xlsx

4. Incidence rate in England

Based on statistical modelling (7 to 13 August)¹, we estimate that there were 0.44 new infections per 10,000 people per day (95% credible interval: 0.22 to 0.76)². This equates to 2,400 new infections per day (95% credible interval: 1,200 to 4,200).

The official estimate for the most recent week is lower than the previous week. However, our modelling suggests that there is not enough evidence to say at this point there has been a fall in incidence in the most recent week, as the credible intervals continue to overlap. Therefore, we continue to report that the incidence rate for England has levelled off.

The modelling used to calculate the incidence rate is a Bayesian model that is based on the same approach used for estimating the positivity rates in this bulletin. The model uses all swab test results to estimate the incidence rate of new infections for each different type of respondent (by age, sex and region) who tested negative when they first joined the study. It is made to be representative of the overall population using population data. More information on the methodology of this approach is available.

We are continually refining the way we estimate incidence and continue to present the absolute numbers for transparency in the <u>reference tables that accompany this bulletin</u>. As it takes time to process the swab tests, the amount of information available at the end of the time period decreases relative to the number of tests available in earlier periods. The increased uncertainty at the end of the time period is indicated by wider credible intervals.

Figure 4: The incidence rate for England has levelled off

Estimated numbers of new infections with the coronavirus (COVID-19), England, based on tests conducted daily since 11 May 2020

Notes:

- 1. All results are provisional and subject to revision.
- 2. Credible intervals are large at both ends of the plot because there is less information available. At the end, although we know that individuals have been visited, there is a short delay in getting the associated swab results. The model does not include people when their next swab result is not known, so the sample size for the most recent days is smaller, resulting in wider credible intervals. At the start, there were fewer people in the study.
- 3. This model does not control for household clustering, where multiple new cases derive from the same household.
- 4. Initial unweighted estimates covering the full study period to date are not included.
- 5. Official reported estimates between 8 June and 5 July are based on average fortnightly weighted estimates reported each week and are plotted at the midpoint (Sunday) of each fortnightly period.
- 6. Official reported estimates from 6 July to 2 August are based on the midpoint (Thursday) modelled estimate of the given week.
- 7. Official reported estimates from 3 August onwards are based on the reference point (Monday) modelled estimate of the given week.
- 8. Modelled estimates include additional swab test results not available when the official reported estimates were produced.

Download the data

.xlsx

For context, we also present the incidence rate in non-overlapping 14-day periods, which are available in the dataset that accompanies this bulletin.

The incidence rates for households, which controls for any household clustering in new infections, follow a similar trend as for individuals. These are based on 14-day non-overlapping period estimates. The household incidence rates can be found in the dataset.

The incidence rate measures the occurrence of new cases of the coronavirus (COVID-19), and the calculation of this is defined in <u>Section 9</u>: <u>Glossary</u>. The incidence rate is not the same as the reproduction rate (R), which is the average number of secondary infections produced by one infected person.

To calculate the estimated average number of people becoming newly infected per day, we multiply the daily incidence rate by the community population (see Coverage in <u>Section 10: Measuring the data</u>). We use the unrounded incidence rate to do this, so results will differ if calculated using the rounded estimates from the dataset.

Notes for: Incidence rate in England

- 1. As a result of delays in processing tests for the infection survey at the UK Biocentre in Milton Keynes, owing to unforeseen changes in testing priorities, we are unable to produce reliable estimates for visits after 13 August. This particularly affects visits in the last few days; therefore, the model for incidence includes data up to 13 August. However, individuals who tested negative on a test between 14 and 16 August are included as negative up to 13 August. We are working with Milton Keynes and other testing labs to ensure we have capacity to analyse more results as we look to expand the survey in the future.
- 2. This is based on model estimates from the reference point of the most recent week (7 to 13 August), Monday 10 August.

5. Number of people in Wales who had COVID-19

Survey fieldwork in Wales began on 29 June 2020, and we now have enough data to produce modelled estimates. During the most recent week of the study ¹, we estimate that 1,300 people in Wales had the coronavirus (COVID-19) (95% credible interval: 400 to 2,900). This equates to 0.04% (95% credible interval: 0.01% to 0.10%) of the population in Wales or around 1 in 2,400 people (95% credible interval: 1 in 8,600 to 1 in 1,000). This is based on exploratory modelling of throat and nose swab results. In Wales, the sample size was 6,002 tests and there were fewer than three positive swab tests.

