



Notice is given under section 1 of the Statistics of Trade Act 1947



Quarterly Survey of Pension Funds: Income and Expenditure

Please do not discard this important document - your response is legally required

00001 85421
CONTACT NAME
OFFICE FOR NATIONAL STATISTICS
GOVERNMENT BUILDINGS
CARDIFF ROAD
NEWPORT
NP10 8XG
***** EXAMPLE PRINT *****

Please write any changes to your name and address in the box below, using black ink

To be completed for: THE ABOVE PENSION FUND

Please complete and return by 18 July 2016

Dear Sir or Madam,

Please find the Quarter 2 2016 questionnaire for the Quarterly Survey of Pension Funds: Income and Expenditure attached. Please complete for the period Quarter 2 2016 (1 April 2016 to 30 June 2016). If actual figures are not available, please provide informed estimates. Once complete, the questionnaire can be returned by post or fax using the details in the box below.

The information supplied forms part of the UK's National Accounts and Balance of Payments, which are widely used within and outside government. Results from the survey are published in an ONS Statistical Bulletin; Investment by Insurance Companies, Pension Funds and Trusts (MQ5). This publication is freely available on the National Statistics website.

You are required by law to complete this questionnaire. If you do not complete and return this questionnaire by 18 July 2016, penalties may be incurred (under section 4 of the Statistics of Trade Act 1947). All the information you provide is kept strictly confidential. It is illegal for us to reveal your data or identify your business to unauthorised persons.

Thank you for your co-operation,
Office for National Statistics

Questionnaire return details

To return via fax: 01633 652707

To return via post: Please use the prepaid envelope provided which is addressed to:
Office for National Statistics, Government Buildings, Cardiff Road, Newport, NP10 8XG

Contact numbers

Er mwyn gwneud cais am ffurflen Gymraeg (To request a questionnaire in Welsh) 0300 1234 921

If you would like to use our Minicom service for the Deaf 01633 815 044

To complete the questionnaire in Euros 01633 455177

For any other queries, please contact **Colette Lewis** 01633 455177
or go to www.ons.gov.uk/surveys

When contacting the office you may be asked for the following information

Survey code: 112 **Reference number:** 4990 0000 000T **Period:** 201606

- Telephone calls may be recorded for training and quality purposes

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Quarterly Survey of Pension Funds: Income and Expenditure

Purpose of this Survey

The purpose of this survey is to collect information on the income and expenditure of your pension fund. Data from this survey will help to produce a record of the UK Balance of Payments and the measurement of Gross Domestic Product (GDP).

Information Required

This questionnaire is divided into four sections:

Section A asks for information regarding the reporting period

Section B asks for information regarding your income

Section C asks for information regarding your expenditure

Section D provides you with a comments box and asks for your contact details

Basis for Completion

- Please complete this questionnaire on an accruals (accounting) basis rather than a cash basis.
- If any of the pension scheme(s)/fund(s) (for example, additional voluntary contributions or death benefits) is insured, please omit the payments to and receipts from the insurance company from the income and expenditure reported.

How to Complete the Questionnaire

This questionnaire will be scanned, therefore please:

- Complete in **black ink**
- Ensure letters and numbers are PRINTED and centred within each box
- Do not use commas ,
- Do not cross sevens 7 or zeros 0
- Indicate negative figures by a minus sign
- Please leave question blank if not applicable

For example, £(17,000,000) = £

- 1 7 . 0

Coverage

- The United Kingdom consists of England, Scotland, Wales and Northern Ireland but excludes the Channel Islands and the Isle of Man.

Section A - Reporting Period

1. What are the dates of the 3 month period that you will be reporting for:

From: Day Month Year

To: Day Month Year



Section B - Income

Defined benefit schemes are those pension schemes in which the rules specify the benefits to be paid, some based on the final salary and some based on average salary. They are also known as salary related schemes.

Defined contribution schemes are those schemes in which the benefits are determined by the contributions paid into the scheme and the investment return on those contributions. They are also known as money purchase schemes.

Hybrid schemes are those pension schemes with elements of both defined contribution and defined benefit schemes. For example, pensions set as the better of a final salary and a money purchase scheme.

2. What were the contributions for employees to the pension fund for the following:

Millions of £

(a)	Employees (normal) defined benefit?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4149
(b)	Employees (normal) defined contribution?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4150
(c)	Employees (normal) hybrid?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4151
(d)	Total employees (normal)?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4145
	Of (d), what was the value of the overseas component?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4146

3. What were the contributions for employees to the pension fund for the following:

Please report only voluntary additional contributions (AVCs) into your company's pension scheme(s), i.e. in-house AVCs. Exclude any contributions into free-standing AVC schemes (FSAVSS) for which you act as a collector.

