



# Crime Survey for England and Wales Technical Report 2015/16

Volume One



TNS BMRB

TNS

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## 1. Background

## 1.1 Introduction to the Crime Survey for England and Wales

The Crime Survey for England and Wales (CSEW) is a well-established study and one of the largest social research surveys conducted in England and Wales. The survey was first conducted in 1982 and ran at roughly two yearly intervals until 2001, when it became a continuous survey<sup>1</sup>. Prior to April 2012 the survey was known as the British Crime Survey and conducted on behalf of the Home Office. From April 2012 responsibility for the survey transferred to the Office for National Statistics and became known as the Crime Survey for England and Wales (CSEW). Since 2001, TNS BMRB (previously BMRB Social Research) has been the sole contractor for the survey.

Since the survey became continuous in 2001 there have been few significant changes to the design of the survey. Where changes have been incorporated these have been described in detail in the relevant technical reports. The most significant changes to the design of the survey have been:

- Increase of the core sample size from 37,000 to 46,000 to allow a target of at least 1,000 interviews in each PFA (2004-05 technical report)
- Changes to the clustering of sample for interview (2008-09 technical report)
- Removal of the requirement for an additional boost of 3,000 interviews with non-white respondents
- Removal of the requirement for an additional boost of 2,000 interviews with respondents aged 16 to 24
- Extension of the survey to cover young people aged 10 to 15 (2009-10 technical report)
- Reduction of the core sample size from 46,000 to 35,000 interviews (2012-13 technical report)
- Introduction of three year sampling approach (2012-13 technical report)
- Introduction of measures of fraud and cyber crime from October 2015

In 2012-13, the core sample size was reduced from the previous year, with approximately 35,000 interviews conducted with adults across the year compared with 46,000 interviews conducted in 2011-12. The survey was designed to achieve a minimum of around 650 core interviews in each PFA in England and Wales. The survey is also designed to interview a nationally representative sample of around 3,000 children aged 10 to 15. This is also a reduction from the previous year when the target was 4,000 child interviews per year.

In 2014-15 the response rate for the survey dropped from around 75% to around 70%. The impact of this fall in response was explored in a separate paper published by ONS in July 2015, 'Assessment of the impact of a lower response rate for CSEW'<sub>2</sub>.

The CSEW is primarily a survey of **victimisation** in which respondents are asked about the experiences of **crimes against the household** (e.g. burglary) and **personal crimes** (e.g. theft from a person) which they themselves have experienced. Since the move to continuous interviewing in 2001, the reference period for all interviews has related to the last 12 months before the date of interview. There

<sup>1</sup> Previous sweeps of the British Crime Surveys were carried out in 1982, 1984, 1988, 1992, 1994, 1996, 1998 and 2000.

<sup>&</sup>lt;sup>2</sup> http://www.ons.gov.uk/ons/guide-method/method-quality/specific/crime-statistics-methodology/methodologicalnotes/assessment-of-the-impact-of-a-lower-response-rate-for-csew---july-2015.pdf

have been changes to the design of the survey over time but the wording of the questions that are asked to elicit victimisation experiences have been held constant throughout the period of the survey. However, in 2015-16, for the first time since the first survey was conducted in 1982, an additional set of questions was added to these questions to measure fraud and cyber crime. A small wording change was also made at this time to one of the questions measuring experience of threatening behaviour.

Respondents are asked directly about their experience of crime, irrespective of whether or not they reported these incidents to the police. As such the CSEW provides a record of peoples' experiences of crime which is unaffected by variations in reporting behaviour of victims or variations in police practices of recording crime. The CSEW and police recorded figures should be seen as a complementary series, which together provide a better picture of crime than could be obtained from either series alone.

Crime statistics (including the CSEW and police recorded crime statistics) have recently been subject to a number of reviews:

- Overcoming Barriers to Trust in Crime Statistics: England and Wales, UK Statistics Authority, May 2010
- National Statistician's Review of Crime Statistics: England and Wales, June 2011
- UK Statistics Authority Assessment of Crime Statistics, January 2014
- Public Administration Select Committee inquiry, April 2014
- Inspection of Crime Data Integrity by Her Majesty's Inspectorate of Constabulary, October 2014

Following crime statistics reviews and feasibility work (Pickering et al., 2008<sup>3</sup>), the CSEW was extended to include 10 to 15 year olds from January 2009. The first results for this age group were published in June 2010 (Millard and Flatley, 2010<sup>4</sup>) as experimental statistics. Estimates of victimisation among children are now presented alongside the adult crime statistics<sup>5</sup>. In 2015-16 the survey was extended to include measures of fraud and cyber crime. The questions were tested via a large scale field test in July and August 2015 before being added onto the main survey in October 2015. The first results from the field trail were published as part of the work to improve crime statistics in October 2015 (<u>http://webarchive.nationalarchives.gov.uk/20160105160709/http://www.ons.gov.uk/ons/rel/crime-statistics/year-ending-june-2015/sty-fraud.html</u>). A methodological note of the development of the fraud measures and the field trial was published in 2015 <u>CSEW Fraud and Cyber-crime</u> Development: Field trial'.

The CSEW has become a definitive source of information about crime; the survey collects extensive information about the victims of crime, the circumstances in which incidents occur and the type of offenders who commit crimes. In this way, the survey provides information to inform crime reduction measures and to gauge their effectiveness.

## **1.2** Outputs from the CSEW

Following the move of the processing and publication of crime statistics to ONS from the Home Office, the standard quarterly releases have been extended to include more long-term trends and other data sources

5 http://www.ons.gov.uk/ons/dcp171778\_371127.pdf

<sup>3</sup> **Pickering, K., Smith, P., Bryson, C. and Farmer, C.** (2008) British Crime Survey: options for extending the coverage to children and people living in communal establishments. Home Office Research Report 06. London: Home Office.

<sup>4</sup> **Millard, B. and Flatley, J.** (2010) Experimental statistics on victimisation of children aged 10 to 15: Findings from the British Crime Survey for the year ending December 2009. Home Office Statistical Bulletin 11/10.

In addition to the regular quarterly publication ONS publish additional publications on a particular topic or theme. These include'Focus On' publications which make use of the wide range of data gathered by the CSEW. Recent 'Focus On' publications include:

- Focus on Property Crime November 2016<sup>6</sup>
- Focus on Violent Crime and Sexual Offences February 2017<sup>7</sup>

The references above are intended only to illustrate the types of reports and findings that are produced from the Crime Survey for England and Wales. For more details on all ONS publications associated with the CSEW, see

http://www.ons.gov.uk/ons/taxonomy/index.html?nscl=Crime+and+Justice.

For previous Home Office publications relating to the BCS, see <a href="http://webarchive.nationalarchives.gov.uk/20130128103514/http://www.homeoffice.gov.uk/publications/science-research-statistics/research-statistics/crime-research/?d-7095067-p=1">http://www.homeoffice.gov.uk/publications/science-research-statistics/research-statistics/crime-research/?d-7095067-p=1</a>.

As well as published reports, the CSEW/BCS data are made available through the UK Data Archive at the University of Essex (<u>http://www.data-archive.ac.uk/</u>), and the ONS Virtual Microdata Laboratory (info@ons.gsi.gov.uk.). The Economic and Social Data Service (<u>http://www.esds.ac.uk/</u>) provides additional support to users of CSEW/BCS data.

Considerable emphasis is given in the course of conducting the interview to assure respondents that; information they provide will be held in confidence, the data set does not identify the location of the sampled areas and this information is not released to the ONS by TNS BMRB.

The CSEW is a complex study with data organised at different levels (households, individuals, and incidents) and it includes numerous sub-samples that are asked specific questions. Accordingly, considerable effort and expertise is required to analyse the data and to interpret it in a valid manner. Some of the analysis routines that play a key role in the published estimates are implemented after the data have been supplied to the ONS, and are not documented in this report. Further information is available from the UK Data Archive or the Economic and Social Data Service (http://www.esds.ac.uk/).

The ONS produces a user guide for those interested in understanding CSEW data which contains further detail on the content and structure of the data:

/https://www.ons.gov.uk/peoplepopulationandcommunity/crimeandjustice/topicspecificmethodology

#### 1.3 Structure of the Technical Report

This report documents the technical aspects of the 2015-16 CSEW. The analysis in this report relates to the total sample that was issued in the financial year 2015-16, irrespective of when interviews actually took place. The distinction between issued sample and achieved sample is explained in more detail in section 4.3 of the report.

The sample design is set out in Chapter 2. Data collection is the major task for the organisation commissioned to conduct the CSEW and forms the central part of this report. Chapter 3 covers the content and development of the questionnaire, while Chapter 4 examines the fieldwork. Chapter 5 gives details of the tasks that are involved in preparing the data for analysis, including the coding and offence classification and Chapter 6 covers the preparation and delivery of the CSEW data files. Chapter 7

<sup>6</sup> https://www.ons.gov.uk/releases/propertycrimeinenglandandwalesyearendingmarch2016

<sup>&</sup>lt;sup>7</sup> https://www.ons.gov.uk/releases/violentcrimeandsexualoffencesinenglandandwalesfinancialyearendingmar2016

outlines the weighting required for analysis of the data. Chapter 8 provides the results of some checks on the profile of the CSEW achieved sample against estimates for the population that the CSEW aims to represent.

## 2. Sample Design

## 2.1 Introduction

The 2015-16 sample design is a modification of the design used for the 2012-15 survey period. The modification is modest, comprising just three elements: (i) an update to the building blocks of the sample strata so they are defined by 2011 census geography rather than 2001 census geography, (ii) an update to the target sample sizes for each police force area, and (iii) a modification to the way address sampling fractions are calculated in each police force area.

These modifications are described in this section of the technical report, as part of a broader description of the sample design.

Before the launch of the 2015-16 survey, the TNS BMRB sample design was independently assessed by three experts in this field: Peter Lynn (University of Essex), Susan Purdon (BPSR, an independent research consultancy) and Paul Smith (University of Southampton). On behalf of TNS BMRB, Joel Williams responded to this review and entered into an additional correspondence with Paul Smith on one particular aspect. In conclusion, nothing needed to be changed about the sample design but the *description* of it – provided in the technical report and in other documents – has been revised to add clarity.

The key features of the 2015-16 design are as follows:

- An achieved sample size of 35,000 interviews with adults aged 16 and over who are resident in private households in England and Wales;
- A minimum of 650 of these interviews per year in each of the 42 Police Force Areas (PFAs)<sup>8</sup>. This requires a degree of over-sampling in less populous PFAs;
- Use of a bespoke sampling geography for the survey that maximises the heterogeneity of the sample clusters;
- Different levels of sample clustering in different population density segments with every cluster being sampled at least once over a three year period to create a near un-clustered sample;
- An achieved sample size of up to 3,000 10 to 15 year olds identified through screening at households in which adult interviews have been obtained; and
- Interview fieldwork conducted on a continuous basis with each sample stratum allocated to a specific quarter in such a way that updated nationally representative estimates are available every three months.

## 2.2 Sample size and structure

The target sample size for the 2015-16 survey was 35,000 interviews with adults aged 16 and over living in private households in England and Wales. Additionally, the survey had a target of interviewing up to 3,000 10-15 year olds identified through screening within the households that yield an adult interview.

<sup>8</sup> For sampling purposes the City of London police force area is combined with the Metropolitan police force area.

A minimum of 650 adult interviews was required per police force area (for a total of 27,300) with the remaining 7,700 adult interviews (to take the total up to 35,000) allocated to maximise the sample efficiency of national estimates. This model provides a national sample efficiency of 94%<sup>9</sup>.

The sampling fraction used in each police force area was based on (i) the target sample size and (ii) the observed deadwood and response rates over the previous survey year. Since these rates are subject to some annual fluctuation at police force area level, the number of addresses to sample in each PFA was inflated by a magnitude of 1.2 to create a pool of reserve addresses. Additionally, it was agreed that within each police force area a range of +/- 50 interviews around the actual target would be deemed acceptable (i.e. for a police force area with a target of 650 achieved interviews, the expected number of interviews should fall in the range 600-700).

<u>Table 2.1</u> shows the number of addresses estimated to be required for each police force area at the start of the 2015-16 survey, the actual number of addresses issued, and the target number of interviews required. The actual number of interviews achieved and the final annual response rate for each police force area are shown in <u>Table 4.11</u>.

<sup>9</sup> Sample efficiency = effective national sample size due to disproportionate sampling divided by the actual national sample size of 35,000.

## Table 2.1 Total issued and achieved sample sizes by police force area (2015-16)

Police force area	Estimated no. of addresses to issue	Actual no. of addresses issued	Target no. of interviews	Target range
Metropolitan/City of London	6,192	6,453	3,876	3,826 - 3,926
Greater Manchester	2,073	2,158	1,422	1,372 - 1,472
Merseyside	1,015	1,059	744	694 - 794
South Yorkshire	1,004	1,045	711	661 - 761
Northumbria	1,082	1,126	784	734 - 834
West Midlands	2,051	2,133	1,366	1,316 - 1,416
West Yorkshire	1,734	1,802	1,169	1,119 - 1,219
Avon & Somerset	1,202	1,248	846	796 - 896
Bedfordshire	993	1,029	650	600 - 700
Thames Valley	1,744	1,809	1,139	1,089 - 1,189
Cambridgeshire	919	958	650	600 - 700
Cheshire	928	965	650	600 - 700
Cleveland	898	934	650	600 - 700
Devon & Cornwall	1,573	1,630	939	889 - 989
Cumbria	931	970	650	600 - 700
Derbyshire	958	995	650	600 - 700
Dorset	982	1,021	650	600 - 700
Durham	908	943	650	600 - 700
Sussex	1,271	1,319	853	803 - 903
Essex	1,343	1,395	906	856 - 956
Gloucestershire	1,037	1,077	650	600 - 700
Hampshire	1,388	1,443	992	942 - 1,042
West Mercia	868	904	650	600 - 700
Hertfordshire	909	949	650	600 - 700
Humberside	956	996	650	600 - 700
Kent	1,339	1,390	893	843 - 943
Lancashire	1,166	1,211	779	729 - 829
Leicestershire	1,027	1,062	650	600 - 700
Lincolnshire	914	951	650	600 - 700

South Wales	1,073	1,113	682	632 - 732
Gwent	974	1,013	650	600 - 700
Dyfed Powys	980	1,018	650	600 - 700
North Wales	1,042	1,079	650	600 - 700
Wiltshire	935	970	650	600 - 700
Warwickshire	928	964	650	600 - 700
Surrey	928	965	650	600 - 700
Suffolk	988	1,024	650	600 - 700
Staffordshire	979	1,016	650	600 - 700
Nottinghamshire	937	975	650	600 - 700
North Yorkshire	1,011	1,050	650	600 - 700
Northamptonshire	909	947	650	600 - 700
Norfolk	926	965	650	600 - 700

## 2.3 Sample design

In 2012, TNS BMRB revised the CSEW sample design with the objective of reducing the degree of clustering and thereby improving the precision of the CSEW estimates. To this end, TNS BMRB worked with the mapping experts, *UK Geographics*, to create a set of bespoke and geographically-discrete strata for use in the Crime Survey.

Section 2.3.1 of the 2013-14 Technical Report describes the creation of these strata and they were also the subject of an article in the Survey Methodology Bulletin published by the Office for National Statistics<sup>10</sup>. To summarise:

- Every police force area was divided into a set of geographically discrete sample strata, each with an approximately equal number of addresses.
- Each sample stratum was constructed from whole lower level super output areas (LSOAs) so that population statistics could easily be generated for the sample stratum.
- In constructing the sample strata, the design team took account of geographical barriers and the primary road network to ensure that field assignments based upon sample stratum boundaries would be practical.
- The size of each sample stratum was governed by the requirement that approximately 32 addresses should be sampled from each stratum each year.

Each of the 1,639 sample strata is activated<sup>11</sup> once a year and has been allocated to a specific 'activation quarter'. Each activation quarter contains a (stratified) random subsample of the 1,639 sample strata,

<sup>10</sup> Williams J (2012) The creation of bespoke sample clusters for the Crime Survey for England and Wales 2012-2015, <u>Survey</u> <u>Methodology Bulletin</u>, 71, pp. 45-55

<sup>11</sup> By 'activated' we mean that a sample of addresses is drawn within the stratum, advance letters are sent and field interviewers start work.

representative in terms of (i) expected victimisation rates, and (ii) spatial distribution. This minimises the risk of spurious quarter-by-quarter changes in CSEW estimates that are due solely to differences in sample composition.

Once constructed, the 1,639 strata were ranked by the geographical density of addresses within their borders:

- The densest third were classified as belonging to the 'high density segment'
- The least dense third were classified as belonging to the 'low density segment'
- The rest were classified as belonging to the 'mid density segment'<sup>12 13</sup>

In the 'low density' strata, three geographically-discrete subdivisions were formed (A, B and C), each with an approximately equal number of addresses and constructed from whole LSOAs<sup>14</sup>. In the mid density strata, two subdivisions (A and B) were formed on the same basis. No subdivision was carried out in the high density strata.

The combination of high density strata plus the subdivisions in the mid and low density strata are termed 'sample units'. Just one sample unit per stratum is used per year following a sequence established in 2012. In the vast majority of cases, a fieldwork assignment is based on one sample unit<sup>15</sup>.

Each survey year has a planned sample unit activation sequence as shown in Table 2.2.

	High density strata	Mid density strata	Low density strata
2012-13	All	'A' subdivisions only	'A' subdivisions only
2013-14	All	'B' subdivisions only	'B' subdivisions only
2014-15	All	'A' subdivisions only	'C' subdivisions only
2015-16	All	'B' subdivisions only	'A' subdivisions only
2016-17	All	'A' subdivisions only	'B' subdivisions only
2017-18	All	'B' subdivisions only	'C' subdivisions only

Table 2.2 Sample unit activation in the CSEW (2012-18)

As noted above, TNS BMRB used a stratified random sampling method to allocate each sample stratum to a specific quarter. This was based upon modelled estimates of the adult victimisation rate using data

<sup>12</sup> TNS BMRB carried out a small degree of reallocation after this initial classification, essentially to allow a small number of police force areas to obtain the benefits of an unclustered sample over two years rather than three (and every year for the Metropolitan/City police force area).

<sup>13</sup> It should be acknowledged that address density may change over time and that the classification of a stratum as high, mid or low density is specific to 2012.

<sup>14</sup> Stratum subdivisions were designed to be as heterogeneous as possible in terms of crime rates but without forming awkward geographical shapes that would be difficult for interviewers to manage.

<sup>15</sup> Generally speaking, a high density stratum will contain twice as many addresses as a subdivision within a mid density stratum and three times as many addresses as a subdivision within a low density stratum. However, geographically they will be of similar size. Consequently, sample units/fieldwork assignments are roughly equal in size too.

from the 2008-2011 survey. Four equal sized groups were formed in each PFA based on the modelled victimisation rates.

Additionally, some spatial stratification was carried out to ensure that the allocation per quarter in each PFA had the same broad geographic spread. This was done by using the latitude and longitude values for the 'centroid' address in each sample stratum<sup>16</sup>. Within each of the four 'victimisation rate' groups in each PFA, the sample strata were sorted by longitude to create three geographic sub-groups (east, central, and west). Finally, the sample strata were ranked by latitude within each of these groups to form a final sequence for systematic allocation.