The Welsh Government also publish results from this survey that describe COVID-19 infections in Wales in English and in Welsh.

The survey has also begun in Northern Ireland, and we will publish estimates for Northern Ireland when we have a sufficiently large sample. We are also working with authorities to set up the survey in Scotland.

Notes for: Number of people in Wales who had COVID-19

1. This is based on model estimates from the reference point of the most recent week (7 to 13 August), Monday 10 August.

6. Test sensitivity and specificity

The estimates provided in <u>Section 2: Number of people in England who had COVID-19</u> are for the percentage of the private-residential population testing positive for the coronavirus (COVID-19), otherwise known as the positivity rate. We do not report the prevalence rate. To calculate the prevalence rate, we would need an accurate understanding of the swab test's sensitivity (true-positive rate) and specificity (true-negative rate).

While we do not know the true sensitivity and specificity of the test, as COVID-19 is a new virus, our data and related studies provide an indication of what these are likely to be. To understand the potential impact of false-positives and false-negatives, we have estimated what prevalence would be in two scenarios using different test sensitivity and the same specificity rates. The results of these scenarios show that when these estimated sensitivity and specificity rates are taken into account, the prevalence rate would be similar to the main estimate presented in Section 2: Number of people in England who had COVID-19.

For this reason, we do not produce prevalence estimates for every analysis, but we will continue to monitor the impacts of sensitivity and specificity in future.

You can find more information on sensitivity and specificity in a <u>paper written by the Office for National Statistics'</u> (ONS') academic partners and in our <u>methods article</u>.

7. COVID-19 Infection Survey data

Coronavirus (COVID-19) Infection Survey

Dataset | Released 21 August 2020

Latest findings from the pilot phase of the Coronavirus (COVID-19) Infection Survey.

8. Collaboration



The Coronavirus (COVID-19) Infection Survey analysis was produced by the Office for National Statistics (ONS) in collaboration with our research partners at the University of Oxford, the University of Manchester, Public Health England (PHE) and Wellcome Trust. Of particular note are:

- Sarah Walker University of Oxford, Nuffield Department for Medicine: Professor of Medical Statistics and Epidemiology and Study Chief Investigator
- Koen Pouwels University of Oxford, Health Economics Research Centre, Nuffield Department of Population Health: Senior Researcher in Biostatistics and Health Economics
- Thomas House University of Manchester, Department of Mathematics: Reader in mathematical statistics

9. Glossary

Community

In this bulletin, we refer to the number of coronavirus (COVID-19) infections within the community. Community in this instance refers to private households, and it excludes those in hospitals, care homes or other institutional settings.

Confidence interval

A confidence interval gives an indication of the degree of uncertainty of an estimate, showing the precision of a sample estimate. The 95% confidence intervals are calculated so that if we repeated the study many times, 95% of the time the true unknown value would lie between the lower and upper confidence limits. A wider interval indicates more uncertainty in the estimate. For more information, see our methodology page on statistical uncertainty.

Credible interval

A credible interval gives an indication of the uncertainty of an estimate from data analysis. 95% credible intervals are calculated so that there is a 95% probability of the true value lying in the interval.

False-positives and false-negatives

A false-positive result occurs when the tests suggest an individual has COVID-19 when in fact they do not. By contrast, a false-negative result occurs when the tests suggest an individual does not have COVID-19 when in fact they do. For more information on false-positives and false-negatives, see our <u>methods article</u>.

Incidence rate

The incidence rate is an estimate of how often new cases of COVID-19 occur over a given period of time. In our study, it is calculated by dividing the number of times an individual has a positive test for the first time in the study, having first tested negative, by the total time everyone is in the study. We include the time people are in the study between successive negative tests for those who never have a positive test and the time up to halfway between their last negative and first positive test for those that have a positive test. This reflects the fact that we do not actually know when a person first becomes positive, only when we tested them. Individuals who are positive when they join the study are not included in this calculation.

10. Measuring the data

Data presented in this bulletin come from the Coronavirus (COVID-19) Infection Survey, which looks to identify the percentage of the population testing positive for COVID-19 and whether they have symptoms or not. The survey helps track the current extent of infection and transmission of COVID-19 among the population as a whole.