Millions of £

(a)	Employees (voluntary additional) defined benefit?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4155
(b)	Employees (voluntary additional) defined contribution?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4156
(c)	Employees (voluntary additional) hybrid?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4157
(d)	Total employees (voluntary additional)?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4147
	Of (d), what was the value of the overseas component?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	4148



4. What were the contributions for employers to the pension fund for the following:

Millions of £

(a)	Employers (normal) defined benefit?	£	<input type="text"/>	·	<input type="text"/>	4152
(b)	Employers (normal) defined contribution?	£	<input type="text"/>	·	<input type="text"/>	4153
(c)	Employers (normal) hybrid?	£	<input type="text"/>	·	<input type="text"/>	4154
(d)	Total employers?	£	<input type="text"/>	·	<input type="text"/>	4103
	Of (d), what was the value of the overseas component?	£	<input type="text"/>	·	<input type="text"/>	4104

5. What were the contributions for employers to the pension fund for the following:

Millions of £

(a)	Employers (special) defined benefit?	£	<input type="text"/>	·	<input type="text"/>	4158
(b)	Employers (special) defined contribution?	£	<input type="text"/>	·	<input type="text"/>	4159
(c)	Employers (special) hybrid?	£	<input type="text"/>	·	<input type="text"/>	4160
(d)	Total employers (special)?	£	<input type="text"/>	·	<input type="text"/>	4105
	Of (d), what was the value of the overseas component?	£	<input type="text"/>	·	<input type="text"/>	4106

6. What was the value of your transfers from other pension schemes?
 Include individual smaller schemes taken over en bloc and miscellaneous transfers

Millions of £

		£	<input type="text"/>	·	<input type="text"/>	4116
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7. For the transfers reported in question 6, how much applies to the following schemes:

Millions of £

(a)	Defined benefit?	£	<input type="text"/>	·	<input type="text"/>	4161
(b)	Defined contribution?	£	<input type="text"/>	·	<input type="text"/>	4162
(c)	Hybrid?	£	<input type="text"/>	·	<input type="text"/>	4163



8. What were the values of income from your investments for the following:
Report gross of tax after deducting any irrecoverable overseas with holding tax.

Millions of £

(a) Rents receivable from properties? £ . 4107

Of (a), what was the value of the overseas component? £ . 4108

For the value reported in question 8 (a), how much applies to the following schemes:

i) Defined benefit? £ . 4177

ii) Defined contribution? £ . 4178

iii) Hybrid? £ . 4179

(b) Dividends received from investments?

Special dividends received as part of the consideration for shares sold during acquisitions and mergers should be counted as dividends only and not part of the transaction price. £

. 4109

Of (b), what was the value of the overseas component? £ . 4110

For the value reported in question 8 (b), how much applies to the following schemes:

i) Defined benefit? £ . 4180

ii) Defined contribution? £ . 4181

iii) Hybrid? £ . 4182

(c) Interest earned on investments? £ . 4111

Of (c), what was the value of the overseas component? £ . 4112

For the value reported in question 8 (c), how much applies to the following schemes:

i) Defined benefit? £ . 4183

ii) Defined contribution? £ . 4184

iii) Hybrid? £ . 4185

(d) Profit less losses realised on sales of investments?

Include those on foreign currency transactions £

. 4113

Of (d), what was the value of the overseas component? £ . 4114

Of (d), what was the value of the foreign currency gains and losses? £ . 4115

For the value reported in question 8 (d), how much applies to the following schemes:

i) Defined benefit? £ . 4186

ii) Defined contribution? £ . 4187

iii) Hybrid? £ . 4188



9. What was the value of your other income not included elsewhere on the questionnaire? Millions of £

Include underwriting commissions earned £ . 4117

Of (9), what was the value of the overseas component?
 Include underwriting commissions earned from an institution based overseas £ . 4118

10. What was your total income? Millions of £

..... £ . 4999

Section C - Expenditure

11. What were the amounts incurred in respect of your members and their dependents for the following: Millions of £

(a) Pensions payable gross of income tax? £ . 5103

(b) Lump sums payable on retirement? £ . 5104

(c) Death benefits? £ . 5105

(d) Refunds of contributions, gross of income tax? £ . 5106

(e) Total incurred? £ . 5107

Of (e), what was the value of the overseas component? £ . 5108

For the value reported in question 11 (e), how much applies to the following schemes:

i) Defined benefit? £ . 5158

ii) Defined contribution? £ . 5159

iii) Hybrid? £ . 5160

12. What was the value of your transfers to any other pension schemes? Millions of £

Include the state scheme (CEP) and (LRP), transfers to personal pension schemes and any miscellaneous transfers £ . 5114

For the value reported in question 12, how much applies to the following schemes:

i) Defined benefit? £ . 5161

ii) Defined contribution? £ . 5162

iii) Hybrid? £ . 5163



Millions of £

13. What was the value of gross interests and rent incurred? · 5109
 Exclude any which is an administrative cost of running the fund £

Millions of £

Of (13), what was the value of the overseas component? £ · 5110

14. What was the value of the total administration costs met by the fund?
 Include:

- Wages and salaries which are defined as the total compensation in cash or in kind payable to all employees e.g. permanent, temporary, full-time, part-time, casual and seasonal workers. State the amount paid before deductions but less any amounts for which you are reimbursed from government sources.
- Employers' National Insurance Contributions
- Energy and materials for business use
- Services for business use
- Investment management fees

Millions of £

Exclude amounts relating to the sponsoring business £ · 5111

Millions of £

Of (14), what was the value of the overseas component? £ · 5112

For the value reported in question 14, how much applies to the following schemes:

i) Defined benefit? £ · 5164

ii) Defined contribution? £ · 5165

iii) Hybrid? £ · 5166

Millions of £

15. What was your tax payable in the UK on dividend and interest receipts? £ · 5113

Millions of £

16. What was the value of your other expenditure? £ · 5118

Millions of £

Of (16), what was the value of the overseas component? £ · 5119

Millions of £

17. What was your total expenditure? £ · 5999

Millions of £

18. What was your surplus/deficit of income over expenditure? £ · 5120