Although each sample stratum has been allocated to a particular quarter, they are actually 'activated' on a monthly basis. Consequently, each sample stratum has been randomly allocated a particular month within the activation quarter. Monthly activation ensures a smooth flow of interviews over time and maximises the representativeness of the datasets, given they are defined by interview date rather than sample activation date. Occasionally, the activation month has been switched to improve the flow of fieldwork but activation quarter has remained a fixed characteristic of each sample unit.

Before the 2015-16 survey, the sample strata and their associated subdivisions were redefined, based on the new LSOAs constructed from 2011 census data rather than 2001 census data. The vast majority of these 2011 LSOAs are identical to a 2001 equivalent and could be allocated to sample strata and associated subdivisions on a simple like-for-like basis. A small number of genuinely new 2011 LSOAs needed to be allocated to sample stratum and subdivision on a spatial 'best fit' basis<sup>17</sup>. This work was carried out by Mark Watson, the geographer who had directed the original construction of the sample strata and their associated subdivisions.

#### 2.4 Sampling of addresses

The Postcode Address File (PAF)<sup>18</sup> was used as the address source for the CSEW. The PAF is though to list the addresses for at least 99% of the residential population<sup>19</sup>. PAF addresses are linked to higher geographies via ONS's National Statistics Postcode Lookup database which is updated four times a year. This database links postcodes to LSOA, allowing addresses to be allocated to sample strata in an unambiguous fashion. The PAF is filtered to exclude obvious non-residential addresses but errs towards over-coverage (i.e. inclusion of addresses that are not yet built or sold, or have been demolished or abandoned). This is handled easily enough by interviewers visiting these addresses.

Within each police force area the number of addresses issued in 2015-16 was based on the target number of interviews to be achieved across the year divided by the estimated address conversion rate. When this total is divided by the total number of addresses in the police force area, we obtain a basic address sampling fraction. However, from 2015-16, this basic address sampling fraction was modified within activated sample units to compensate for random variation in the total number of addresses found within each combination of activated sample units.

Revised address sampling fraction for sampling unit x in police force area y in year  $t = f_{xyt} = f_{xyt} * (N_{yt} / (N_{hyt} + 2N_{myt} + 3N_{lyt}))$ 

<sup>16</sup> The 'centroid' was the most central address in the PSU based on the address distribution rather than on the geographic borders of the sample cluster

<sup>&</sup>lt;sup>17</sup> Watson comments: "It seems that in the majority of cases LSOA changes have involved the breakup of 2001 LSOAs into smaller units, and these changes have no effect on the [sample unit] definitions at all – the new smaller LSOAs go into the same [sample unit] as the larger original".

<sup>18</sup> This excludes addresses that receive more than 25 postal items a day.

<sup>19</sup> Individuals living in communal accommodation are excluded from the population base

 $f_{xyt}$  = basic year *t* sample fraction for sampling unit *x* in police force area *y* 

 $N_{yt}$  = total number of addresses in police force area y in year t

 $N_{hyt}$  = total number of addresses in high density strata in police force area y in year t

 $N_{myt}$  = total number of addresses in *activated* sample units in mid density strata in police force area y in year t

 $N_{iyt}$  = total number of addresses in *activated* sample units in low density strata in police force area y in year t

As already mentioned, since conversion rates at police force area level are subject to some fluctuation, it was decided to over sample addresses by a magnitude of 1.2 to create a pool of reserve addresses in each activated sample unit. In the event, some of the reserve sample was issued during the year to ensure that the target number of interviews were met (see table 2.1).

In each sample unit addresses were geographically sorted prior to a systematic sample being drawn using a fixed interval and random start method. Geographic sorting within sample unit was based on LSOA, Output Area, full postcode, and alphanumeric address.

The number of addresses selected for the 2015-16 survey varied within each sample unit but averaged around 38. After the addresses had been selected 20% of addresses were randomly allocated to the reserve sample pool and removed from the main sample. This meant that the average assignment size issued to interviewers was around 32 addresses. In fact, at the start of the survey year 75% of activated sample units contained between 30-35 sampled addresses, 18% had fewer than 30 addresses (minimum 25), and 7% had more than 35 addresses (maximum 43).

## 2.5 Sampling households and individuals within households

At multi-dwelling addresses one dwelling unit was randomly selected for interview based on a standard selection algorithm built into the electronic contact script. The number of dwelling units at each address was recorded by interviewers. Within dwellings, very occasionally, interviewers found more than one household resident within a dwelling unit. In these cases, one household was selected at random using the same selection process as that used to select a dwelling at multi-dwelling addresses. This additional process for identifying multiple households within dwellings was introduced on the CSEW for the 2015-16 survey.

Within each eligible household one adult was randomly selected for interview based on a standard selection algorithm built into the electronic contact script.

## 2.6 Sampling of 10 to 15 year olds

The 2015-16 survey had a target of 3,000 interviews with 10-15 year olds identified at the core sampled addresses. Where only one eligible child was identified an interview was always attempted. If more than one eligible child was identified, one child was selected at random to take part in the interview.

## 3. Questionnaire content and development

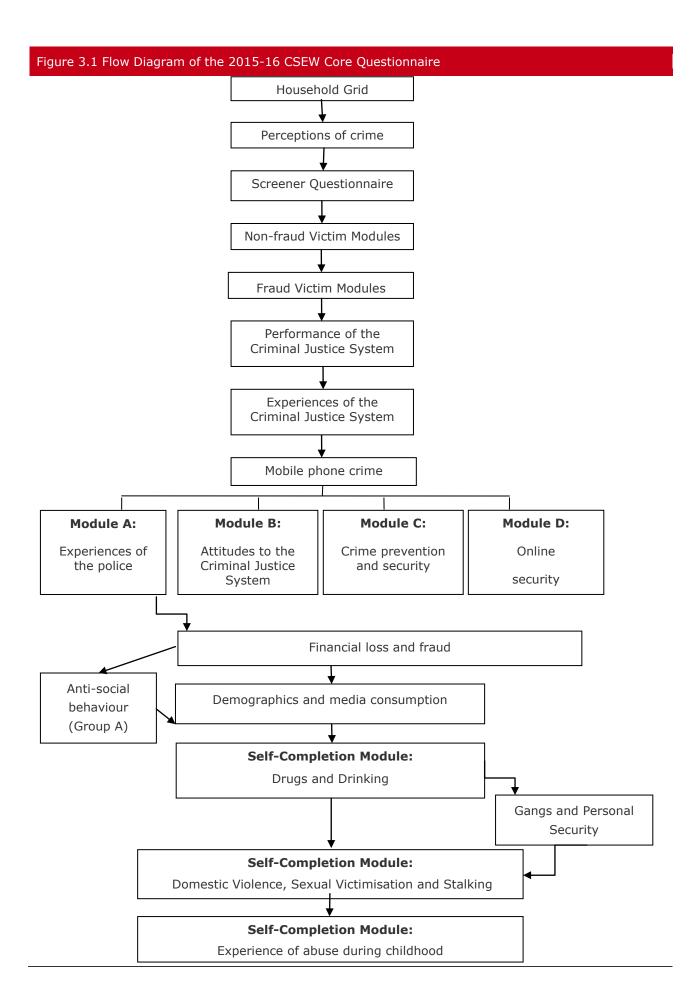
## 3.1 Structure and coverage of the questionnaire

The CSEW questionnaire for the adult survey has a complex structure, consisting of a set of core modules asked of the whole sample, a set of modules asked only of different sub-samples, and self-completion modules asked of all 16-59 year olds. Within some modules there is often further filtering so that some questions are only asked of even smaller sub-samples. With the exception of the victimisation module, the modules included in the survey may vary from year to year.

The 2015-16 CSEW questionnaire consisted of the following sections:

- 1. Household Grid
- 2. Perceptions of crime
- 3. Screener questionnaire
- 4. Victimisation Modules for non-fraud incidents identified at the screeners (up to a maximum of six)
- 5. Victimisation modules for fraud incidents identified at the screeners (up to a maximum of six, including the non-fraud incidents) Only added from October 2015 onwards
- 6. Performance of the Criminal Justice System
- 7. Experience of the Criminal Justice System
- 8. Mobile phone crime
- 9. Experiences of the police (Module A)
- 10. Attitudes to the Criminal Justice System (Module B)
- 11. Crime prevention and security (Module C)
- 12. Online security (Module D) Only included from April-September 2015
- 13. Financial Loss and fraud
- 14. Anti-social behaviour
- 15. Demographics and media
- 16. Self-completion module: Drug use and drinking
- 17. Self-completion module: Gangs and personal security
- 18. Self-completion module: Domestic violence, sexual victimisation and stalking
- 19. Self-completion module: Experience of abuse during childhood

The basic structure of the core questionnaire is shown in <u>Figure 3.1</u>, while the sub-set of respondents who were asked each module of the questionnaire is shown in <u>Table 3.1</u>. The complete questionnaire is documented in Appendix D of Volume 2. This chapter outlines the content of each section or module of the questionnaire.



## Table 3.1Modules of the 2015-16 CSEW questionnaire and sub-set of respondents whowere asked each module

Questionnaire module	Core sample
Household grid	All
Perceptions of crime	All
Screener questionnaire	All
Victim modules	All victims
Fraud screener questions	Groups C and D
Fraud victim modules	All victims of fraud (Group C and D)
Performance of the Criminal Justice System	All
Experiences of the Criminal Justice System	All
Mobile phone crime	All
Module A: Experiences of the police	Random 25% - Group A
Module B: Attitudes to the Criminal Justice System	Random 25% - Group B
Module C: Crime prevention and security	Random 25% - Group C
Module D: Online security	Random 25% - Group D
Financial loss and fraud	April - Sept 2015 - Random 75% (Groups B, C, D) October 2015 onwards - Random 50% (Groups A and B)
Anti-social behaviour	All
Demographics and media consumption	All
Self-completion module: Drugs and drinking	All aged 16-59
Gangs and Personal Security	Random 50% Groups A and B
Self-completion module: Domestic violence, sexual victimisation and stalking	All aged 16-59
Self-completion module: Experience of abuse during childhood	All aged 16-59

## 3.1.1 Household grid

Basic socio-demographic details (age, sex, marital status, relationship to respondent, etc.) were collected in the Household Grid for every adult in the household. Additionally, demographic details of all children under 16 years including their relationship with the respondent were collected.

The Household Grid was also used to establish the Household Reference Person (HRP)<sup>20</sup> which is the standard classification used on all government surveys and is based on the following criteria:

- 1. The HRP is the member of the household in whose name the accommodation is owned or rented, or is otherwise responsible for the accommodation. In households with a sole householder that person is the HRP.
- 2. In households with joint householders the person with the highest income is taken as the HRP.
- 3. If both householders have exactly the same income, the older is taken as the HRP.

## 3.1.2 Perceptions of crime

The Household Grid was followed by a series of attitudinal questions which asked respondents their perceptions about particular aspects of crime and anti-social behaviour. This module of questions included both long-standing questions as well as new questions.

Long-standing topics covered in this module included:

- 1. What respondents felt were the main causes of crime;
- How much crime and fear or crime affected respondents quality of life (Module D respondents only);
- 3. How safe respondents felt when walking in their local area and when at home (Module D respondents only);
- 4. How worried they were about being the victim of particular types of crime (Module B, C and D respondents only);
- 5. Perceptions of the crime rate in the local area (Module A and C respondents only)
- 6. How respondents thought crime rates across the country and in their local area had changed over time (Module A, B and C respondents only);
- 7. How much of a problem they perceived particular crimes and aspects of anti-social behaviour to be (Module A only);
- 8. How often their home was left unoccupied and how often they went out; and
- 9. How often they visited a pub or bar

## 3.1.3 Screener questions – Non-fraud

Following the questions on perceptions of crime, all respondents were asked whether they had experienced certain types of crimes or incidents within a specified reference period, namely the last 12 months. The 12 month reference period changed each month throughout the fieldwork year. For example interviews conducted in July 2015 would refer to "*since the 1<sup>st</sup> of July 2014"*. This means that in practice the 12 month reference period at the time of interview consists of the last 12 full calendar months, plus the current month (i.e. slightly more than 12 months).

<sup>20</sup> Prior to 2001 all previous surveys collected details of the Head of Household.

Questions were designed to ensure that all incidents of crime within the scope of the CSEW, including relatively minor ones, were mentioned. The screener questions deliberately avoided using terms such as 'burglary', 'robbery', or 'assault', all of which have a precise definition that many respondents might not be expected to know. The wording of these questions has been kept consistent since the CSEW began to ensure comparability across years.

To try and encourage respondents to recall events accurately, a life event calendar was offered to all respondents to act as a visual prompt when answering the screener questions.

Depending upon individual circumstances, a maximum of 25 screener questions were asked which can be grouped into four main categories:

- 1. All respondents who lived in households with a vehicle or bicycle were asked about experience of vehicle-related crimes (e.g. theft of vehicle, theft from vehicle, damage to vehicle, bicycle theft);
- All respondents were asked about experience of property-related crimes in their current residence;
- 3. All respondents who had moved in the reference period were asked about experience of propertyrelated crimes in their previous residence(s) (e.g. whether anything was stolen, whether the property was broken into, whether any property was damaged); and
- 4. All respondents were asked about experience of personal crimes (e.g. whether any personal property was stolen, whether any personal property was damaged, whether they had been a victim of force or violence or threats)

The questions are designed to ensure that the respondent does not mention the same incident more than once. At the end of the screener questions, the interviewer is shown a list of all incidents recorded and is asked to check with the respondent that all incidents have been recorded and nothing has been counted twice. If this is not the case, the respondent has an opportunity to correct the information before proceeding.

Within the screener questions, there is a crucial distinction between **household** incidents and **personal** incidents.

All vehicle-related and property-related crimes are considered to be household incidents, and respondents are asked about whether anyone currently residing in the household has experienced any incidents within the reference period. A typical example of a household incident is criminal damage to a car. It is assumed that the respondent will be able to recall these incidents and provide information even in cases where he/she was not the owner or user of the car. For respondents who have moved within the last 12 months, questions on household crimes are asked both in relation to the property they are now living in, as well as other places they have lived in the last 12 months.

Personal incidents refer to all crimes against the individual and only relate to things that have happened to the respondent personally, but not to other people in the household. An example of a personal incident would be a personal assault. An assault against other household members would not be recorded, unless the respondent was also assaulted in the course of the incident. In such cases, the offence would be coded according to the crime experienced by the respondent (which may not be the same as the experience of another household member).

## 3.1.4 Screener question – Fraud

During the 2015-16 survey year a set of six new screeners were introduced to the survey for the first time. The questions were included on the survey from October 2015 onwarrds. These new sceeners covered any experiences of fraud during the previous 12 months. The fraud screeners were only asked of module C and D respondents and were administered in the same way as the traditional non-fraud screeners.

The six main topic areas covered within the fraud screeners were:

- 1. Incidents which occurred as a direct result of a previous non-fraud crime
- 2. Personal information or account details been used to obtain money, or buy goods or services without permission
- 3. Being tricked or deceived out of money or goods
- 4. Attempts to trick or deceive out of money or goods
- 5. Theft of personal information or details held on your computer or in on-line accounts
- 6. Computer misuse

#### 3.1.5 Victimisation modules

All incidents identified at the screener questions are followed through in more detail in the Victimisation Module. Incidents are covered in a specific priority order which has been kept consistent since the start of the CSEW.

## Identification and ordering of incidents for Victimisation Modules (non-fraud)

In 2015-16, 79 per cent of core sample respondents did not report any victimisation over the reference period, meaning that no Victimisation Modules had to be completed as part of the interview.

Where a respondent had experienced one or more incidents in the reference period, the dimensions programme automatically identified the order in which the Victimisation Modules were asked. This process also took into account the new fraud screeners, which took lower priority than the traditional non-fraud crime types. The automatic selection meant that the interviewer had no discretion about the selection or order of the modules<sup>21</sup>. The priority ordering used by the computer was as follows:

- According to the type of crime. Non-fraud Victimisation Modules were asked first, in reverse order to the screener questions. Broadly speaking this means that all personal incidents were asked before property-related incidents, which were asked before vehicle-related incidents. Fraud Victimisation Modules were then asked as well, this time in the same order as the fraud screener questions. Overall, across both non-fraud and fraud a maximum of six Victimisation Modules were completed, with non-fraud incidents taking priority.
- Chronologically within each type of crime. If a respondent reported more than one incident of the same type of crime, Victim Modules were asked about the most recent incident first and worked backwards chronologically.

<sup>21</sup> In the case of the incidents of sexual victimisation or domestic violence, the interviewer had an option to suspend the Victimisation Module, as this might embarrass or endanger the respondent in some situations. The interviewer would then attempt to arrange a revisit at a time that would be more convenient (in particular when other household members would not be present).

If six or fewer incidents were identified at the screener questions then a Victim Module was completed for all of the incidents reported. For non-fraud cases, the first three Victimisation Modules contained all the detailed questions relating to each incident ('long' modules). The second three Victim Modules were 'short' modules, containing fewer questions to minimise respondent burden. Fraud Victimisation Modules covered a different set of questions which were all asked for every incident.

If the respondent had experienced more than six incidents in the reference period, only six Victimisation Modules were asked using the above priority ordering. If more than six non-fraud incidents are recorded, the priority ordering means that the survey does not collect details or only collects limited details (through the short Victim Module) for the crimes or incidents that tend to be more common (e.g. criminal damage to vehicles).

In the 2015-16 survey, a total of 10,724 Victim Modules were completed on the core sample and 21.2 per cent of all respondents reported at least one incident (<u>see Table 3.2</u>).

	Ν	% of all respondents	% of victims
Non victims	27,684	78.8	
Victims <sup>1</sup>	7,462	21.2	
No. of Victim Modules <sup>2</sup>			
1	5,422	15.4	74.3
2	1,318	3.8	19.1
3	430	1.2	7.1
4	155	0.4	3.4
5	66	0.2	2.2
6	71	0.2	2.3
Total	10,724		
Bases:		35,146	7,462

#### Table 3.2 Core sample respondents who completed Victimisation Modules, 2015-16 CSEW

1 Victims refers to the number of respondents who completed at least **one** Victimisation Module (either fraud or non fraud)

**2** The number of Victimisation Modules is shown both as a percentage of all respondents who were victims of crime and as a percentage of all respondents

## Defining a series of incidents

Most incidents reported represent one-off crimes or single incidents. However, in a minority of cases a respondent may have been victimised a number of times in succession. At each screener question where a respondent reported an incident, they were asked how many incidents of the given type had occurred during the reference period. If more than one incident had been reported, the respondent was asked whether they thought that these incidents represented a 'series' or not. A series was defined as "the same thing, done under the same circumstances and probably by the same people". Where this was the case, only one Victimisation Module was completed in relation to the most recent incident in the series.

In fraud cases the definition of a series was more complex, as the survey intended to replicate the way in which the police would record fraud incidents as far as possible. The key measures for identifying a series with fraud offences was whether all the incidents were identified at the same time, and whether the victim responded in the same way. This was designed to ensure that cases of fraud involving multiple transactions on a single account were counted as a single incident rather than multiple incidents.