This section of the bulletin provides a short summary of the study data and data collection methods. <u>Our methodology article</u> provides further information around the survey design, how we process data and how data are analysed. The <u>study protocol</u> specifies the research for the study.

Response rates

The current number of households invited to participate in the survey in England is 90,146, of which 32,676 have enrolled. In responding households, there are 69,391 eligible individuals. Response rates for England are found in Table 4 of the <u>reference tables</u> that accompany this bulletin, and initial response rates for Wales are in Table 6. The response rates cannot be regarded as final response rates to the survey since those who are invited are not given a time limit in which to respond.

Coverage

Survey fieldwork for the pilot study began in England on 26 April 2020. Survey fieldwork in Wales began on 29 June, and since 7 August we have reported headline figures for Wales. The survey has also begun in Northern Ireland, and we will publish estimates for Northern Ireland when we have a sufficiently large sample. We are working with authorities to set up the survey in Scotland.

Only private residential households, otherwise known as the target population in this bulletin, are included in the sample. People in hospitals, care homes and other institutional settings are not included.

The overall target population for England used in this study is 54,628,600. The overall target population for Wales used in the study is 3,057,800.

Analysing the data

All estimates presented in this bulletin are provisional results. As swabs are not necessarily analysed in date order by the laboratory, we have not yet received test results for all swabs taken on the dates included in this analysis. Estimates may therefore be revised as more test results are included.

This is a pilot study where the analysis is developed at pace, and these quality enhancements may lead to minor changes in estimates, for example, the positive test counts across the study period.

Other studies

This study is one of a number of studies that look to provide information around the coronavirus pandemic within the UK.

Department of Health and Social Care (DHSC) data, England

Public Health England (PHE) present data on the total number of laboratory-confirmed cases in England, which capture the cumulative number of people in England who have tested positive for COVID-19. Equivalent data for Wales, Scotland and Northern Ireland are also available. These statistics present all known cases of COVID-19, both current and historical. The large sample size means it is possible to present known cases at local authority level.

The NHS <u>Test and Trace scheme</u> was launched on 28 May. The Test and Trace service ensures that anyone who develops symptoms of COVID-19 can quickly be tested to find out if they have the virus. It includes targeted asymptomatic testing of NHS and social care staff and care home residents. Additionally, it helps trace close recent contacts of anyone who tests positive for COVID-19 and, if necessary, notify them that they must self-isolate.

In comparison with PHE data and NHS Test and Trace data, the statistics presented in this bulletin take a representative sample of the community population (those in private residential households) in England, including people who are not otherwise prioritised for testing. This means that we can estimate the number of people in the community population in England with COVID-19 who do not report symptoms. This is something that is currently missing from PHE and Test and Trace data.

COVID Symptom Study (ZOE app and King's College London), UK

The COVID Symptom Study app allows users to log their health each day, including whether or not they have symptoms of COVID-19. The study aims to predict which combination of symptoms indicate that someone is likely to test positive for COVID-19. The app was developed by the health science company ZOE with data analysis conducted by King's College London. Anyone over the age of 18 years can download the app and take part in the study. Respondents can report symptoms of children.

The study estimates the total number of people with symptomatic COVID-19 and the daily number of new cases of COVID-19 based on app data and swab tests taken in conjunction with the Department of Health and Social Care (DHSC). The study investigates the "predictive power of symptoms", and so the data do not capture people who are infected with COVID-19 but who do not display symptoms.

Unlike the data presented in this bulletin, the COVID Symptom Study is not a representative sample of the population. It is reliant on app users and so captures only some cases in hospitals, care homes and other communities where few people use the app. To account for this, the model adjusts for age and deprivation when producing UK estimates. The larger sample size allows for <u>detailed geographic breakdown</u>.

Real-time Assessment of Community Transmission-1 and -2 (REACT-1 and -2), England

Like our study, the Real-time Assessment of Community Transmission-1 (REACT-1) survey involves taking swab samples to test for COVID-19 antigens to estimate the prevalence and transmission of the virus that causes COVID-19 in the community. The study currently involves around 120,000 participants aged 5 years and above, selected from a random cross-section sample of the general public from GP registration data, which allows for more detailed geographic breakdowns of infection rates than are currently possible within our study. Trends in infection by characteristics, such as age, sex, ethnicity, symptoms and key worker status, are also possible through the study. The REACT-2 study uses a finger prick test to generate data for antibody analysis.