For example; if a respondent is a victim of fraud four times before they are aware it has happened (e.g. money taken from a bank account on four separate occasions) – if this was all discovered at the same time this would be recorded as a single incident rather than four separate incidents or a series. However if they later discover that this has happened again and it has been used five more times then this would be either a separate incident or a second incident in a series. Similarly, if a respondent receives multiple email requests and responds in the same way to all of them this would be a series. However if they respond differently to one in particular then that was treated as a separate incident.

There are two practical advantages to the approach of only asking about the most recent incident where a series of similar incidents has occurred. First, since some (although not all) incidents classified as a series can be petty or minor incidents (e.g. vandalism) it avoids the need to ask the same questions to a respondent several times over. Secondly, it avoids using up the limit of six Victimisation Modules on incidents which <u>may</u> be less serious.

In 2015-16, 85% of all Victimisation Modules related to single incidents and 15% related to a series of incidents. This split between single and series incidents was broadly the same as previous surveys.

In the rare cases where a respondent has experienced a mixture of single incidents and a series of incidents the interview program has a complex routine which handles the sequence of individual and series incidents and allows the priority ordering of the Victimisation Modules to be decided.

In terms of estimating the victimisation rates, series incidents receive a weight corresponding to the number of incidents up to a maximum of five (see <u>section 7</u>).

## **Content of Victimisation Module**

The Victimisation Module is the key to the estimate of victimisation and collects three vital pieces of information:

- The exact month(s) in which the incident or series of incidents occurred. In a few cases, respondents may have reported an incident which later turned out to have been outside the reference period. In such cases, the Victimisation Module was simply by-passed by the computer. If respondents were unsure about the exact month in which something happened, they were asked to narrow it down to a specific quarter. For incidents that were part of a series, respondents were asked how many incidents occurred in each quarter and the month in which the most recent incident had occurred.
- An open-ended description of the incident where the respondent describes exactly what happened in their own words. The open-ended description is vital to the accurate coding of offences that takes place back in the office. Short, ambiguous or inconsistent descriptions can often make offence coding difficult. In fraud Victimisation Modules a second open-ended description is included to collect information about the action the respondent took following the fraud or attempted fraud, as this is a key aspect of the fraud offence coding. At the end of each Victimisation Module, the original open-ended description that the interviewer had entered at the start of the Victimisation Module is recapped, along with the answers to some of the key pre-coded questions. By presenting this information on a single screen, interviewers have the chance to confirm with respondents that the information was correct and consistent. If the respondent and/or interviewer wish to add or clarify any information they then have the opportunity to do this.

A series of key questions used to establish important characteristics about the incident, such as where and when the incident took place; whether anything was stolen or damaged and, if so, what; the costs of things stolen or damaged; whether force or violence was used and, if so, the nature of the force used and any injuries sustained; and whether the police were informed or not. In fraud Victimisation Modules, an additional key question was asked to identify how people responded to incidents of fraud or attempted fraud.

The key questions within the Victimisation Module have remained largely unchanged from previous years of the survey to ensure comparability over time.

## 3.1.6 Reference dates

In the questionnaire, program reference dates were automatically calculated based on the date of interview and appropriate text substitution was used to ensure that the questions always referred to the correct reference period.

Because the 12-month reference period changed each month throughout the fieldwork year, some daterelated questions in the Victimisation Module had different text each month to reflect this changing reference period. Thus, for example, any interviews conducted in July 2015 would use the reference period "*since the first of July 2014*". This means that in practice the 12 month reference period consisted of the last 12 full calendar months, plus the current month (i.e. slightly more than 12 months). This is taken into account when the victimisation rates are estimated.

## 3.1.7 Performance of the Criminal Justice System

All respondents were asked a number of questions about the performance of both the Criminal Justice System (CJS) as a whole, as well as about the individual agencies that make up the CJS.

The first set of questions asked to a random 50% of respondents (module A and B) relate to respondents' perceptions about the effectiveness and fairness of the CJS. Individual questions relating to the police, the courts, the CPS, the probation service and the prison service were asked, as well as questions about the CJS as a whole. These questions were added to the survey in October 2007 after being extensively tested.<sup>22</sup>

The second set of questions asked of all respondents are about levels of trust and confidence in the police, both nationally and locally. Questions cover overall trust in the police as an institution, perceptions of how good a job the local police are doing, and also questions related to specific aspects of local policing.

Finally, the module includes a number of questions related to respondents' knowledge of Police Crime Commissioners, whether they had contacted and how likely they would be to contact their local Police Crime Commissioner. These questions were added to the survey in April 2013 after being extensively tested.

## 3.1.8 Experiences of the Criminal Justice System

All respondents were then asked a module of questions focusing on their experiences of the Criminal Justice System. These questions were split into two main sections:

- experiences of court; and
- experience of family mediation

<sup>22</sup> Maxwell C. et. al. (2008) Fairness and effectiveness in the Criminal Justice System: development of questions for the BCS

The first section went to all respondents and covered experiences of court. The set of questions on appearing in court covered the type of court, the role of the respondent in court, the respondent's treatment by court staff and how well the respondent was kept informed both before attending court and during the attendance at court.

All respondents were asked the second section on experience of family mediation. This section covered experience of family mediation, reasons for taking part in family mediation, why they chose family mediation, how they heard about mediation. All respondents were also asked about their experience of a Social Security and Child Support Tribunal.

## 3.1.9 Mobile phone crime

Although mobile phones stolen from the respondent should be identified in the Victimisation Module, personal thefts from other members of the household are not covered. Consequently, in this module, all respondents were asked who in the household (if anyone) used a mobile phone, whether anyone in the household had had a mobile phone stolen in the last 12 months and, if so, from whom the phone had been stolen. Respondents were asked to include incidents where mobile phones stolen had been stolen in the household.

## 3.1.10 Part-sample Modules (A-D)

Respondents were randomly allocated to one of four modules (see <u>section 3.6</u> for how this was done) meaning that approximately 8,750 respondents were asked each module. The random allocation maintains a representative sub sample in each of the modules.

## 3.1.11 Module A: Experiences of the police

Module A included topics such as:

- whether or not respondents are serving police officers or had any contact with the police;
- volunteering as a Special Constable; whether they have seen police officers on foot patrol in the local area;
- whether they had contacted Police Community Support Officers and, if so, how;
- whether the respondent had heard of local crime maps and whether s/he had looked at or used the maps;
- feeling informed about crime and anti-social behaviour issues affecting the local area;
- contact with police officers;
- whether respondents had made a complaint about the police and, if so, how they felt their complaint had been dealt with; and

## Module B: Attitudes to the Criminal Justice System

Topics covered in this module included:

- perceived leniency or toughness of the CJS;
- awareness of alternatives to custody, community sentences, and restorative justice;
- awareness of Community Payback;
- Awareness and attitudes to aspects of the Youth Justice System
- Awareness and attitudes to aspects of the Family Justice System; and
- Awareness of victim support

## Module C: Crime prevention and security

In 2014-15 the main focus was on home, personal and vehicle security measures. Question topics included:

- Home security, such as the use of intruder alarms and other security measures in the home;
- personal security measures and actions taken to reduce the likelihood of becoming a victim of crime; and
- vehicle security, such as measures fitted to vehicles (e.g. alarm, immobiliser) and actions taken to reduce the likelihood of theft of an item from a vehicle
- Actions taken to reduce the likelihood of experiencing e-crime

## Module D: Online security

This module was included for first six months of the 2015-16 survey year, after which it was removed to create space for the fraud Victimisation Module. Module D contained questions on the following topics:

- Use of the internet;
- Experience and impact of incidents online (e-crime), including a computer virus and unauthorised access to personal information, loss of money; and
- Actions taken to reduce the likelihood of experiencing e-crime

#### 3.1.12 Plastic card fraud

For the first six months of the 2015-16 survey year, respondents who had been asked part-sample Modules B, C or D were then routed to the set of questions on financial loss and fraud. This type of crime is not covered in the Victimisation modules (though the physical theft of any plastic card would be covered). The module has been on the survey since 2007 and covers:

- whether the respondent had a plastic card used without their permission;
- whether the respondent had money taken from a bank or building society account without their permission and details of the amount stolen;
- reporting of plastic card fraud; and
- measures taken to try to prevent card fraud

In the second half of the 2015-16 survey year, the module was re-routed to be asked only of module A and B respondents. The content of the module was also cut down significantly so that only top level questions were asked on unauthourised use of plastic cards, and money taken from bank or building society accounts without permission. These changes were also made to create space to introduce the fraud Victimisation Module.

#### 3.1.13 Anti-social behaviour

This module was asked of all core survey respondents. The module included questions on levels of antisocial behaviour, anti-social behaviour around licensed premise, the respondent's experiences of antisocial behaviour and the police response to it.

Prior to 2013-14 respondents who had experienced anti-social behaviour were asked follow-up questions on whether the police came to know about the matter, and if so whether they were satisfied with their response. In 2013-14 these follow-up questions were expanded to include whether the local council or a private landlord came to know about the matter.

#### 3.1.14 Demographics and media consumption

This section collected additional information on the respondent and the Household Reference Person (where this was not the same as the respondent). Question topics included:

- health and disability;
- employment details;<sup>23</sup>
- ethnicity and national identity
- educational attainment and qualifications;
- housing tenure; and
- household income.

## 3.1.15 Self-completion modules

The self-completion modules were asked of respondents aged 16 to 59 years of age. These modules are all presented as computer assisted self-completion (CASI) modules to ensure respondent confidentiality in answering these questions.

The respondent was asked to follow the instructions on the screen of the laptop and enter their answers accordingly. Practice questions were included before the start of the self-completion module to give the interviewer an opportunity to show the respondent the different functions of the computer. If the respondent was unable or unwilling to complete the modules using the computer the interviewer could administer the self-completion; in these cases, respondents were only asked the modules on drug use and drinking (not the module on domestic violence, sexual assault and stalking). Interviewer assistance and the presence of others while completing these modules was recorded by the interviewer (see <u>Chapter 4</u>).

## Self-completion module – illicit drug use and alcohol consumption

All core respondents were asked this series of questions on drug and alcohol use. The module covered a total of 20 drugs plus more general questions to capture use of any other substances. The drugs included were:

- Amphetamines
- Methamphetamine
- Cannabis
- Skunk
- Cocaine powder
- Crack cocaine
- Ecstasy
- Heroin
- LSD/Acid
- Magic Mushrooms
- Methadone or Physeptone
- Semeron
- Tranquillizers
- Amyl Nitrite
- Anabolic steroids
- Ketamine
- Mephedrone
- Any unprescribed and unknown pills or powders
- Any other smoked substances (excluding tobacco)

<sup>23</sup> Where the respondent was not the Household Reference person occupation details were also collected about the HRP

Any other drug

Respondents were asked whether they had ever taken each drug and, if so, whether they had taken it in the last 12 months and whether they had taken it in the last month. The list of drugs included a drug that did not exist (Semeron) to attempt to identify instances of over reporting.

Respondents were also asked about any taking of legal or formerly legal highs. These questions were updated in 2015-16 to reflect changes in legislation and covered the use of legal highs. Respondents were also asked if they had taken any prescription-only painkillers in the last 12 months that were not originally prescribed for them.

Respondents were finally asked about their alcohol consumption, including how often they had drunk alcohol in the past 12 months, how often they had felt drunk and whether they thought they had driven a vehicle when they were over the legal alcohol limit.

## Gangs and Personal Security

Respondents who had answered split-sample modules A or B and were aged 16-29 years old were routed to additional self-completion questions on street gangs and personal security around carrying a knife.

## Domestic violence, sexual victimisation and stalking

All core survey respondents were routed to the final self-completion module, covering domestic violence, sexual victimisation and stalking.

The module was largely based on that first developed in 2001 (and modified in 2004-05) to measure the prevalence of domestic violence, sexual victimisation, and stalking.

Following a review of the questions in the interpersonal module, the questions were re-developed to help improve usability. In 2010/11 a split sample experiment was begun to test the impact, if any, that the new question wording had on prevalence estimates<sup>2425</sup>. The descriptions of types of abuse that respondents were asked about were kept as consistent as possible between the established and alternative sets of questions, and the order in which each type of abuse is asked about was also retained.

In general, in the question set used before 2010-11, respondents were presented with a list of behaviours that constitute abuse and asked to choose which, if any, they had experienced in the last year and since the age of 16. In the alternative question set, respondents were asked if they had experienced each of these behaviours in turn and asked to respond 'yes' or 'no'.

This experiment was not continued beyond the 2012-13 survey, with the alternative set being taken forward and asked of the entire sample from 2013-14 onwards. The alternative set of questions was taken forward as the set-up of the questions improved the usability for respondents.

This set of questions on inter-personal violence covered the following topics:

- experience of domestic violence by either a partner or by another family member since age 16 and in the last 12 months;
- experience of less serious sexual assault since age 16 and in the last 12 months;
- experience of serious sexual assault since age 16 and in the last 12 months; and

<sup>24</sup> Hall, P and Smith, K. (2011) Analysis of the 2010/11 British Crime Survey Intimate Personal Violence split- sample experiment. London: Home Office

<sup>25</sup> https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/116670/hos-response-bcs-ipv-0112.pdf

experience of stalking since age 16 and in the last 12 months

A further set of questions on experiences of abuse during childhood were also then asked. The questions covered:

- Psychological abuse, physical abuse, and sexual assault
- Age at the time of the abuse
- Whether the abuse was reported to the police
- Reasons for not reporting abuse to the police
- Action taken by the police
- Whether the case went to court

Respondents from split-sample Module D were also asked a short series of questions on attitudes to domestic violence.

Finally, the module also included a question for all core respondents on the respondent's sexual orientation (this was not asked if the self-completion module was administered by the interviewer).

## 3.2 Structure and coverage of the 10-to-15 year-old survey

An extensive development and testing phase was undertaken prior to the launch of the 10-to-15 survey. The results of this phase were documented in the development report published in 2010.<sup>26</sup>

The 2015-16 CSEW questionnaire for 10 to 15 year olds covered:

- Schooling;
- Crime screener questions personal incidents only;
- Victimisation module;
- Perceptions of and attitudes towards the police and anti-social behaviour;
- Personal safety, crime prevention and security;
- Self completion module; and
  - Use of the internet
  - Bullying
  - Street gangs
  - School Truancy
  - Personal security
  - Drinking behaviour
  - Cannabis use
  - Verification questions
- Demographics

## 3.2.1 Random allocation to sub-sample modules

There were two part-sample modules within the 10-to-15 year old survey to which respondents were randomly allocated using an algorithm in the CAPI script. This method of randomly allocating respondents to different modules ensures that the process is strictly controlled and that each part-sample remains representative of the survey population.

<sup>26</sup> Extending the British Crime Survey to children: a report on the methodological and development work

## Table 3.3Modules of the 2015-16 CSEW questionnaire for the 10-to-15 survey and sub-setof respondents who were asked each module

Questionniare module	Proportion of sample	Module
Schooling and perceptions of crime	All	
Crime screener questionnaire	All	
Victimisation module	All victims	
Perceptions of and attitudes towards the police and anti-social behaviour	Random 50%	A
Crime prevention and security	Random 50%	В
Use of the internet	All	
Bullying	All	
Street gangs	All	
School truancy	All	
Personal security	All	
Drinking behaviour	All	
Cannabis use	All	
Verification questions	All	
Demographics	All	

## 3.2.2 Schooling

This module included questions about whether the respondent attended school and, if so, what school year they were in (school year is used later in the questionnaire to help respondents recall exactly when incidents of crime took place).

## 3.2.3 Crime screener questions

All respondents were asked whether they had experienced certain types of crimes or incidents within the last 12 months. The screener questions deliberately avoided using terms such as 'burglary', 'robbery', or 'assault', all of which have a precise definition that many respondents might not be expected to know.

Respondents in the 10-to-15 year-old questionnaire were not asked about household incidents as these would have been covered in the interview with the adult household member. The 10-to-15 year-olds were asked:

- Whether anything had been stolen from them;
- Whether anyone had deliberately damaged their property;
- Whether anyone had deliberately kicked, hit, pushed or been physically violent towards them in any other way;
- Whether they had been hit or threatened with a weapon; and
- Whether they had been threatened in any other way

## 3.2.4 Victimisation modules

All incidents identified at the screener questions were followed up in more detail in the victimisation module. Incidents were covered in specific priority order:

- according to the type of crime;
- chronologically within each type of crime if a respondent reported more than one type of incident of the same crime type, victim modules were asked about the most recent incident first and worked backwards chronologically; and
- up to a maximum of three full victim forms

If three or fewer incidents were identified at the screener questions then a Victim Module was completed for all of the incidents reported.

If the respondent had experienced more than three incidents in the reference period, only three Victimisation Modules were asked using the above priority ordering.

As with the core survey the victimisation module collected the key information required for classification of offences:

- the exact month in which the incident took place;
- an open-ended description of the incident; and
- a series of key questions to establish important characteristics of the incident

**3.2.5** Module A: Perceptions of and attitudes towards the police and anti-social behaviour One half of respondents selected at random were asked their opinion of the police in their area and whether they agreed or disagreed with a number of statements about the police in the area.

Questions were also asked about whether the respondent knew any police or police community support officers (PCSOs), whether they had had any contact with police or PCSOs, who initiated the contact, reasons for contact and how satisfied they were with the contact. It also included questions on anti-social behaviour, covering whether respondents felt teenagers hanging around on the streets was a problem in the area and whether they themselves hung around on the streets with friends.

## 3.2.6 Module B: Crime prevention and security

Respondents were asked about when they go out in the evening, and if not why they do not. Questions were also included about whether they owned a mobile phone, games console or bike, and if so what precautions they took to protect these items.

## 3.2.7 Self-completion modules

A number of modules contained potentially sensitive questions and were therefore included in the selfcompletion section so that respondents did not have to tell the interviewer their answers. As in the core survey, practice questions were included so that the interviewer could explain to the respondent how to use the computer.

**Use of the internet** - respondents were asked whether they had used the internet in the last 12 months and if so what they used the internet for.

**Bullying** – This module asked whether the respondent had been bullied and, where this was the case, some follow up questions were asked about the nature and extent of the bullying.

Street gangs - This module included a definition of a street gang as;

Groups of young people who hang around together and:

- have a specific area or territory;
- have a name, a colour or something else to identify the group;
- possibly have rules or a leader; and
- who may commit crimes together

Respondents were asked how much of a problem they believed street gangs to be in their local area. They were also asked whether they knew anyone who was a member of a street gang and whether they themselves were a member of a street gang.

**School truancy** – Three questions were asked covering whether the respondent had missed school without permission in the preceding 12 months, how many times they had missed school without permission and whether they had been suspended or excluded from school.

**Personal security** – these questions covered whether the respondent knew anyone who carried a knife, whether they themselves carried a knife and, if so, why.

**Drinking behaviour** – this section of questions asked whether the respondent had ever drunk alcohol, whether they had ever been drunk, and how often they had been drunk.

*Cannabis use* – Respondents were asked whether they had ever tried cannabis, and how often they had tried it.

**Verification questions** – one of the crime screener questions was repeated in the self-completion section to explore whether respondents would give a different answer if they did not have to say the answer out loud. The screener question included for verification asked whether the respondent had been hit, kicked, pushed, assaulted or hit with a weapon.

## 3.2.8 Demographics module

The demographics module included questions regarding ethnicity, religion and whether the respondent had a disability or suffered from a long-term illness.