One of the main differences from our COVID-19 Infection Survey is that the REACT surveys do not require follow-up visits, as the study is interested primarily in prevalence at a given time point. Consequently, the incidence rate cannot be calculated from the REACT studies. It is also important to note that blood samples in the REACT-2 study are self-administered, rather than taken by a trained nurse, phlebotomist or healthcare assistant.

Other antibody estimates

PHE also publish an estimate of the <u>prevalence of antibodies in the blood</u> in England using blood samples from healthy adult blood donors. PHE provide estimates by region and currently do not scale up to England. Estimates in this bulletin and those published by PHE are based on different tests; PHE estimates are based on testing using the Euroimmun assay method, while blood samples in our survey are tested for antibodies by research staff at the University of Oxford using a novel ELISA. For more information about the antibody test used in this bulletin, see the <u>COVID-19 Infection Survey protocol</u>.

In addition, the REACT study, led by Imperial College London, uses antibody finger-prick tests to track past infections and monitor the progress of the pandemic, and the <u>estimates have been published</u>. Estimates in this bulletin and the REACT study use different tests and different methods, for example, the REACT estimates are based on self-administered and self-read finger prick tests, whereas tests in this survey are carried out by a trained nurse, phlebotomist or healthcare assistant.

Next steps

This edition of the bulletin presents headline analysis of the overall number of people infected with COVID-19, the regional positivity rate, the incidence rate and antibodies. We provide headline figures once a week, to give regular, concise and high-quality information on COVID-19 within the community.

Our recent release, <u>Coronavirus infections in the community in England</u>, offers more detailed analysis, which includes further exploration of the characteristics of those with COVID-19, such as age, sex, ethnicity, working location and occupation.

We are significantly expanding the infection survey to 400,000 people in England, making it the UK's largest study tracking COVID-19 in the general population. We have begun this expansion by increasing the sample size in local authorities of interest in the North West, Yorkshire and The Humber, and London. For more information, please see the Office for National Statistics (ONS) expansion press notice, released on 21 August 2020.

11 . Strengths and limitations

These statistics have been produced quickly in response to developing world events. The Office for Statistics Regulation, on behalf of the UK Statistics Authority, has <u>reviewed them</u> against several important aspects of the <u>Code of Practice for Statistics</u> and regards them as consistent with the Code's pillars of <u>trustworthiness</u>, <u>quality</u> and <u>value</u>.

The estimates presented in this bulletin contain <u>uncertainty</u>. There are many sources of uncertainty, including uncertainty in the test, in the estimates and in the quality of data collected in the questionnaire. Information on the main sources of uncertainty are presented in <u>our methodology article</u>.

12. Related links

COVID-19 Infection Survey (Pilot): methods and further information

Methodology article | Updated 23 July 2020

Information on the methods used to collect the data, process it, and calculate the statistics produced from the Coronavirus (COVID-19) Infection Survey (pilot).

Coronavirus (COVID-19) Infection Survey: characteristics of people testing positive for COVID-19 in England, August 2020

Article | Updated monthly

Analysis on the latest data about the characteristics of those who test positive for COVID-19 in England, from the COVID-19 Infection Survey.

Coronavirus (COVID-19) latest data and analysis

Web page | Updated as and when data become available

Latest data and analysis on the coronavirus in the UK and its effect on the economy and society.

Coronavirus (COVID-19) roundup

Article | Updated as and when data become available

Catch up on the latest data and analysis related to the coronavirus pandemic and its impact on our economy and society.

Deaths registered weekly in England and Wales, provisional

Bulletin | Updated weekly

Provisional counts of the number of deaths registered in England and Wales, including deaths involving COVID-19, by age, sex and region, in the latest weeks for which data are available.

New survey results provide first snapshot of the current number of COVID-19 infections in England

Blog | Released 14 May 2020

A large study jointly led by the Office for National Statistics (ONS), in partnership with the Universities of Oxford and Manchester, Public Health England (PHE), and Wellcome Trust, is tracking infections within a representative sample of people of all ages across England. This blog explains what these mean, why they are important and how to compare this survey with other COVID-19 estimates.

COVID-19 Infection Survey

Article | Updated 14 May 2020

Whether you have been invited to take part, or are just curious, find out more about our COVID-19 Infection Survey and what is involved.