## 3.2.9 Life event calendar

To aid respondent recall, the CSEW makes use of a life event calendar. This calendar works by trying to place events or incidents in some sort of meaningful context for each respondent by building up a picture of events that have happened to them in the last year (e.g. birthdays, anniversaries, holidays, starting a new job, etc.) that are memorable to the respondent. Additionally, national dates such as Christmas, Easter, or Bank Holidays can be put on the calendar as common reference points. Further details about the thinking behind the life event calendar and its development can be found in the 2001 BCS Technical Report.

In relation to the CSEW, the life event calendar can be used for two purposes:

- first, to provide respondents with a visual aid throughout the screener questions; and
- second, to help respondents having difficulty recalling in which particular month an incident may have occurred.

Appendix F in Volume 2 has an example of the calendar used on the 2015-16 core survey and Appendix G has an example of the life events calendar used on the 2015-16 10-to-15 year-old survey.

## 3.3 Questionnaire development

Since most of the questions on the 2015-16 CSEW had been included in previous years of the survey, it was decided to concentrate piloting efforts primarily on new questions.

In December 2014 and January 2015 two rounds of in-home pilot interviews were conducted. The first round was conducted in three locations: London, Nottingham, and Birmingham. Round 2 of piloting also took place in London, as well as Leeds and Manchester. All interviews were conducted by experienced members of TNS BMRB's qualitative research team. Respondents were recruited in street by experienced qualitative recruiters. A  $\pm$ 50 cash incentive was offered to respondents for taking part. Respondents were recruited to meet quotas based on age, sex, social grade and working status. A total of 20 interviews were conducted across the two rounds.

All interviews were conducted using cognitive testing. The purpose of cognitive testing is to assess how well respondents deal with key questions and sections of an interview and to fine-tune questions where necessary. The primary advantage of cognitive testing over standard piloting is that researchers can directly gauge respondents' reactions to a questionnaire rather than indirectly via feedback from an interviewer after an interview has been conducted.

The main question areas covered in the 2015-16 piloting were as follows:

- Main causes of crime
- Out of court disposals
- Trust in the police
- Police Crime Commissioners
- Online crime maps
- Extremism
- Drug use

Full details of the questions piloted and the findings are included in the pilot report included in Volume 2.

## 3.3.1 Questionnaire development for the 10-15 year olds survey

There were a minimal number of changes to the 10-15 year olds survey during the 2015-16 questionnaire development process. As a result of this it was decided that there would be no piloting for the 2015-16 questionnaire changes.

## 3.4 Final questionnaire and revisions

Once all changes had been approved, the questionnaire was thoroughly checked by TNS BMRB researchers and ONS research staff. The final questionnaire can be found in Appendix D of Volume 2 of this Technical Report.

## 3.5 Allocation of sample within CAPI

In the 2015-16 survey, each respondent was randomly allocated to one of four part-sample modules (and within each module further allocated into a sub-sample).

Each address was allocated a unique serial number, this serial was used within the electronic contact sheet to identify each address. For each serial there were two screen numbers within the electronic contact sheet (screen 0 for a core interview and screen 8 for a 10-15 year old interview). Each unique

serial number consisted of 6 digits, the first 4 digits (1000-9999) represented the area or sample point number and the last 2 digits (01-99) represented the address number.

Allocation of respondents to each part-sample module was done on the basis of the address number, using an algorithm based on division of the address number by 8 as shown in <u>Table 3.4</u>. The allocation to a particular Module was done automatically at the start of the interview by the CAPI programme.

Since each sample point contained approximately 32 addresses the above algorithm ensured that within each sample point a similar number of issued addresses were randomly allocated to each follow-up module.

Address Numbers	Remainder divided by 8	Allocated module
01/09/17/25/33/41	1	A1
02/10/18/26/34/42	2	B1
03/11/19/27/35/43	3	C1
04/12/20/28/36/44	4	D1
05/13/21/29/37	5	A2
06/14/22/30/38	6	B2
07/15/23/31/39	7	C2
08/16/24/32/40	8	D2

## Table 3.4 Allocation of interviews to modules

This method of randomly allocating respondents to different sub-modules ensures that the process is strictly controlled, that each part-sample remains representative of the survey population and results in an even allocation across the year. <u>Table 3.5</u> shows the actual proportion of respondents allocated in 2015-16 to the different sub-modules against the target.

Module	Target allocation	Achieved allocation
A1	12.5%	13.6%
B1	12.5%	12.6%
C1	12.5%	12.9%
D1	12.5%	12.2%
A2	12.5%	13.1%
B2	12.5%	11.7%
C2	12.5%	12.8%
D2	12.5%	11.1%
Total sample		35,146

## Table 3.5 Achieved allocation of respondents to modules against target, 2015-16 CSEW

## 3.6 Features of Dimensions used in the CSEW

## 3.6.1 Don't Know and Refusal keys

As with previous years of the survey, almost every question had a Don't Know and Refused option that the interviewer could use but at most questions they did not appear on the screen to try to ensure that interviewers did not over-use these options. In the dimensions script Don't Know and Refused options were shown on a second screen, these options appeared when interviewers tried to continue without entering an answer at the question.

In the paper questionnaire in Appendix D of Volume 2, Don't Know and Refused are only shown if they were designated response categories and actually appeared as an option on the screen.

## 3.6.2 Different question types

The vast majority of questions were pre-coded, meaning that a list of answer categories appeared on the laptop screen and the interviewers selected the appropriate code. Questions were either single response (i.e. only one code could be entered) or multi-response (i.e. more than one code can be entered). In multi-response questions it is possible to allow a combination of either multi-response or single response options at the same question. For example the following codes were always single coded even if contained within a multi-response question: None of these, Don't know and Refused. In the case of numeric questions, where an actual value is required, the interviewer simply typed in the appropriate number.

Many pre-coded questions had an 'Other –specify' option, and if this option was selected by a respondent, the interviewer would simply type in the answer given. In all these questions, the answers were later examined by specialist TNS BMRB coders to see if the 'other' answer could be back coded into one of the original pre-coded options (see section 5.8).

In Dimensions interviewers selected the continue code onscreen to move forwards through the questionnaire and the back code to move backwards in the questionnaire.

## 3.6.3 Logic and consistency checks

A number of logic and consistency checks were built into the Dimensions script. These were of two types: hard checks and soft checks. Hard checks are ones where the interviewer is unable to move to the next question until the discrepancy or inconsistency has been resolved. Soft checks are ones where the interviewer is asked to confirm that the information entered at a specific question is correct but is able to pass on to the next question.

- An example of a hard check is to make sure that every household has someone coded as the Household Reference Person; until this is done the interviewer cannot move forward.
- An example of a soft check is to check the value of stolen items that appear low (for example, a vehicle). In this case the interviewer will be prompted to check with the respondent whether the value entered is correct or not, and has the option either to change the original answer or leave it as it is.

A full list of all the logic and consistency checks in the 2015-16 questionnaire can be found in Appendix J of Volume 2.

## 3.6.4 Date calculation and text substitution

Text substitution and date calculations were used extensively throughout the questionnaire.

**Text substitution** is where alternative text is used in a question depending upon the series of answers given by a respondent to previous questions. In the paper questionnaire, square brackets are used to denote the existence of text substitution in a question.

Two main types of **date calculations** were used in the questionnaire:

- First, the precise reference period was calculated based on the date of interview and this was then substituted into the text of many questions. In all cases it was decided to calculate the date to the first of the month 12 months previous. Thus, for example, any interviews conducted in July 2015 would use the reference period "*since the first of July 2014*".
- Second, some code frames consisted of particular time periods (e.g. months or quarters) which changed on a month-by-month basis. With these type of questions the Dimensions script was programmed to allow the whole reference period covered by the questionnaire (that is, from April 2014 to June 2016 a total of 27 months). However, interviewers only saw on screen the subset of codes that were appropriate to the correct reference period (i.e. 13 calendar months) for the month in which they were interviewing.

Since some questions used these constantly rotating code frames based upon date of interview it was impossible to label these variables in any meaningful way in the SPSS data file. A list of these questions and the appropriate code frames that actually appeared on screen depending upon the month of interview can be found in Appendix H of Volume 2.

## 4. Fieldwork

This chapter documents all aspects of the data collection process, focusing on fieldwork procedures, the management of fieldwork across the survey year, quality control procedures and response rates achieved across the different samples.

#### 4.1 Briefing of interviewers

All interviewers working on the Crime Survey for England and Wales attend one of two types of briefings during the year. Interviewers who have not previously carried out a CSEW assignment are required to attend a full day face-to-face briefing before they can work on the survey. Interviewers who have previously worked on the survey attend a half day refresher briefing.

In total for TNS BMRB interviewers, eight full day interviewer briefings were held with a total of 116 interviewers attending. A half day follow up briefing was subsequently held for these interviewers after 6 months. Seven follow up briefings were held with 81 interviewers attending.

Normally between February and May 2015 the full panel would attend a refresher briefing before the start of the 2015-16 survey. However in 2015 due to the proposed introduction of measure of fraud and cybercrime to the survey in October 2015 these briefings were rescheduled to be conducted between August and September 2015. This meant that the briefings could cover the inclusion of fraud and cyber-crime to the traditional screener and victim form sections. Overall 28 refresher briefings were held with 365 interviewers attending. Prior to the start of the 2015/6 survey the full panel of interviewers received a DVD containing a video briefing by researchers at TNS BMRB. The video briefing gave details of any changes to the questionnaire survey procedures for the 2015-16 survey year.

#### 4.2 Supervision and quality control

Several methods were used to ensure the quality and validity of the data collection operation.

A total of 235 CSEW assignments, 14% of all CSEW assignments allocated in 2015-16 were supervised. Assignments supervised tended to be those assigned to less experienced interviewers. Interviewers new to random probability sample surveys were also accompanied on the first day of their CSEW assignment by a supervisor.

Twelve percent of addresses where an interview was achieved were re-contacted, to verify that the interviewer had contacted someone at the address and the interview had taken place (4,162 addresses). Addresses for this 'back checking' process were selected on the basis of TNS BMRB's standard field quality procedures, whereby all interviewers have their work checked at least twice a year. A total of 4,162 addresses across 347 separate CSEW assignments were back checked during the year.

Validation was carried out mainly by telephone. Where no telephone number was available a short postal questionnaire was sent to the address to collect the same information.

#### 4.3 Fieldwork dates and fieldwork management

During 2015-16 the survey was managed on a monthly basis. An even number of assignments were issued each month (approximately 136).

Interviewers were encouraged to start their assignment as early as possible in the month to minimise the time between respondents receiving the advance letter and an interviewer calling. Interviewers had until the end of the calendar month to cover all the addresses in their assignment and report final outcomes.

Once all the issued addresses had been covered and all electronic outcomes returned to the office, a decision was taken about re-issuing non-productive outcomes. As a general rule all non-productive addresses (non-contacts, refusals, broken appointments, etc.) were re-issued unless there was a specific reason not to or it was considered not to be cost effective (e.g. only one or two addresses in an assignment). Once the first re-issue period had been completed a decision was taken about whether to re-issue addresses that were still non-productive for a second or third time.

In total across the year 13,430 addresses were re-issued on the core sample, which represented 25% of the original sample. Of these 3,808 addresses were issued for a second time (7% of all addresses), 680 (1.3% of all addresses) were issued for a third time and 76 addresses were issued a fourth time. Of all the addresses re-issued, 24% were converted into productive outcomes at some stage. Addresses where the original outcome had been a refusal were less likely to be converted (20% were converted) than those that had been a non-contact (30% converted). Of the other unproductive outcomes 34% were converted. Overall, the impact of the re-issue process was to increase the response rate on the core sample from 64.5% after the initial issue to the final response rate of 71.7%.

As a result of this time lag between addresses being issued and interviews being achieved, the time period covered by the 2015-16 issued sample and the time period covered by the 2015-16 achieved sample are different. Although the sample for the survey was issued between April 2015 and March 2016, the actual fieldwork dates during which interviews were achieved ran from April 2015 to June 2016. As already explained this means that for each quarter of the year not all interviews were actually achieved in the quarter of issue. Approximately 78% of interviews were achieved in the same quarter as they were issued, with 22% of interviews falling into the next quarter. Not surprisingly, most of the interviews that fell into the following quarter were those issued in the last month of a quarter (i.e. June, September, December and March).

The questionnaire used in the field was aligned to the survey year, rather than being aligned to the sample issue.

In 2015-16 all interviews carried out between 1<sup>st</sup> April 2015 and 31<sup>st</sup> March 2016 were therefore done with the 2015-16 questionnaire, irrespective of the time period in which the sample was issued. The advantage of this is that the questionnaire is in line with the way in which the data are reported. This was also the case in October when mid-year changes to the questionnaire were introduced.

Further details of how the quarterly data outputs relate to the issued and achieved sample can be found in section 6.2.

## 4.4 Fieldwork procedures and documents

The variation in assignment sizes was reduced in 2012-13 as part of the revised sample design. Assignment sizes in the 2015-16 survey ranged from 26 to 44 addresses.

The majority of assignments (85%) consisted of between 30 and 36 addresses.

## 4.5 Advance letter and leaflet

All selected addresses were sent a letter from the Office for National Statistics in advance of an interviewer calling at the address. For addresses in Wales, a Welsh translation was provided on the reverse of the letter. This explained a little about the survey, why this particular address had been selected and telling the occupiers that an interviewer from TNS BMRB would be calling in the next few

weeks. The letter also provided a telephone number and an email address for people to contact to find out more about the survey, to make an appointment for an interviewer to call, or to opt out of the survey. Over the course of the whole year 1,818 people, representing around 3% of addresses issued, opted out of the survey by contacting either TNS BMRB or ONS.

Included with the advance letter was a leaflet from the Office for National Statistics which provided people with some more details about the survey, including findings from the previous survey. The leaflet also tried to answer some questions that potential respondents might have such as issues relating to confidentiality.

A leaflet was also specifically designed for the 10 to 15 year olds that explained in relatively simple terms what the survey was about. This leaflet was not sent to households in advance and was rather handed out by the interviewer in eligible household, usually after conducting the core survey. Much of the detailed information about the survey was omitted from this leaflet on the basis that the 10 to 15 year olds would also have access to the original household letter and leaflet about the survey.

Examples of the advance letters used can be found in Appendix A and a copy of the leaflets (including the leaflet designed for 10 to 15 year olds) can be found in Appendix B of Volume 2.

#### 4.6 Electronic Contact Sheet (ECS)

All records about the individual addresses issued to interviewers and details about the calls made to those addresses are stored using the Electronic Contact Sheet. The change to the Electronic Contact Sheet was made in April 2012 and full details can be found in the 2012-13 technical report.

The Electronic Contact Sheet is crucial to the management of the CSEW, both at the level of the individual assignment and for the management of the survey overall. The primary functions of the ECS are as follows:

- To allow interviewers to record the days and times that they called at an address. Additionally, there is the function for interviewers to record details or comments that may be useful should the address be re-issued to another interviewer.
- To provide a record of all the outcomes achieved at the address at every visit. The ECS also allows the outcome at each re-issue stage to be recorded separately, so that there is a complete record of outcomes for each address. Information from the ECS is transferred securely to Head Office on a daily basis so that overall progress can be monitored and managed.
- To allow the interviewer to carry out any selection procedures where required and record the details. Where an interviewer found more than one dwelling unit at an address they had to carry out a procedure to randomly select one dwelling unit for interview. Similarly, where more than one eligible adult was found at an address, one person had to be randomly selected for interview.
- To allow the interviewer to carry out the screening process for the 10 to 15 year olds survey the ECS had step by step instructions for interviewers and also allowed them to record the screening outcomes for every address. As with the final response outcomes, all screening outcomes were reported back to Head Office on a daily basis.
- To collect some basic information about the area and the selected address (e.g. type of property, condition of the property, whether it is in a Neighbourhood Watch area, etc.). This information was collected by interviewers based on their own observations and, as such, was highly subjective. Nevertheless, such information does tend to be highly associated with non-response and is also used by the ONS as an area-based disorder measure.

The content of the Electronic Contact Sheet can be found in Appendix C of Volume 2.

#### 4.7 Fieldwork procedures and documents for the 10 to 15 survey

All respondents for the 10 to 15 survey were selected from households already selected to take part in the core survey. Screening was only carried out in households where a successful adult interview was achieved. In most cases screening was conducted only on completion of the adult interview but in some cases screening was carried out before the adult interview had taken place.

Where a 10 to 15 year old was identified in a household, interviewers were required to obtain the permission of a parent or guardian to interview the child before starting the survey. Permission was recorded on the Electronic contact sheet by recording the name of the adult giving consent and their relationship to the selected child. In some cases the adult respondent may not have been the parent or guardian of the child (for example an older sibling may have been interviewed in the core survey if they were aged 16 or over). In these cases interviewers were not able to obtain permission to interview the child from the core respondent and would therefore have to make contact with the parent or guardian to obtain permission.

Interviewers were provided with a parental information card which gave details of the nature and content of the survey and was to be presented to parents or guardians when they were asked for permission for the child to take part.

Once parental permission was obtained interviewers were instructed to ensure that the 10 to 15 year old also gave their consent to participate in the survey and that they understood what the survey would be about.

#### 4.7.1 Item non-response

In order to emphasise to 10 to 15 year olds their right to refuse a particular question or the survey as a whole they were given a red and green card to use throughout the interview. If they chose not to answer a question they could simply present the interviewer with the red card and that particular question would be coded as a refusal.

The red and green card was developed primarily with the younger age groups in mind. It was however also found to be useful in reassuring parents that the 10 to 15 year olds could refuse certain questions if they felt uncomfortable.

#### 4.8 Presence of others during the interview

During the interviewer briefing sessions emphasis was placed on the importance of trying, wherever possible, to conduct the interview in private. This generally helps to make the interview run more smoothly, but it also might encourage some respondents to mention certain incidents or events, which they might be embarrassed or worried of talking about in front of others.

Privacy during the interview is a particular concern for respondents who have experienced domestic violence or sexual assault. Where respondents had experienced such incidents in the last 12 months, interviewers had the option of suspending the Victimisation Module (simply by skipping over it) if they felt it was inappropriate to continue with the questions because of the presence of others in the room. This procedure meant that the interviewer could complete the rest of the questionnaire, rather than having to abandon the whole interview. During 2015-16, a total of 7 Victimisation Modules were suspended by interviewers for this reason.

Although it is preferable for the interview to be conducted with no-one else present, there are also some situations where the presence of others might improve the accuracy of the information collected. This is particularly the case for incidents of vehicle crime or property crime, where the respondent may not have

been personally present, reported the incident to the police, etc. Additionally, in many cases it is simply not be possible for the interview to be conducted without others present in the room.

#### 4.8.1 Presence of others during the adult screener interview

The key point at which the presence of another person could affect the estimate of victimisation is during the initial set of screener questions. Therefore, at the end of these questions, the interviewer recorded whether anyone else was present. Table 4.1 shows whether or not anyone else was present in the room during the initial screener questionnaire, when respondents are giving details about their experiences of crime.

Table 4.1	Presence of others during th	e screener questionnaire,	, 2015-16 CSEW

Core sample		
	%	
No-one present	71	
Child(ren) under 16	7	
Spouse/partner	17	
Other adult	7	
Base: All adult respondents	35,146	

In 2015-16, seven out of ten (71%) adult respondents were interviewed with no-one else other than the interviewer being present. Where someone else was present, the people most commonly there were the respondent's spouse or partner (17%).

There was little difference between men and women as to whether they completed the interview with noone else being present (73% of men and 70% of women).

Asian respondents, and in particular Asian women, were less likely than respondents from other ethnic groups to have done the screener questionnaire with no-one else present; 61% of Asian respondents completed the screener with no-one else present. Only 53% of female Asian respondents were interviewed with no-one else present, compared with 69% of Asian men.

However, any patterns by age or ethnicity will also be influenced by household composition. <u>Table 4.2</u> shows the information from the previous table with single person households identified separately.

Not surprisingly this shows that the vast majority of respondents interviewed in single person households were interviewed with no-one else present. The majority of respondents living in households with more than one person were also interviewed with no-one else present, although around four in ten respondents were interviewed with someone else present.

	Single person household	More than one person household
	%	%
No-one present	93	63
Child(ren) under 16	1	10
Spouse/partner	*	23
Other adult	6	8
Bases: All adult respondents	9,889	25,257

# Table 4.2Presence of others during the screener questionnaire by household size andsample type, 2015-16 CSEW

The impact of the presence of others during the interview on the information given in the survey is not known as there is no way of knowing what the respondent might have said if they had been alone. <u>Table 4.3</u> shows the proportion of respondents who reported being a victim of crime by who was present during the screener survey. Respondents whose spouse or partner was present were less likely to report victimisation. However, in cases where children under 16 were present or another adult was present respondents appeared to be more likely to report having been a victim of crime.

It is likely however that other demographic factors may be influencing this such as age, gender, social behaviour etc.

# Table 4.3Reporting of victimisation by who else present during the screener<br/>questionnaire

	No-one present	Children under 16	Spouse/partner	Other adult	All households with more than 1 person
	%	%	%	%	%
Victim	18	23	15	19	19
Non Victim	82	77	85	81	81
Base:	25,052	2,612	5,929	2,595	25,257

**Base: All households** 

#### 4.8.2 Presence of others during the self-completion and assistance given

For those who did the self-completion, the presence of others during this part of the interview was also recorded. <u>Table 4.4</u> shows that more than seven in ten adult respondents (73%) who did the self-completion did so when no-one else was present. Thirteen per cent completed the self-completion with a spouse or partner present and 10% did so when children were present in the room.

# Table 4.4 Whether anyone else was present or not during the self-completion by sample type,2015-16 CSEW

	Core sample
	%
No-one else	73
Spouse/partner/girlfriend/boyfriend	13
Child(ren) under 16	9
Other household member (adult)	6
Someone else	3
Base: All adult respondents who did the self- completion	21,193

Percentages add up to more than 100% since more than one answer could be coded at this question

Where anyone else was present in the room during the self-completion section, interviewers were briefed to try and 'arrange' the room whenever possible so that the respondent had a degree of privacy to do the self-completion. For example, interviewers might try to ensure that the respondent was sitting with the screen facing a wall or was in such a position that no-one else in the room could actually read the computer screen.

Where anyone else was present, the extent to which they were involved in answering questions was noted, as was whether the interviewer was involved in the self-completion sections. In cases where someone else was present during the self-completion, it was not common for others to become involved in answering the questions (12%). In6% of interviews someone else looked at or read the self-completion with the respondent, while in another 6% of interviews the respondent discussed the self-completion with other people.

Respondents aged 45-59 (13%) and Asian respondents (21%) were more likely than average to have had someone else involved in answering the questions, either by looking at or reading the questions, or by discussing the questions.

<u>Table 4.5</u> shows the amount of assistance that interviewers gave to respondents on the self-completion section. The vast majority of respondents who answered the questions (93%) used the laptop on their own without any help from the interviewer while about 7% required some form of assistance with the self-completion.

Respondents aged 45-59 (8%), Asian respondents (16%) and Black respondents (16%) were the most likely to have sought some help with the self-completion. This was primarily because these respondents were more likely to have asked the interviewer to complete the self-completion for them, rather than using the computer themselves.

# Table 4.5Amount of assistance given by interviewers with the self-completionquestionnaire by sample type, 2015-16 CSEW

	Core sample
	%
All done by respondent	93
Help given with one or two questions	2
Help given with more than one or two questions, but less than half	1
Help given with more than half, but not all	*27
Help given with all/nearly all	1
Completed by interviewer	3

*Base: All adult respondents who did the self-completion* 

#### 4.8.3 Presence of others during the 10-15 year old interview

The 10-15 year old interview was much more likely to take place in the presence of others than the adult interview with a parent or guardian being the most likely person to be present during the screener questionnaire. As would be expected there was a clear relationship between the age of the child and the likelihood of a parent or guardian being present. Thus when interviewing a 10 year old a parent or guardian was present in 85% of interviews compared with 64% of interviews with 15 year olds.

21,191

# Table 4.6Presence of others during the screener questionnaire, 2015-16 CSEW, 10-15year old sample

	Age of child				Total		
	10	11	12	13	14	15	
	%	%	%	%	%	%	%
Parent/guardian	85	80	76	75	69	64	75
Other child from household	15	15	11	9	9	6	11
Other adult from household	2	2	3	2	3	2	2
Other non-household child	2	4	2	2	2	3	2
Other non-household adult	1	2	1	2	2	2	2
No one present	11	17	20	22	27	32	21
Base:	447	514	481	464	460	459	2,825

27 Less than 0.5 per cent but more than 0

#### 4.8.4 Self-completion acceptance

Acceptance of the self-completion section was almost universal among 10-15 year olds (98%).

An option to listen to the questions in the self-completion questionnaire using Audio CASI was available for 10-15 year olds. Overall one quarter of 10-15 year olds (26%) chose to use the Audio CASI for some or all of the questions. Younger children were slightly more likely to use the Audio CASI; it was used by 28% of 10 year olds compared with 23% of 15 year olds.

#### 4.9 Length of interview

Timing stamps were placed throughout both the adult and 10 to 15 year old questionnaire to allow timing of individual sections. In a small number of cases the time stamps were invalid although valid times were available for around 86% of interviews.

#### 4.9.1 Length of adult interview

The average (mean) core interview length in 2015-16 was 47 minutes<sup>28</sup>. About two-thirds of all interviews (62%) took between 30 and 60 minutes while 17% took between 60 and 90 minutes. A small proportion of interviews (3%) took over 90 minutes to complete.

The main influence on core interview length is whether or not the respondent has been a victim of crime or not. The average interview length for non-victims was 44 minutes compared with65 minutes for victims of crime.

The average length of interview by number of Victimisation Modules completed is shown in <u>Table 4.7</u> below. Not unexpectedly interview length is strongly related to the number of Victimisation Modules completed by the respondent, with those completing 4 or more modules (2.9% of victims) having an average interview length of around 99 minutes.

Table 4.7Average time of interview by number of Victimisation Modules, 2015-16 CSINumber ofAverage time (minutes)VictimisationVictimisation				
Modules Non victims				
NON VICTIMS	44			
All victims	65			
1	60			
2	78			
3	85			
4 or more	99			
All adult resp	ondents 47			

The average times to complete a long and short Victimisation Module were 13 and 5 minutes respectively. The time taken to complete Victimisation Modules declined, with the first long module

<sup>28</sup> In 2015-16the median interview length was 44 minutes.

taking an average of 13.0 minutes and the last long module taking an average of 9.5 minutes. This pattern is consistent with all previous surveys and suggests that respondents speed up as they become more familiar with the questions.

Respondents who completed the CASI modules of the survey took on average 11 minutes<sup>29</sup>. The average time taken to complete the drugs and drinking modules was 5 minutes and the average time taken to complete the inter-personal violence module was 1.6 minutes.

#### 4.9.2 Length of the 10 to 15 year old interview

In 2015-16 the average interview length of the 10 to 15 year old survey was 15 minutes. As was the case with the core adult interview respondents who reported being a victim of crime had a longer interview. The average interview length for non-victims was 13 minutes compared with 22 minutes for those who reported being a victim of crime.

#### 4.10 Response rate and reasons for non-response: core sample

#### 4.10.1 Overall core response rates

The full response rate analysis for the 2015-16 issued core sample is shown in <u>Table 4.12</u>. In 2015-16 9.4% of issued addresses were identified as not being an eligible residential address (known as deadwood). The most common type of deadwood was empty or vacant residential properties, which accounted for 5.3% of all issued addresses.

Interviewers made contact with either the selected respondent or a responsible adult at 97% of eligible addresses, meaning a non-contact rate just over 3%. There were two types of non-contact. The most common (2.6% of eligible addresses) was where no contact was made with anyone at the address despite repeated calls over a lengthy fieldwork period. It is possible that some of these addresses were actually empty or vacant and so should have been coded as deadwood. However, the impact that this would have had on the overall response rate is minimal. The remaining addresses classified as non-contact (0.6% of eligible addresses) were where contact was made with someone at the address, but no contact was made with the person selected for interview.

At eligible addresses the most common reason for not getting an interview was due to a refusal, which accounted for 17% of all eligible addresses. The most common types of refusal were where the person selected for interview refused to take part in the survey (6%), and where no information about the household was given meaning that the person selection could not be carried out (5%). Refusals directly to Head Office accounted for 3% of all eligible addresses. Proxy refusals (someone refusing on behalf of the selected respondent) were less common (1%).

A further 5% of eligible addresses were categorised as unproductive for other reasons including broken appointments, people who were ill or away during the period of the survey and people who had inadequate English to complete the survey.

Combining all the different types of unproductive addresses gave a final response rate of 71.7% for the 2015-16 survey. The response rate was higher than the previous year (69.8%) and closer to the target of 75%.

Since 2005, a booklet of six first class stamps has been sent with the advance letter as a 'thank you' to people for taking part in the survey<sup>30</sup>. In the first six months of the 2013-14 survey a stamp experiment

<sup>29</sup> This figure includes the introduction to the computer and the completion of the practice questions

<sup>30</sup> See Grant C. et. al. (2006) 2004/5 British Crime Survey (England and Wales) Technical Report (London: BMRB) for details of experiment carried out on BCS to test the impact of stamps on overall response rates.

was undertaken. The experiment involved half of the sample receiving a book of six stamps with the advance letter, whilst the other half received four stamps. For the **2014-15** survey year all issued addresses between April and December 2014 received a set of four first class stamps. From January 2015 onwards all addresses received a book of six first class stamps. In 2015-16 all issued addresses received a set of six first class stamps.

#### 4.10.2 10-15's sample incentive

At the start of the 2015-16 survey year an incentive was introduced for the 10-15s survey for the first time. Respondents were offered a £5 gift card as a 'thank you' for completing the survey. Response rates for the 10-15 survey are subject to a fair degree of variation due to the relatively small sample sizes, they also tend to reflect the response trends seen on the adult survey (therefore a dip in response on the adult survey tends to correlate with a dip in response on the child survey).

The £5 gift incentive was offered to the full sample for 10-15 year olds from April 2015 so there is no experimental data available to evaluate the impact of the incentive on response. Response rates for the 10-15s survey did increase from April 2015 but as these also coincided with an increase in the adult response rate it is not possible to isolate the extent to which this is related to the introduction of the gift card incentive.

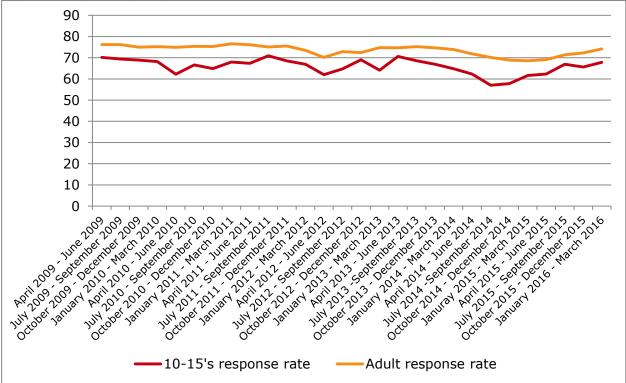


Figure 4.1 Quarterly response rates for the core and 10-15s sample

#### 4.10.3 Performance against targets

Overall 35,146 interviews were achieved in 2015-16 against a target of 35,000 which was an over achievement of 146 interviews. The target response rate for the 2015-16 survey was 75% and the response rate achieved was 72%.

#### Table 4.8 Core sample response rate and non-response outcomes, 2015-16 CSEW

	N	% of issued	% of eligible
TOTAL ISSUED ADDRESSES	54,074	100.0	
Deadwood			
Addresses not traced/accessible	437	0.8	
Not built/does not exist	72	0.1	
Derelict/demolished	124	0.2	
Empty/vacant	2,884	5.3	
Second home/not main residence	777	1.4	
Business/industrial	539	1.0	
Institution	106	0.2	
Other deadwood	144	0.3	
TOTAL DEADWOOD	5,083	9.4	
TOTAL ELIGIBLE ADDRESSES	48,991	90.6	100.0
Non contact			
Non-contact No contact made with household	1,426	2.6	2.9
No contact with selected respondent	298	0.6	0.6
Total non-contact	<b>1,724</b>	<b>3.2</b>	<u> </u>
	1// 2-1	512	515
Refusal			
Office refusal	1,818	3.4	3.7
Refused all information	2,812	5.2	5.7
Personal refusal	3,497	6.5	7.1
Proxy refusal	693	1.3	1.4
Contact made, no specific appointment	444	0.8	0.9
Total refusal	9,264	17.1	18.9
Other unproductive			
Broken appointment	1,123	2.1	2.3
Temporarily ill/incapacitated	380	0.7	0.8
Physically or mentally unable	400	0.7	0.8
Away/in hospital	376	0.7	0.8
Inadequate English	339	0.6	0.7
Other unsuccessful	239	0.4	0.5
Total other unsuccessful	2,857	5.3	5.8
TOTAL UNPRODUCTIVE	13,845	25.6	28.3
Full interviews	35,131	65.0	71.7
Partial interviews	15	0.0	0.0
TOTAL INTERVIEWS	35,146	65.0	71.7

#### 4.11 Response rate and reasons for non response: 10-15 year old sample

<u>Table 4.9</u> shows the screening and response outcomes for the 10-15 year old sample. During 2015-16, interviewers were required to screen for 10 to 15 year olds at all of their core sampled addresses where a core interview was conducted.

After accounting for deadwood addresses, 28% of addresses which were issued for the core survey were not screened for 10-15 year olds because the outcome at the core address was an unsuccessful outcome. Interviewers identified at least one 10-15 year old at 9% of addresses where screening was successfully carried out. Among those households where an eligible respondent was identified the response rate achieved was 66%.

The level of non-contact (4%) was slightly higher than the level achieved on the core sample but the levels of refusals were higher at 27%. The response rate achieved on the 10 to 15 year olds survey does not take into account households where it was not known whether a 10-15 year old was present because of non-response to the core sample. When this is taken into consideration the 'true' response rate for the 10-15 survey is  $47\%^{31}$ 

<sup>31</sup> This is calculated by applying the actual eligibility rate achieved for successfully screened addresses (12.2%) to the total nondeadwood addresses issued for screening with unknown eligibility (13,845) to give an estimate of 5,999 eligible households, from which 2,828 interviews were achieved which represents a response rate of 47%.

Table 4.9 Response rate and non-response outcomes	10-15 year old			SEW
	N	% of issued eligible addresse s	% of screen ed househ olds	% of eligible househol ds
TOTAL ADDRESSES FOR SCREENING	54,074	100.0		
Core deadwood addresses	5,083			
TOTAL ELIGIBLE ADDRESSES FOR SCREENING	48,991	100.0		
No screening attempted (eligibility unknown)	13,845	28.3		
Screening information refused (eligibility unknown)	0	0.0		
Total unknown eligibility	13,845	28.3		
Total households screened for 10-15 year olds	35,146	71.7	100. 0	
Screened households with no 10-15 year old	30,842	63.0	87.8	
Screened households with a 10-15 year old	4,304	8.8	12.2	
			100.	
Total screened households with a 10-15 year old	4,304		0	
10-15 year old in household, no interview required	0		0.0	
10-15 year old in household, interview required	4,304		100.0	
Total households where interview required	4,304			100.0
No contact with selected respondent	159			3.7
No contact with parent/guardian	32			0.7
Total non-contact	191			4.4
Office refusal	0			0.0
Parent/guardian permission refusal	766			17.8
Personal refusal	256			5.9
Proxy refusal	71			1.6
Contact made, no specific appointment	60			1.4
Total refusal	1,153			26.8
Other unproductive				
Broken appointment	21			0.5
Temporarily ill/incapacitated	3			0.1
Physically or mentally unable	34			0.8
Away/in hospital	30			0.7
Inadequate English	3			0.1
Other unsuccessful	41			1.0
Total other unsuccessful	132			3.1
TOTAL UNPRODUCTIVE	1,476	3.0		34.3
Full interviews	2,828			65.7
				0.0
Partial interviews	0			0.0

#### 4.11.1 Core response rates by Government Office Region

<u>Table 4.10</u> shows the different response rates and reasons for non-response achieved by Government Office Region in 2015-16. This shows that across most regions the response rate was broadly similar, ranging from 79% in Wales to 71% in North West. Only in London was response to the survey noticeably lower, with a final response rate of 61%. The lower response rate achieved in London was due to a slightly higher than average non-contact rate (10%) compared with other regions. Lower response rates in London are a problem that is common to most major surveys, although the response achieved in London has improved over recent years.

Table 4.10 Core sample response rates and non-response by Government Office Region, 2015	-
16 CSEW	

Table title		Non- contact	Refusal	Other unproductive	Achieved interviews
		Percentage	of eligible add	lresses:	
North East	%	3.1	16.4	3.5	77.0
North West	%	2.9	20.1	5.7	71.3
Yorkshire & The Humber	%	2.5	17.6	5.5	74.4
East Midlands	%	2.8	18.9	5.7	72.6
West Midlands	%	3.8	17.2	7.3	71.7
East of England	%	2.3	20.2	6.0	71.5
London	%	9.6	21.4	7.9	61.2
South East	%	3.0	20.3	5.1	71.6
South West	%	2.1	18.6	5.2	74.0
Wales	%	1.6	14.5	5.0	78.8

#### 4.11.2 Core response rate by Police Force Area

As outlined in section 2.2 the aim was to achieve around 650 interviews in each PFA, with larger sample sizes in the most populous areas. In order to achieve this sample size within each PFA the amount of sample issued was based on actual average deadwood rates and response rates over the period 2008-2010.

<u>Table 4.11</u> below shows the actual number of interviews achieved in each PFA and the response rates. This shows that in a number of Areas the target number of achieved interviews exceeded 650, while in other areas the number of achieved interviews fell slightly short. This is explained simply by the fact that the actual eligibility and response rates achieved in certain Areas in 2015-16 were slightly different (either higher or lower) from the figures used to estimate the amount of sample to issue.

#### Table 4.11 Core sample achieved interviews and response rates by PFA, 2015-16 CSEW

PFA	Target	Achieved	Response rate
PFA	Target	Achieved	Response rate
	N	Ν	%
Avon & Somerset	846	861	75.3
Bedfordshire	650	721	76.5
Cambridgeshire	650	622	70.2
Cheshire	650	666	73.9
Cleveland	650	626	73.6
Cumbria	650	611	72.5
Derbyshire	650	688	74.5
Devon & Cornwall	939	1,024	73.1
Dorset	650	628	71.2
Durham	650	639	74.1
Dyfed Powys	650	689	84.7
Essex	906	884	67.2
Gloucestershire	650	741	75.8
Greater Manchester	1,422	1,377	70.3
Gwent	650	728	79.3
Hampshire	992	1,014	76.8
Hertfordshire	650	640	73.6
Humberside	650	656	71.8
Kent	893	910	71.0
Lancashire	779	785	71.8
Leicestershire	650	677	68.5
Lincolnshire	650	646	76.4
Merseyside	744	667	69.4
Metropolitan and City of London	3,876	3,614	61.2
Norfolk	650	629	71.4
North Wales	650	705	76.2
North Yorkshire	650	691	75.5
Northamptonshire	650	623	70.7
Northumbria	784	830	82.4
Nottinghamshire	650	648	73.5
South Wales	682	727	75.7
South Yorkshire	711	683	73.8
Staffordshire	650	726	77.4
Suffolk	650	650	71.7
Surrey	650	605	67.2
Sussex	853	844	70.0
Thames Valley		1180	71.3
Warwickshire	650	662	73.0
West Mercia	650	653	76.4
West Midlands	1,366	1312	66.4
West Yorkshire	1,169	1192	75.7
Wiltshire	_/_ ~ ~	682	74.7

#### 4.11.3 Core response rates by type of area and type of property

Since large administrative areas such as Government Office Regions contain a variety of different types of area it is useful to examine response to the survey broken down by area type. <u>Table 4.12</u> shows the response rates and reasons for non-response by different types of area, showing that overall response rates tended to be lower in areas categorised as inner city compared with non inner city areas (64% and 73% respectively). This difference in response rate explains why the current CSEW data includes a weight to correct for differential response rates between those areas defined as inner city and non-inner city (see <u>section 7.4</u>).

Similarly, the response rate in urban areas was lower compared with that achieved in rural areas (70% and 76% respectively). Response also varied significantly by ACORN<sup>32</sup> Category, being highest in areas classified as 'Affluent achievers' (76%) and lowest in areas classified as 'Rising Prosperity' (65%). There was similar variation in response by Output Area Classification, ranging from 77% in 'Rural residents' Areas to 61% in 'Cosmopolitans'<sup>33</sup>.

Looking at the differences in response rates by types of area shows how most of the response differential is due to variation in the non-contact rate, while the refusal rate tends to be fairly consistent. Thus, while the refusal rate varied between 18% and 21% in the different types of areas shown in <u>Table 4.11</u>, the non-contact rate varied from 1% to 12%.

32 For details of ACORN categories please see: http://acorn.caci.co.uk/downloads/Acorn-User-guide.pdf

<sup>33</sup> For details of Output Area Classification see <u>http://www.ons.gov.uk/ons/guide-method/geography/products/area-classifications/ns-area-classifications/index.html</u>

	nse rates and nor Non-contact	Refusal	Other	Achieved
		Percentage of	unproductive eligible addresses	interviews
				0/
	%	%	%	%
Inner city <sup>1</sup>	8.6	19.9	7.8	63.7
Non-inner city	3.0	18.8	5.6	72.6
Urban <sup>2</sup>	4.0	19.2	6.3	70.4
Rural	1.6	17.9	4.0	76.4
ACORN Category				
Affluent achievers	1.5	18.9	4.0	75.6
Rising Prosperity	8.7	20.2	6.0	65.0
Comfortably Communities	2.2	18.3	5.1	74.4
Financially stretched	3.1	19.2	6.6	71.1
Urban adversity	6.3	18.9	8.3	66.5
Output Area Classification				
Rural residents	1.3	17.5	3.8	77.4
Cosmopolitans	12.5	18.9	7.4	61.2
Ethnictity central	11.8	21.2	8.7	58.3
Multicultural metroploitans	5.2	19.0	8.2	67.6
Urbanites	3.0	18.9	5.2	72.8
Suburbanites	1.2	18.9	4.2	75.6
Constrained city dwellers	5.1	18.4	8.1	68.4
Hard pressed living	2.3	19.3	6.1	72.4

1

Inner city is based on the CSEW definition that has been used for many years. See <u>section 7.4</u> for more details.
This is based on the ONS definition of urban-rural areas, where urban is classed as 'urban -sparse' and 'urban -less sparse' and all other areas are classed as rural

Part of the CSEW assignment involved the interviewer collecting some details about the area and about the specific issued address. Since this information was collected for all residential addresses, whether or not an interview was obtained, it is possible to analyse response rates according to this data. Of most interest is how response varies first, by the type of property and second, by the type of area.

<u>Table 4.13</u> shows how response rates on the 2015-16 survey varied according to the type of property, ranging from 76% among detached and semi-detached houses to 59% among flats.

The differential response rates achieved at different types of flats shows the impact on response rates of two particular aspects of flats, namely whether or not a property has a communal entrance and whether or not the communal entrance is lockable (e.g. controlled entry phone system). Not surprisingly, flats with communal entrances that had controlled entry systems were the most difficult type of property for interviewers to gain response. In 2015-16, the response rate at these types of property was 59% compared with 69% for flats with their own (non-communal) entrances. Flats with locked entrances had a higher than average level of non-contact (12%). This highlights the difficulty faced by interviewers in trying to gain an interview at an address where they are unable to make direct face-to-face contact with people, often having to communicate via intercom systems.

	Non-contact	Refusal	Other unproductive	Achieved interviews
	Ρε	ercentage of e	eligible addresses	
	%	%	%	%
Detached/semi-detached house	1.6	18.2	4.6	75.6
Terraced house	3.4	18.6	6.8	71.3
Maisonette	5.8	19.9	8.0	66.3
Flats with:				
Own entrance	6.3	16.3	8.3	69.1
Non-lockable communal entrance	6.3	17.8	9.8	66.1
Lockable communal entrance	12.2	21.1	7.6	59.1
All types of flat	10.7	19.7	7.9	61.7

### Table 4.13 Core sample response rates and non-response by types of property (recorded by interviewers), 2015-16 CSEW

Apart from the actual type of property, interviewers were also asked to record their general observations about the area immediately surrounding each issued address with respect to a number of characteristics including how common rubbish or litter was, how common vandalism and graffiti was and how common run down houses were. These might be considered to be an indication of the degree of physical disorder within a particular area, although these observations are clearly open to a high degree of subjectivity. <u>Table 4.14</u> shows that there was some association between interviewer observations and the final response rate: response rates were highest in areas that had a low level of physical disorder and lowest in the areas that had the highest levels of physical disorder.

### Table 4.14 Core sample response rate by evidence of physical disorder (recorded by interviewer), 2015-16 CSEW

	Very common	Fairly common	Not very common	Not at all common
How common is	%	%	%	%
Litter or rubbish lying around	65	68	70	75
Vandalism, graffiti or damage to property	65	68	68	74
Homes in poor condition or run down	64	67	69	74

#### 4.12 Response to the self-completion questionnaire

The last part of the core questionnaire involved a self-completion module which was asked of all respondents aged 16-59. In 2015-16 there were two self-completion modules on the survey:

- Use of illicit drugs and drinking behaviour
- Experience of domestic violence, sexual victimisation, and stalking

Although respondents were encouraged to use the computer themselves, if they did not want to use it for some reason, interviewers were allowed to administer the modules provided that no-one else was present in the room. Where the self-completion part of the survey was administered by the interviewer the domestic violence, sexual victimisation and stalking modules were not completed, since these questions were considered too sensitive to be read out by the interviewer.

<u>Table 4.15</u> shows that 97% of eligible respondents in the core sample answered the self-completion module, with 94% of them entering their answers directly in to the laptop themselves and 3% asking the interviewer to enter their answers for them.

#### Table 4.15 Response to the self-completion module, 2015-16

	Core sample		
	%		
Refused	3.0		
Completed by interviewer	2.9		
Accepted by respondent	94.1		
Overall self-completion response	97.0		

Base	21,846

<u>Table 4.16</u> shows how response to the self-completion questionnaire varied according to the demographic characteristics of adult respondents.

There was no difference between men and women in terms of response to the self-completion. Older respondents were more likely than younger ones to ask the interviewer to enter their answers for them (4% of 45-59 year olds compared with 1% of 16-24 year olds).

Some of the most noticeable differences were between respondents from different ethnic groups. Only 3% of White respondents refused to do the self-completion compared with 6% of Asian respondents. Asian respondents were more likely than White respondents to ask the interviewer to enter their answers for them (6% of Asian respondents compared with 3% of White respondents).

There were also some differences by socio-economic classification, with respondents from routine and manual occupations being slightly less likely than those from managerial and professional occupations to answer the self-completion (96% compared with 98% respectively). Respondents from routine and manual occupations were also more likely than those from managerial and professional occupations to ask the interviewer to enter their answers for them (4% and 1% respectively).

	Refused	ts (core sample), 2 Completed by interviewer	Accepted by respondent <sup>1</sup>	Overall self- completion response	Bases:
	%	%	%	%	N
Sex					
Male	2.9	3.3	93.8	97.1	9,879
Female	3.0	2.6	94.4	97.0	11,967
Age					
16-24	2.5	1.3	96.2	97.5	2,487
25-34	2.6	2.1	95.2	97.4	4,986
35-44	3.0	2.8	92.8	97.0	5,543
45-59	3.3	3.9	94.1	96.7	8,830
Ethnicity					
White	2.5	2.5	95.0	97.5	19,019
Mixed	3.5	1.9	94.6	96.5	315
Asian	6.2	5.8	88.1	93.8	1,511
Black	5.4	6.3	88.3	94.6	762
Other ethnic group	9.4	5.0	85.6	90.6	202
NS-SEC					
Higher managerial, administrative & professional	1.9	1.3	96.7	98.1	7,997
Intermediate occupations	2.5	2.5	95.0	97.5	4,846
Routine & manual	3.7	4.4	91.9	96.3	6,972
Never worked and long-term unemployed	7.9	10.4	81.7	92.1	807

# Table 4.16Response to the self-completion questionnaire by socio-demographic<br/>characteristics of respondents (core sample), 2015-16 CSEW

#### Total

 $^{1}% \left( R^{2}\right) =0$  Respondent used the laptop on their own

<u>Table 4.17</u> shows the reasons given by respondents either for refusing the self-completion module or for asking the interviewer to enter their answers for them.

Running out of time was the most common reason cited for respondents refusing to complete the selfcompletion (mentioned by 30%). A dislike of computers was the most common reason why respondents asked the interviewer to enter their answers for them (mentioned by 27%).

interviewer (core sample)	Refused Completed by interviewer		Total
	%	%	%
Don't like computers	9.2	26.6	17.7
Ran out of time	48.2	13.1	30.4
Couldn't be bothered	3.9	3.1	3.7
Language problems	15.1	20.6	18.0
Children in room	6.2	9.3	7.7
Disability	2.8	7.2	4.9
Eyesight problems	1.1	10.5	5.7
Respondent unwell	5.1	7.2	6.0
Interview already too long	25.3	6.0	15.4
Could not read/write	3.7	6.6	5.1
Confidentiality worries	4.9	1.1	3.0
Other people in room	1.7	1.3	1.5
Objected to study	1.8	0.3	1.1
Other reasons	12.8	11.5	11.9
Percentages add up to mo	ore than 100% since m	ore than one answer could be c	oded at this question
Bases:	649	636	1,314

# Table 4.17 Reasons for refusing self-completion questionnaire or for completion byinterviewer (core sample), 2015-16 CSEW

#### 4.13 Full and Partial Interviews

For a core interview to be regarded as valid, respondents had to answer to the end of the screener questions. Any interview which was abandoned before the end of the screener questions was not regarded as useable and was not put on the data file.

An interview was counted as a full interview for the core sample if the respondent completed to the end of the demographics module. If the interview was stopped before the end of the demographics module it was coded as a partial interview. Full and partial interviews were recorded separately in the field figures.

# 5. Data processing

#### 5.1 Offence coding

The CSEW Offence Coding System was developed for the 1982 CSEW to match as closely as possible the way incidents were classified by the police. The CSEW counts crime according to the victim's account of events, rather than requiring criminal intent to be proven. This is reflected in how the police record crimes under the National Crime Recording Standard using the Counting Rules<sup>34</sup>.

In order to classify offences, detailed information is collected about the incidents reported by respondents in the Victimisation Modules. Once the data are returned to the office, all Victimisation Modules are reviewed by specially trained coders in order to determine whether what has been reported represents a crime or not and, if so, what offence code should be assigned to the crime.

Apart from some minor changes, the code frame and the instructions to coders for the core survey have remained stable since 1982. The operational procedures used for assigning codes on the 2015-16 survey have been in place since 2001. In October 2015 the coding system was updated to include the classification of fraud and cyber offences. This change did not affect the way in which non-fraud incidents were coded.

The coding manual itself is reviewed on an annual basis, itwas significantly revised in 2010 to incorporate the instructions for coding offences against 10 to 15 year olds and again in 2015 to incorporate the instructions for coding fraud and cyber offences.

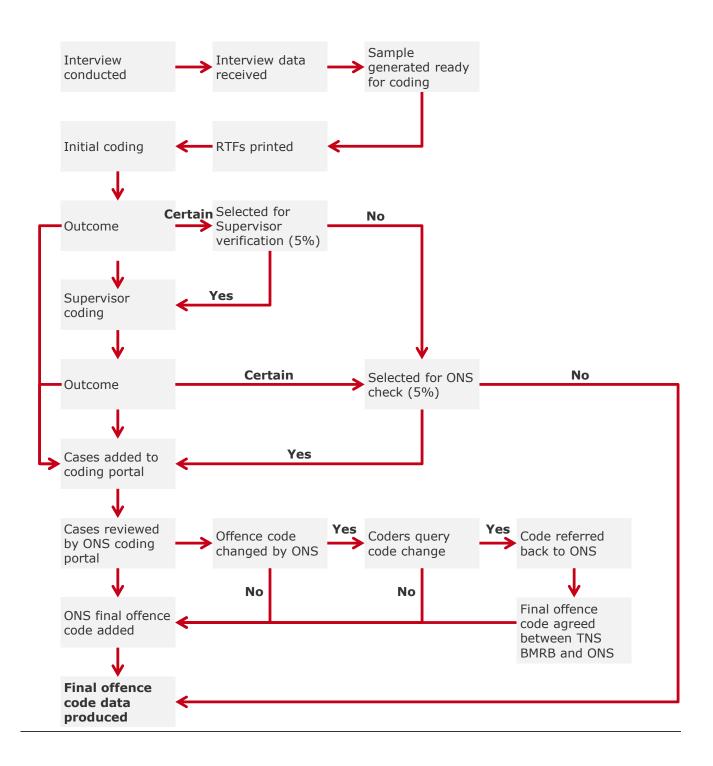
During 2015-16, the Offence Coding System consisted of the following steps:

- 1. For each Victimisation Module a paper-based summary was produced.
- 2. In addition to these paper-based summaries the coders used a specially developed computer assisted questionnaire to help them arrive at a final offence code for each Victimisation Module.
- 3. A supervisor checked any codes that the original coder was uncertain about. Additionally, 5% of codes where the coder was certain of the outcome were also checked as a further quality check. These are systematically selected from all cases that have been coded (i.e. every nth case) in a particular period.
- 4. Researchers at the Office for National Statistics checked:
  - Any codes that TNS BMRB were uncertain about
  - Certain types of incident that were automatically referred (e.g. arson)
  - A proportion (5% for non-fraud and 20% for fraud) of certain codes as part of a quality control check

The result of this process was that every Victimisation Module had a final offence code assigned to it. A flow chart of the Offence Coding System is shown in <u>Figure 5.1</u> and the offence coding system is explained in more detail below.

<sup>34</sup> https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/340315/count-general-july-2014.pdf





#### 5.2 The automatically generated offence code

In 1996 a programme was introduced that automatically generated an offence code based on the answers to a number of pre-coded variables in the Victimisation Module.

An automatic code cannot be generated in all cases and in around three in ten cases each year a code cannot be generated. Coders have always been instructed to largely ignore the automatic code and code independently (using the automated code as a check only). As such in 2012-13 it was decided to remove the automatically generated code.

#### 5.3 The coding task

Coders are provided with a paper-based print out of the key variables from each Victimisation Module and this information forms the basis of the coding. This document also provides coders with the offence code that had been generated by the automatic generation programme.

Coders used a specially designed computer assisted questionnaire to carry out the coding. The questionnaire asked the coders certain questions about the nature of the offence. The questionnaire takes account of the major rules that apply to offence coding (such as the priority of codes), and by answering the questions on the basis of the information provided in the Victimisation Module, the coders reach an offence code.

All coders were personally briefed about the offence coding. The coders were also provided with a coding manual. This manual is similar to the one used in previous years of the CSEW but was revised in 2010 to incorporate the coding guidelines for the 10 to 15 year old survey and again in 2015 to incorporate the fraud and cyber crime classification. The manual contains all the rules that govern offence coding. The manual also provides flow-charts that show how the coding questionnaire works, so that coders can see how they reached a particular offence code on the basis of the answers that they input. This can be found in Volume 2 of the 2015-16 Technical Report.

When the coder reaches an offence code, they can say whether they are certain or uncertain that this is the right code. Any Victimisation Module which the coder is uncertain about is automatically referred to their supervisor for checking. In addition, the supervisor checks 5% of codes which coders were certain about.

#### 5.4 Office for National Statistics coding

All cases where the coders are uncertain about the correct code to assign are automatically referred to ONS.

In addition to this, 5% of all codes which TNS BMRB were certain about were selected to be sent to ONS for quality control checking (20% for fraud cases). These were selected in a systematic fashion by selecting every nth case in each two-week time period.

All offence codes checks carried out by researchers at ONS took place through an online offence coding portal. Victimisation modules for checking by ONS were uploaded to the portal every week. The offence coding portal contains the unique serial number of each victim form, the code that the coder (and supervisor if applicable) had given the incident, how certain the coder (and supervisor) was about the coding, and any notes that the coder added about why they were uncertain. An electronic version of the paper-based document providing the key variables from the Victimisation Module was also available from the portal.

Researchers at ONS coded each of the Victimisation Modules sent to them on the offence coding portal and added any comments they had on each case. These codes then appeared on the offence coding portal (so that the coders could see the changes that had been made).

Particular attention was paid to cases where ONS changed a code that TNS BMRB coders had marked as "certain". If the TNS BMRB coders disagreed with such a coding decision, this was flagged up in the coding portal to TNS BMRB researchers and ONS researchers for further consideration and discussion.

In total 1,486 cases were sent to ONS for checking as part of the 2015-16 survey, which represented about 14% of all Victimisation Modules (including fraud).

Of the Victimisation Modules sent to ONS:

- 189 were automatically referred to ONS (Code R). This covers cases of aggravated burglary, duplicate cases and cases where the Victimisation Module was invalid;
- 230 were cases where the TNS-BMRB coder was not certain about the code; which were also automatically referred to ONS for checking (Code U);
- 468 were part of the quality control check (Code Q); and
- 599 were related Victimisation Modules (Code AF). To ensure that those checking offence codes had complete information all the Victimisation Modules belonging to an individual respondent were sent to ONS, rather than just the single Module under consideration.

Of the 1,486 Victimisation Modules sent to ONS 93 cases had their code changed by ONS, representing 6% of all cases sent. This level of change was fairly static across the survey year suggesting a degree of stability in the offence coding process.

The codes changed by ONS according to the categories outlined above were as follows:

- in seven cases offences were coded for referral to the ONS; as this is not a valid code this was changed in all cases;
- in 71 cases where the module was judged to be invalid by TNS BMRB coders one code was changed (1%);
- in 111 cases referred as duplicates, three were changed by ONS (3%);
- in 230 cases where TNS BMRB coders were uncertain, 38 (17%) were changed by the ONS;
- in 468 cases sent for quality control 19 (4%) were changed by ONS; and
- in 599 related cases, 25 (4%) were changed by ONS.

In all cases where ONS changed a code that TNS BMRB coders or supervisors had been certain about, this was double checked and verified by TNS BMRB upon return of the coding from ONS. Where TNS BMRB did not agree with the ONS decision cases were referred back to ONS for re-checking. Out of all cases referred the ONS code was upheld in 90 cases (6%). In three cases neither the TNS or ONS code was deemed to be correct and a new code was applied.

Fraud cases were coded separately and according to the new coding guidance developed specifically for cases of fraud. As the classification was new a higher proportion of cases were sent to ONS for review (20%)

In total 673 fraud cases were sent to ONS for checking as part of the 2015-16 survey (fraud was only included for six months of the year for half sample).

Of the Victimisation Modules sent to ONS:

- 37 were automatically referred to ONS (Code R). This covers cases of aggravated burglary, duplicate cases and cases where the Victimisation Module was invalid;
- 279 were cases where the TNS-BMRB coder was not certain about the code; which were also automatically referred to ONS for checking (Code U);
- 153 were part of the quality control check (Code Q); and
- 204 were related Victimisation Modules (Code AF). To ensure that those checking offence codes had complete information all the Victimisation Modules belonging to an individual respondent were sent to ONS, rather than just the single Module under consideration.

Of the 673 fraud victimisation Modules sent to ONS 110 cases had their code changed by ONS, representing 16% of all cases sent. This level of change was higher than that seen for non fraud cases and reflects the fact that the classification system was newly introduced to the survey. Many of the changes related to the correct classification of out of scope codes as either out of scoe fraud or out of scope compuer misuse.

The codes changed by ONS according to the categories outlined above were as follows:

- in 20 cases where the module was judged to be invalid by TNS BMRB coders no codes were changed;
- in 17 cases referred as duplicates, three were changed by ONS (18%);
- in 279 cases where TNS BMRB coders were uncertain, 81 (29%) were changed by the ONS;
- in 153 cases sent for quality control 12 (8%) were changed by ONS; and
- in 204 related cases, 13 (6%) were changed by ONS.

Out of all fraud cases referred the ONS code was upheld in 103 cases (15%). In six cases neither the TNS or ONS code was deemed to be correct and a new code was applied.

#### 5.5 Final Offence Code

The SPSS data set delivered to ONS includes all the offence codes that have been given to each Victimisation Module at every stage of the coding process. This allows a complete history of each case to be maintained at all times. The final offence code is derived using a priority ordering system, whereby the Office for National Statistics code takes priority over the supervisor code, which takes priority over the original coder code. The variables supplied to ONS are:

VOFFENCE	Code assigned by the original coder
SOFFENCE	Code assigned by the supervisor
FINLOFFC	Code assigned by the Office for National Statistics research team
OFFENCE	Final offence code

#### 5.6 Checks on final offence code

During the creation of the SPSS data sets some further consistency checks are run on the final offence codes, checking these against key pre-coded variables in the Victimisation Module. The purpose of this is to highlight cases where some of the pre-coded data seems potentially anomalous with the final offence code. Such anomalies can arise because sometimes the information reported by the respondent is not consistent. In particular, there may be inconsistencies between the verbatim description of the incident and subsequent pre-coded questions. While interviewers are carefully briefed to try and be aware of such inconsistencies arising during the interview it is inevitable that some will be missed. Furthermore, consistency checks within the actual questionnaire script to try and pick up anomalies are not possible when a verbatim description is involved.

The consistency checks carried out are as follows:

- Assaults where no force or violence was recorded as having been used
- Burglary where entry to the property was recorded to be authorised
- Car thefts where no car was recorded as being stolen, or where the police were not informed
- Sexual assaults where there was no sexual element to the assault recorded
- Snatch thefts where the item stolen was not recorded as being held or carried
- Other thefts where the item stolen was recorded as being held or carried
- Wounding where no injury was recorded as being sustained
- In scope offences where the offender was perceived by victim to be mentally ill
- Thefts where nothing has been recorded as having been stolen
- Vandalism where no damage has been recorded
- Threats where no threat has been recorded

Further checks were added in 2015-16 to check the consistency of the fraud coding:

- Computer virus reported but offence not classified as a computer virus
- Computer virus but no virus reported
- Unauthorised access to personal information with loss of money reported
- Fraud with no loss but a loss has been reported
- Check that the respondent has been correctly identified as a specific intended victim
- Cyber flag checks where inconsistent reporting is evident
  - Computer virus but no cyber element reported
  - Classified as a cyber crime but no cyber element reported
  - Not classified as a cyber crime but a cyber element reported.

All cases that fail these checks are examined individually by a researcher and, if changes are required the revised code is reviewed by a coding supervisor, Where clear anomalies in the data do exist it is up to the judgment of the researchers to decide which bits of information should be prioritised in arriving at the final agreed offence code. In such cases, greater credence tends to be given to a good verbatim description of the incident over the answers to specific pre-coded questions where for example anomalies may be a result of interviewer mis-keying.

Experience of running these checks shows that most flagged cases do have the correct offence codes, but a few may be amended each quarter as a result of this additional check.

#### 5.7 Variability test

In addition to the verification measures outlined above regular coder variability tests are undertaken by the entire coding team across TNS BMRB and ONS every three to four years. The latest test was conducted in 2014, involving cases from the 2013-14 survey year. The full report is available in the 2013-14 Technical report.

The coder variability experiment measures the variance between coders based on the Kappa index.

Overall, for Adult cases examined, agreement was found to be excellent, with an average score of 0.81 across all the coders. (A score of 1 would be a perfect match for all coders). The vast majority of coders achieved scores greater than 0.75 (classed as excellent). Looking at the consistency between the two organisations, the scores also show high levels of agreement between them.

Agreement was slightly lower for the 10-15 year old coding with an overall score of 0.75. There was a greater degree of variation between organisations with the 10-15 year old coding with the TNS BMRB coders achieving an average score of 0.87 and ONS coders achieving a score of 0.70.

#### 5.8 Other coding

In addition to the Offence coding, coders also looked at all questions where an "other –specify" had been given as an answer. The aim of this exercise, commonly known as back coding, was to see whether the answer given could actually be coded into one of the original pre-coded response options. Coding was done in Ascribe, a Windows based coding package.

Coders were provided with the code frames used in the questionnaire as a starting point. Since most of the questions have been used in previous years of the survey, the code frames were already well developed and there was little need to add new codes to the frames. However, if the coding supervisor felt an extra code was needed, this was flagged up to researchers who approved any changes before they were implemented.

#### 5.9 Coding of occupation and socio-economic classification

Occupation details were collected for all respondents, either relating to their current job or to their last job if the respondent was not currently employed but had worked at some time in the past. Occupational details of the Household Reference Person were also collected, if this was not the same person as the respondent.

Occupations were coded using the Standard Occupational Classification 2010 (SOC2010). All occupational coding was done centrally by specialist coders once the data were returned by interviewers. Coding was done using CASCOT, a package widely used to code occupation, with coders using the manuals for reference.

As well as occupation codes, National Statistics Socio-Economic Classification (NS-SEC) was added to the file for all respondents and Household Reference Persons. NS-SEC categories were derived automatically using an algorithm which was developed from the documentation provided by the Office for National Statistics. Both the NS-SEC operational categories and the NS-SEC analytical categories were derived.

Details of the NS-SEC categories can be found in Appendix I of Volume 2. Coders were provided with the code frames used in the questionnaire as a starting point. Since most of the questions have been used in previous years of the survey, the code frames were already well developed and there was little need to add new codes to the frames. However, if the coding supervisor felt an extra code was needed, this was flagged up to researchers who approved any changes before they were implemented.

#### 5.10 Data processing on the 10 to 15 survey

The offence coding system used for the 10 to 15 year olds survey was based on the system designed for the core survey but was adapted to be suitable for the types of incidents experienced by 10 to 15 year olds. Full details of the development of the coding system can be found in the <u>Development report</u>.

#### 5.11 Office for National Statistics coding for 10 to 15 year old survey

As with the core survey all cases which the coders are uncertain about are referred to ONS for further verification. In addition 10% of all codes which TNS BMRB were certain about were selected and sent to the Office for National Statistics for quality control checking. This is a higher proportion of cases than is sent for the core survey which reflects the fact that the offence coding system has been developed relatively recently and requires additional quality checks to ensure all scenarios have been covered in the guidance. In total 237 cases were sent to ONS for checking as part of the 2015-16 10 to 15 year olds survey..

Of the victimisation modules sent to ONS:

- 30 were automatically referred to ONS. This covers cases including any sexual element, duplicate cases and cases where the victimisation module was invalid;
- 33 cases where the TNS-BMRB coder was not certain about the code;
- 81 were part of the quality control check; and
- 93 were related victimisation modules

Of the 237 victimisation modules referred to ONS 10 had their code changed by ONS, representing 4% of all cases sent.

The codes changed by ONS according to the categories outlined were as follows:

- In two cases an offence was coded for referral to the ONS; as this is not a valid code these were changed;
- In 15 cases referred as duplicates one was changed (7%);
- In 13 cases referred as invalid no cases were changed ;
- Of the 33 cases where TNS BMRB coders were uncertain five were changed (15%);
- Of 81 cases sent as part of the quality control check no cases had their codes changed; and
- Of the 93 related forms two had their codes changed (2%).

In all cases where ONS changed a code the code was reviewed by the TNS-BMRB coders.

#### 5.12 Final offence code

The SPSS set delivered to ONS includes all the offence codes that have been given to each victimisation Module at every stage of the coding process. It also includes an additional variable 'Offclass' which defines whether an incident is classified as a 'relatively minor' incident or as a 'relatively serious' incident. This classification is not part of the coding process but is derived in SPSS based on answers to a small set of questions coded by the coders covering:

- Whether there was INTENTION to steal, hurt or damage
- Whether the victim knew the offender
- The level of any hurt inflicted or cost of items stole or damaged<sup>35</sup>

An additional variable Offclass2 is included in the dataset (added in 2013-14) which classifies the offence as a 'relatively minor' incident or as a 'relatively serious' incident based on the responses to questions about intent added to the questionnaire in April 2012 as well as the coded answers given.

The same consistency checks as are run on the adult data are run on the 10 to 15 data to check the offence code.

<sup>35</sup> The guidelines for defining the level of hurt inflicted or cost of any damage or theft are included in the coding manual in Volume II of the 2011/12 Technical Report (Appendix H, pages 9 and 10).

# 6. Data Output

#### 6.1 Introduction

The main outputs provided to ONS are SPSS data files that are delivered on a quarterly basis. Separate data files are provided for the core sample and the 10 to 15 survey sample. For each type of sample, two data files are provided: the Non Victim File and the Victim File.

The **Non Victim File (NVF)** is produced at the level of the individual respondent and contains all questionnaire data and associated variables, except for information that is collected in the Victimisation Modules. Data for both victims and non-victims are included on the Non Victim File.

The **Victim File (VF)** is produced at the level of the individual incident and contains all the data collected in the Victimisation Modules. Thus, an individual respondent who reported three crimes and completed three Victimisation Modules would have three separate records in the Victim File. All generated Victimisation Modules were included on the file, including cases where the module either had been suspended or where the reference period was out of scope. Although such records contain no information and are not used for analysis, it is useful to keep these on the file to monitor the number of modules that fall into these categories.

#### 6.2 Delivery of data output

During 2015-16 four data files were supplied to ONS on a quarterly basis (April 2015 to March 2016). Data was supplied on a 12 month rolling basis, meaning that each new data delivery was updated by adding the newest quarter of data and deleting the oldest quarter of data.

In addition to the achieved sample, a data file of the entire 2015-16 issued sample was supplied to ONS alongside the annual April 2015-March 2016 data file. This contained information on every issued address such as the final outcome, the screening outcomes, the observational data collected by interviewers, sample variables and geo-demographic variables.

Data was delivered five weeks after the end of each quarterly fieldwork period. Each quarterly data delivery included interviews that were **achieved** in each specific 12 month period, rather than those that were **issued** in a specific time period. Thus, the four sets of quarterly data files delivered in 2015-16 covered all the relevant interviews achieved in the following periods:

- July 2014 June 2015
- October 2014 September 2015
- January 2015- December 2016
- April 2015 March 2016<sup>36</sup>

<sup>36</sup> The April 2015 – March 2016 data file is the data on which the 2015-16 annual crime figures are based and is the basis of the file deposited at the UK Data Archive.

#### 6.3 Content of SPSS data file

The SPSS data files delivered to the Office for National Statistics contain various types of variables. The main types of variables contained on the files are:

- Questionnaire variables (NVF and VF).
- Geo-demographic variables (NVF only). All interviews had a set of pre-specified geodemographic variables attached to them (see Appendix I in Volume 2 for complete listing).
- Observational variables (NVF only). All interviews had the observational data collected by interviewers in the Electronic Contact Sheet attached to them (see Appendix C in Volume 2) These variables are included in the quarterly data files.
- Coding variables (NVF and VF). On the Non Victim File, SOC2010 codes are included for both the respondent and the Household Reference Person. Additionally, NS-SEC for both the respondent and the Household Reference Person are included. On the Victim File, a full set of offence codes are attached as outlined in section 5.1.4.
- Derived variables (NVF and VF). Many derived variables were also added to the file. These consisted primarily of 2 types:
- Flag variables (NVF and VF) that identify, for example, the type of sample, the part-sample module split and sub-split, the date of interview, the month of issue, whether a partial or full interview, whether a victim or non-victim, etc. On the Victim File, flag variables include whether the record was a Long or Short Victimisation Module, whether it was a Series or a Single incident, and whether it was inside or outside the reference period.
- Classificatory variables (NVF only) derived from the data. These included standard classifications such as ONS harmonised variables, banded age groups, ethnic groups, income groups, etc.
- Weighting variables (NVF only).

#### 6.4 Conventions used on SPSS Data Files

In creating the 2015-16 data files great attention was paid to ensuring as much consistency as possible was maintained with previous years of the survey.

#### 6.5 Case identifier

The case identifier was required to be similar to that used on previous years of the survey but also had to be designed to meet the requirements of a continuous survey.

On the Non-Victim File, where each individual case or record represents an individual respondent, the unique case identifier (ROWLABEL) is an 8 or 9 digit number constructed as follows:

	Column position	Values
Year of issue	1-2	1-15
Area point number	3-6	1000-9999
Address number	7-9	01-40
Screen number37	9	0-9

<sup>37</sup> Screen numbers are used to identify the type of sample. '0' indicates a core sample case and '8' indicates an interview with a 10 to 15 year old.

On the Victim File, where each individual case or record represents a Victimisation Module or incident, the unique case identifier (MATCH) is a 10-digit number, which is identical to ROWLABEL with the addition of the Victimisation Module number:

	Column position	Values
Year of issue	1-2	1-15
Area point number	3-6	1000-9999
Address number	7-8	01-40
Screen number <sup>38</sup>	9	0-9
Victimisation Module number	10	1-6

#### 6.6 Naming conventions

Variable names were kept the same as on the previous surveys wherever possible. Consistency is particularly important on a continuous survey where data from one survey year is combined with data from a previous survey year as described in <u>section 6.2</u>. However, this means it is also important to systematically document changes to questions over time to avoid confusion amongst users. For example, small changes to a question from one year to the next (such as adding an extra code to the code frame) can create the possibility of wrongly merging data that appears similar but, in fact, is not. To avoid such situations, the variable names on the 2015-16 data file were changed to reflect any variables where such changes had been introduced between 2013-14 and 2015-16 (see <u>Table 6.1</u>).

<sup>38</sup> Screen numbers are used to identify the type of sample. '0' indicates a core sample case and '8' indicates an interview with a 10 to 15 year old.

#### Table 6.1Changes in variables between 2014-15 and 2015-16 survey

#### Variable changes between 2014-15 survey and 2015-16 survey

This table lists variables which have changed since the last survey year because of some change either to the question wording or the code frame. Where minor changes have occurred to the same question the variables are renamed using a standard convention that links them with the previous variables.

#### Core Non Victim File

Madada	2014 15		Decession for sheet
Module	2014-15 variable	2015-16 variable	Reason for change
Perceptions of crime	CAUSEC2A- CAUSEC2O	CAUSEC3A- CAUSEC3P	Change of code frame
Perceptions of crime	CAUSE2M	CAUSE3M	Change of code frame
Performanc e of the CJS	PCC02	PCC03	Change to question wording
Experience of the CJS	CVCRTISA- CVCRTISG	CVCRTS2A- CVCRTS2i	Change of code frame
Experience of the CJS	CVCRTRL	CVCRTRL2	Change of code frame
Financial Loss and Fraud	QNOTREP2A- QNOTREP2I	QNOTRP2A- QNOTRP2I	Variable name reduced to standard maximum length of 8 characters
Drug use and drinking	YRPAINK	YRPAINK1	Change to question wording
Core Victim File			
Victim Form	TYPSEC4A- TYPSEC4K	TYPSEC5A- TYPSEC5L	Change of code frame
Victim Form	WHAST9A- WHAST9RR	WHAST10A- WHAST10SS	Change of code frame
Victim Form	WHTRS9A- WHTRS9RR	WHTRS10A- WHTRS10SS	Change of code frame

\/: ative	CICCONTA		
Victim	CJSCONTA-	CJSCON1A-	Change of code frame
Form	CJSCONTI	CJSCON1J	
Victim			
Form	READCRT	READCRT3	Change to question filter
Victim	VSWH01A-	VSWHO21A-	Change of code frame
Form	VSWH01H	VSWHO21I	Change of code frame
Victim	VSWHO2A-	VSWHO22A-	Change of code frame
Form	VSWHO2H	VSWH022I	Change of code frame
Victim	VSWHO3A-	VSWHO23A-	Change of code frame
Form	VSWHO3H	VSWHO23I	change of code frame
) (i ativa			
Victim	VSWHO4A-	VSWHO24A-	Change of code frame
Form	VSWHO4H	VSWH024I	
Victim	VSWH05A-	VSWH025A-	
Form	VSWH05H	VSWH025I	Change of code frame
1 01111		101110E51	
Victim	VSWHO6A-	VSWHO26A-	
Form	VSWHO6H	VSWHO26I	Change of code frame
Victim	VSWH07A-	VSWHO27A-	Characa af aada fuarra
Form	VSWH07H	VSWH027I	Change of code frame
Victim	VSWH08A-	VSWHO28A-	Change of code frome
Form	VSWH08H	VSWHO28I	Change of code frame
Victim	VSWHO9A-	VSWHO29A-	Change of code frame
Form	VSWHO9H	VSWHO29I	Change of code frame

# Table 6.2Geo-demographic variables added to the survey in 2015-16Geo-demographic variables

A number of new geo-demographic variables were added to the survey in 2015-16 and these changes are detailed below.

Deleted	Added	Comments
OA_SUP		Removed as more recent version is now
		included in the data
OA_GROUP		Removed as more recent version is now
		included in the data
OA_SUB		Removed as more recent version is now
		included in the data
RURAL		Removed as more recent version is now
		included in the data
WMDIDEC4		Removed as more recent version is now
		included in the data
WINCDEC4		Removed as more recent version is now

		included in the data
WEMPDEC4		Removed as more recent version is now
WEIN DECT		included in the data
WHEADEC4		Removed as more recent version is now
		included in the data
WEDUDEC4		Removed as more recent version is now
		included in the data
WHOUDEC4		Removed as more recent version is now
		included in the data
WACCDEC4		Removed as more recent version is now
		included in the data
WENVDEC4		Removed as more recent version is now
		included in the data
WCRIDEC4		Removed as more recent version is now
		included in the data
	CSPNM1516	New variable in 2015-16 data
	RUR11CAT	New variable in 2015-16 data

#### 6.7 Labelling variables

The changing nature of the 12-month reference period over the course of the year creates a difficulty in labelling certain variables. In the Dimensions script, dates were automatically calculated based on the date of interview and appropriate text substitution was used to ensure that the question always referred to the correct period. In the SPSS data files, which contain data from interviews achieved over the whole year, it is difficult to attach meaningful labels to certain variables since the label is different each month depending upon the month of interview. This issue affects the following variables (all on the Victim File):

- DATESERA-DATESERH
- NQUART1-NQUART5
- QTRRECIN
- QTRINCID

#### 6.8 Don't Know and Refused values

The convention for Don't Know and Refusal codes used in the most recent surveys was maintained on the 2015-16 data. This meant that on the SPSS file the code for Don't Know was '9' for code frames up to 7, '99' for code frames up to 97, and so on. The code for Refused was 8, 98, and so on. Since these are standard codes used throughout the SPSS files, Don't Know and Refused codes are not labelled.

#### 6.9 Multiple response variables

Prior to the 2001 survey, multiple response variables were created as a set of variables equal to the maximum number of answers that could be given. The first variable held the first answer given by the respondent; the second variable held the second answer given, and so on.

After discussions with the Home Office it was agreed from 2001 onwards to present multiple response variables differently from previous years. Multiple response variables were set up as a set of variables equal to the total number of answers possible (including Don't Know and Refused). Each variable was then given a value of '0' or '1' depending on whether the respondent gave that particular answer or not. To denote this change all multiple response variables in 2001 were all named with a letter suffix, rather than the number suffix that was used in previous years of the survey.

An example of a multiple response variable where there are seven possible answer categories, and so seven separate variables, is shown below:

#### AGEOFFA-

#### AGEOFFG [ASK IF NumOff IN (2..4)]

How old were the people who did it? Would you say they were... READ OUT CODE ALL THAT APPLY

- 1. children under school age
- 2. children of school age
- 3. people aged between 16 and 23
- 4. people aged between 25 and 39
- 5. or people aged over 40? Don't Know Refused

(AGEOFFA) (AGEOFFB) (AGEOFFC) (AGEOFFC) (AGEOFFE) (AGEOFFF) (AGEOFFG)

#### 6.10 Data output on the 10 to 15 survey

The data for the 10 to 15 survey is delivered to ONS to the same quarterly timetable as the core survey data. As with the core data two data files are supplied, the Non Victim File and the Victim File.

# 7. Weighting

#### 7.1 Overview of weighting

The following weights have been calculated for the 2015-162015-16 CSEW data:

- A household weight for the core sample
- An individual adult weight for the core sample

In addition to these weights, the Office for National Statistics apply additional calibration weights once they receive the data so that the data reflect the population profile by age and gender within region (see section 7.10).

There are three main reasons for computing weights on the CSEW:

- To compensate for unequal selection probabilities. In the CSEW, different units of analysis (households, individuals, instances of victimisation) have different probabilities of inclusion in the sample due to factors such as over sampling of smaller police force areas, the selection of one dwelling unit at multi-household addresses, the selection of one adult in each household, and the inclusion of a single Victimisation Module to represent a series of similar incidents.
- To compensate for differential response. Differential response rates can arise both between different geographic units (e.g. differences in response between regions or between different types of neighbourhood) and between different age and gender sub-groups.
- To ensure that quarters are equally weighted for analyses that combine data from more than one quarter.

As outlined above a variety of different weights were computed to meet the different analysis requirements. The 2015-16 weighting schedule was the same as the weighting schedule applied on previous surveys.

All weights include a component to compensate for unequal selection probabilities, while components to compensate for differential response and to equally weight quarters are included in some weights but not in others.

#### 7.2 Component weights

The weights constructed for the 2015-16 CSEW dataset were based on a number of components. The following conventions were used for the components that made up the final weights:

- w<sub>1</sub>: weight to compensate for unequal address selection probabilities between police force areas;
- w<sub>2</sub>: 'address non-response weight' to compensate for the observed variation in response rates between different types of neighbourhood;
- w<sub>3</sub>: dwelling unit weight;
- w<sub>4</sub>: individual selection weight to account for different sized households; and
- **numinc**: a weight applied based on the number of incidents in each series

#### 7.3 Police Force Area weight (w<sub>1</sub>)

Under the survey design introduced in 2012 the address sampling probability varies *between* police force areas but not within.

The police force area weight  $(w_1)$  is proportional to one divided by the address sampling probability.

#### 7.4 Address non-response weight (w<sub>2</sub>)

From April 2013, a new 'address non-response' weight replaced the 'inner city' weight as a method for compensating for variation in response rates between different types of area<sup>39</sup>. Previously, each address was classified as 'inner city' or otherwise and a weight ( $w_2$ ) given to responding cases from each class equivalent to one divided by the class response rate. Under the new method, responding cases are given a weight ( $w_2$ ) equivalent to one divided by its estimated response probability.

This estimated response probability is calculated for each responding case based on four factors. These factors were selected following an analysis project carried out in 2012. The four factors are:

- 2011 Census Output Area Classification (twenty-one 'group' level)
- Region
- Proportion of households in local LSOA that contain only one person (Census 2011)
- ONS Urbanity indicator (twelve categories, updated based on Census 2011)

The estimated response probability of each responding case is derived from an analysis of the most recent twelve months of fieldwork assignments for which we have final outcome data for every address. A logistic regression model of response probability is fitted to this data to obtain a set of coefficients which can be applied to each responding case in the released dataset.

The advantage of this new method over the previous 'inner city' weighting method is that a greater variety of factors are taken into account and the result should be a more accurate estimate of response probability for each case.

#### **7.5** Dwelling unit weight (w<sub>3</sub>)

At addresses which had more than one dwelling unit (defined as structurally separate properties which have their own lockable front door, or their own letter boxes, or their own bells but which share the same address), one dwelling unit was selected at random by a computer algorithm built into the electronic contact sheet. The dwelling unit weight is therefore simply the number of dwelling units identified at the address. In the vast majority of cases, the dwelling unit weight is 1. From 2014, this weight also includes a component to reflect any sampling of households within the sampled dwelling unit. This is a rare occurrence but  $w_3$  is technically equal to the number of dwelling units at the address multiplied by the number of households in the sampled dwelling unit.

#### 7.6 Individual weight (w<sub>4</sub>)

At dwelling units that had more than one eligible adult, one adult was selected at random by a computer algorithm built into the electronic contact sheet. This means that the probability of any one individual being selected is inversely proportional to the number of adults in the household. The individual weight is therefore simply the number of adults in the household.

In a small number of cases the number of adults recorded during the doorstep screening process was different from that recorded in the subsequent interview. This was primarily due to either the interviewer being given wrong information by a household member or a change in the household composition

<sup>&</sup>lt;sup>39</sup> Details of how the inner city weight was constructed can be found in the 2006/07 BCS technical report volume 1.

between screening and interview. In such cases the interviewer was not required to re-do the selection process except under very specific circumstances. To ensure that the correct probability of selection is maintained the individual weight is always based on the number of adults recorded at the screening stage and not the number of adults recorded during the interview.

#### 7.7 Series weight (numinc)

This weight is applied when estimating victimisation rates. For single incidents the weight is set to 1. For series incidents, where only details are collected about the most recent incident in the series, the weight equals the number of incidents in the series that fall within the reference period, subject to a maximum limit of  $5^{40}$ .

In estimating victimisation levels, the household or individual weights are multiplied by the numinc weight, according to which offence classification code has been assigned to the incident(s).

#### 7.8 Core sample weights

The main units of analysis used on the CSEW are households, individuals, and incidents of victimisation. Different weights are used depending upon the unit of analysis. In particular, some crimes are considered household crimes (e.g. burglary, vandalism to household property, theft of and from a car) and therefore the main unit of analysis is the household, while others are personal crimes (assault, robbery, sexual offences) and the main unit of analysis is the individual.

For the core sample two design weights are constructed to take account of this difference, namely the **core household weight** and the **core individual weight**. These are calculated as follows:

**wtm2hhu**=  $w_1 * w_2 * w_3$ 

**wtm2inu**=  $w_1 * w_2 * w_3 * w_4$ 

Once the unscaled weights are calculated the frequencies are examined and extreme values are capped where necessary. Although capping of extreme weights may introduce a small amount of bias this is more than compensated for by the improvement in precision that results. The capped weights are called wtm2hhf and wtm2inf respectively.

Finally, the weights are scaled to a notional sample size of 8,750 interviews per quarter. Although an approximately equal number of addresses are normally issued each quarter, the number of interviews actually achieved per quarter varies to some extent. For analyses based upon a 12 month period, the weights are constructed to adjust for differences in sample size by equalising the quarterly achieved sample sizes.

The final scaled weights are called **wtm2hhs** and **wtm2ins** respectively.

#### 7.9 Weighting on the 10 to 15 survey

A logistic regression model is used to estimate the response probability of the selected 10-15 year old, *given* other data known about the child, the household and the sampled adult. The model was originally developed in 2009 but updated in 2015 and includes the parameters listed below. The coefficients applied to each parameter will be updated on a biennial basis.

<sup>40</sup> Although the number of incidents is capped at 5 for weighting purposes, the actual number of reported incidents in each series (uncapped) is also supplied on the data file

Parameters used to estimate response probability for each 10-15 year old:

- Age of sampled child
- Gender of sampled child
- Relationship of sampled child to interviewed adult
- Whether sampled child has own mobile phone
- Marital status of the household reference person (HRP)
- Adult respondent's opinion about the police

The final weight produced for each case in the 10-15 year old sample is equal to the household weight **wtm2hhs** multiplied by the product of (i) the reported number of 10-15 year olds in the household, and (ii) the estimated (conditional) response probability as derived from the logistic regression model described above. The product of component (i) and the dwelling unit component of wtm2hhs (w<sub>3</sub>) is capped at 4 to prevent excessive variation in the design weights. Furthermore, to guard against errors due to model mis-specification, the respondents are ranked by component (ii) and 'binned' into five equal-sized groups. The group mean response probability is used *in place of* the individual response probability when constructing the final weight.

This weight is then scaled so that each interview quarter has the same sum of weights (750) as each other.

#### 7.10 Calibration Weights

Once the data is sent to ONS a further set of calibration weights are calculated and applied to counter the effect of differential response rates between age, gender and regional sub-groups. Results for CSEW surveys from 1996 onwards have all been re-weighted using this technique<sup>41</sup>.

The calibration weighting is designed to make adjustments for known differences in response rates between different age and gender sub-groups and for households with different age and gender composition. For example, a 24 year old male living alone may be less likely to respond to the survey than one living with a partner and a child. The procedure therefore gives different weights to different household types based on their age and gender composition in such a way that the weighted distribution of individuals in the responding households matches the known distribution in the population as a whole.

The effects of applying these weights are generally low for household crime, but are more important for estimates of personal crime, where young respondents generally have much higher crime victimisation rates than average, but also lower response rates to the survey. However, crime trends since the 1996 survey have not been altered to any great extent by the application of calibration weights. The calibrated weight variables are c11hhdwgt (households), c11indivwgt (individuals aged 16+), c11cindivwgt (individuals aged 10-15) and c11weighti (incidents to households or individuals aged 16+).

<sup>41</sup> Calibration weights are applied to the data by ONS after the application of the design weights.

# 8. Comparing key survey variables with the population

In order to assess the representativeness of the final achieved sample this chapter compares the profile of the 2015-16 survey against population estimates for a range of socio-demographic variables. In addition to comparing the age and sex profile of the survey with the latest population estimates comparisons are also made with data from the 2011 Census.

The tables presented below show the survey profile with the appropriate design weights applied (either household or individual weight) but without the application of the calibration weighting. Comparisons are made based on the 2015-16 achieved sample (i.e. from April 2015 to March 2016) rather than on the 2015-16 issued sample.

#### 8.1 Regional distribution of the sample

<u>Table 8.1</u> shows the distribution of households by region in the 2015-16 survey compared with the 2011 Census<sup>42</sup>. This shows that the regional profile of the weighted sample was broadly in line with the population distribution. However, even after weighting a slight under representation of households in London remained in the sample which is probably a reflection of the lower response rates achieved in London compared with the rest of the country.

Cellsus	ensus			
	2015-16 CSEW	2011 Census	Difference	
	%	%	%	
North East	5.1	4.8	0.3	
North West	12.6	12.9	-0.3	
Yorkshire and The Humber	9.4	9.5	-0.1	
East Midlands	8.3	8.1	0.2	
West Midlands	10	9.8	0.2	
East of England	10.5	10.4	0.1	
London	13.2	14.0	-0.8	
South East	15.0	15.2	-0.2	
South West	10.1	9.7	0.4	
Wales	5.9	5.6	0.3	

## Table 8.1 Distribution of households by region in the 2015-16 survey compared with the 2011Census

42 All Census figures presented in the tables are sourced from http://www.nomisweb.co.uk/census/2011

#### 8.2 Age and sex profile of the sample

<u>Table 8.2</u> shows a comparison between the achieved 2015-16 core adult sample and the mid-2015 population estimates for England and Wales by sex and age. This shows that the survey slightly under represented men, those aged under 35, and those aged over 85 (especially women). The profile of the survey by sex and age was similar to previous years. These patterns are fairly typical of large-scale surveys and reflect the lower co-response rates generally achieved among these particular groups.

	2015-16 CSEW	Mid-2015 population Mid-2015 population estimates	Difference
	%	%	%
Sex			
Male	47.2	49.3	-2.2
Female	52.8	50.7	2.2
Men			
16-19	4.5	6.2	-1.7
20-24	6.2	8.5	-2.3
25-34	14.5	17.2	-2.7
35-44	15.8	16.2	-0.4
45-54	18.2	17.5	0.7
55-64	15.8	14.1	1.7
65-74	15.2	11.8	3.4
75-84	7.8	6.5	1.3
85 and over	2.1	2.1	0
Women			
16-19	3.8	5.6	-1.8
20-24	6.4	7.8	-1.4
25-34	15.4	16.4	-1.0
35-44	16.2	15.7	0.5
45-54	19.0	17.2	1.8
55-64	15.6	13.9	1.7
65-74	13.9	12.2	1.7
75-84	7.4	7.7	-0.3
85 and over	2.3	3.7	-1.4

Table 8.2 Age and sex profile of adult sample against mid-2015 population estimates

<u>Table 8.3</u> shows a similar comparison for the 2015-16 10-15 year old survey. This shows that the survey slightly under represented boys.

estimates			
	2015-16 CSEW	Mid-2014 population estimates	Difference
	%	%	%
Sex			
Boys	49.7	51.2	-1.5
Girls	50.3	48.8	1.5
Boys			
10	16.6	17.1	-0.5
11	20.1	16.8	3.3
12	16.4	16.4	0
13	16.1	16.1	0
14	14.8	16.5	-1.7
15	16.1	17.0	-0.9
Girls			
10	17.7	17.2	0.5
11	17.1	16.9	0.2
12	16.1	16.4	-0.3
13	15.1	16.1	-1.0
14	16.8	16.6	0.2
15	17.2	16.9	0.3

# Table 8.3 Age and sex profile of 10 to 15 year old sample against mid-2015 populationestimates

Although not reported here, as already mentioned the age and sex distribution of the achieved sample is further corrected by ONS at the analysis stage through the application of calibration weights so that the age and sex profile of survey respondents match population estimates within each region (see section 7.4).

#### 8.3 Other household characteristics

<u>Table 8.4</u> shows the profile of the 2015-16 survey compared with some key household characteristics from the 2011 Census. This shows that the survey slightly under represented single person households and larger households, which is probably related to the under representation of younger people seen above. Although housing tenure was broadly in line with the Census there was a noticeable under representation of people living in flats. This is almost certainly due to the lower response rate achieved at flats caused by the practical difficulties of negotiating access through entry phone systems.

Table 8.4 Household ch	characteristic of the core adult sample against 2011 Census		
	2015-16 CSEW	2011 Census	sus Difference
	%	%	%
Tenure			
Owned	64.0	64.3	-0.3
Social renting	16.9	17.5	-0.6
Private renting	19.0	18.2	0.8
Accommodation type			
Whole house or bungalow	83.8	78.6	5.2
Flat, maisonette or apartment	15.9	20.7	-4.8
Household size			
1 person household	28.6	30.2	-1.6
2 person household	36.6	34.2	2.4
3 person household	15.5	15.6	-0.1
4 or more person household	19.3	19.9	-0.6
Car ownership			
No cars or vans	22.1	25.6	-3.5
1 car or van	42.9	42.2	0.7
2+ cars or vans	34.7	32.1	2.6

#### Table 8.4 Household characteristic of the core adult sample against 2011 Census

#### 8.4 Other individual characteristics

<u>Table 8.5</u> shows the profile of the 2015-16 survey compared with some key individual characteristics from the 2011 Census. Again the profile of the survey is broadly in line with the Census across all dimensions. There is a slight under representation of those who have never worked or are long-term unemployed. There is also an over representation of those who report having no religion. This is largely caused by gradual increases in the proportion who report having no religion over the last 5 years.

	2015-16 CSEW 2011 Census Diffe		
	%	%	%
NS-SEC <sup>43</sup>			
Higher managerial, administrative and professional occupations	37.7	34.2	3.5
Intermediate occupations	24.0	24.4	-0.4
Routine and manual occupations	34.6	35.3	-0.7
Never worked and long- term unemployed	3.8	6.2	-2.4
Ethnic group			
White	88.3	88.2	0.1
Mixed/multiple ethnic group	1.1	1.2	-0.1
Asian/Asian British	6.8	6.9	-0.1
Black/African/Caribbean /Black British	3.0	2.9	0.1
Other ethnic group	0.9	0.8	0.1
Religion			
No religion	34.0	25.8	8.2
Christian	58.0	66.0	-8.0
Buddhist	0.4	0.5	-0.1
Hindu	1.6	1.6	0
Jewish	0.4	0.5	-0.1
Muslim	4.1	4.3	-0.2
Sikh	0.7	0.8	-0.1
Other	0.6	0.5	0.1

#### Table 8.5 Comparison of individual respondent characteristic against 2011 Census

<sup>43 16-74</sup> year olds only

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