



Notice is given under section 1 of the Statistics of Trade Act 1947

Quarterly Survey of Pension Funds: Transactions and Balances

 Office for
National Statistics

Please do not discard this important document - your response is legally required

00001 85421
CONTACT NAME
OFFICE FOR NATIONAL STATISTICS
GOVERNMENT BUILDINGS
CARDIFF ROAD
NEWPORT
NP10 8XG
***** EXAMPLE PRINT *****

Please write any changes to your name and address in the box below, using black ink

To be completed for: THE ABOVE PENSION FUND

Please complete and return by 18 July 2016

Dear Sir or Madam,

Please find the Quarter 2 2016 questionnaire for the Quarterly Survey of Pension Funds: Transactions and Balances attached. Please complete for the period Quarter 2 2016 (1 April 2016 to 30 June 2016). If actual figures are not available, please provide informed estimates. Once complete, the questionnaire can be returned by post or fax using the details in the box below.

The information supplied forms part of the UK's National Accounts and Balance of Payments, which are widely used within and outside government. Results from the survey are published in an ONS Statistical Bulletin; Investment by Insurance Companies, Pension Funds and Trusts (MQ5). This publication is freely available on the National Statistics website.

You are required by law to complete this questionnaire. If you do not complete and return this questionnaire by 18 July 2016, penalties may be incurred (under section 4 of the Statistics of Trade Act 1947). All the information you provide is kept strictly confidential. It is illegal for us to reveal your data or identify your business to unauthorised persons.

Thank you for your co-operation,
Office for National Statistics

Questionnaire return details

To return via fax: 01633 652707

To return via post: Please use the prepaid envelope provided which is addressed to:
Office for National Statistics, Government Buildings, Cardiff Road, Newport, NP10 8XG

Contact numbers

Er mwyn gwneud cais am ffurflen Gymraeg (To request a questionnaire in Welsh) 0300 1234 921

If you would like to use our Minicom service for the Deaf 01633 815 044

To complete the questionnaire in Euros 01633 455177

For any other queries, please contact **Colette Lewis** **01633 455177**
or go to www.ons.gov.uk/surveys

When contacting the office you may be asked for the following information

Survey code: 111 **Reference number:** 4990 0000 000T **Period:** 201606

- Telephone calls may be recorded for training and quality purposes

111 0011 85421

49900000000 T 00000000 A 111 201606 001

F P G 1 1 1

111 SO



Quarterly Survey of Pension Funds: Transactions and Balances

Purpose of this Survey

The purpose of this survey is to establish the value of assets and liabilities of, and transactions by, pension funds whose investment policy is managed from within the United Kingdom, including, if possible, funds of subsidiary companies in your group.

Information Required

This questionnaire is divided into five sections:

Section A asks for information regarding the reporting period.

Section B asks for information regarding your short-term assets.

Section C asks for information regarding your transactions.

Section D asks for information regarding your liabilities.

Section E provides you with a comments box and asks for your contact details.

Basis for Completion

- This questionnaire should be completed on an accruals (accounting) rather than a cash basis .

How to Complete the Questionnaire

This questionnaire will be scanned, therefore please:

- Complete in **black ink**
- Ensure letters and numbers are PRINTED and centred within each box
- Do not use commas ,
- Do not cross sevens 7 or zeros 0
- Indicate negative figures by a minus sign
- Please leave question blank if not applicable

For example, £(17,000,000) = £ - 1 7 . 0

Coverage

- The United Kingdom consists of England, Scotland, Wales and Northern Ireland but excludes the Channel Islands and the Isle of Man.

Section A - Reporting Period

1. What are the dates of the 3 month period that you will be reporting for:

From: Day Month Year To: Day Month Year



Section B - Short-Term Assets

Short-term assets are defined as those maturing within one year of their originating date, including loans repayable at lender's option within one year of the date of issue.

Include:

- Money at call and short notice and other short-term loans including longer-term mortgages (other than local authority mortgages) that are repayable by invoking a break clause within the first year.
- Any accrued interest normally included in the market values of short-term assets.

2. What were your holdings of the following liquid assets:

Balance at the End of the Quarter
Millions of £

(a) Cash? 1000
Include notes and coins of all denominations held on the premises. . . . £ .

(b) Balances with banks in the UK: 1001
A UK bank is a bank that trades in the UK. This includes subsidiaries and branches of foreign owned banks.
Balances held with banks include current and deposit accounts including any term deposit, even if for 12 months or more.
Include all foreign currency balances held in the UK.
Do not deduct overdrafts and other borrowing.

Sterling deposits? £ .

Foreign currency deposits? £ . 1002

(c) Balances with overseas banks? 1005
Overseas banks are those located outside the UK.
Do not deduct overdrafts and other borrowing. £ .

(d) Building society deposits: 1003
Do not deduct borrowing.

Sterling deposits? £ .

Foreign currency deposits? £ . 1004

(e) Any other liquid deposits? 1006
For example, money market funds, liquidity funds, cash liquidity funds.
Money market funds are mutual funds that invest in short term debt and debt related investments. Liquidity funds are open ended investment companies which aim to preserve capital by investing in a diversified portfolio of short term debt and debt related investments.
These combine the benefits of same day liquidity with the potential for competitive returns. £ .

Of (e), what was the value of overseas component? £ . 1330



14. What were your acquisitions and disposals of the following types of UK share:

Include:

- Shares in unit trust management companies.
- Permanent interest-bearing shares issued by building societies.
- Securities traded on the Alternative Investment Market (AIM).

	Acquisitions of assets at cost		Disposals of assets	
	Millions of £		Millions of £	
(a) Ordinary share:				
Quoted?.....	£	<input type="text"/> · <input type="text"/> 3042	£	<input type="text"/> · <input type="text"/> 3043
Unquoted?.....	£	<input type="text"/> · <input type="text"/> 3044	£	<input type="text"/> · <input type="text"/> 3045
(b) Preference share:				
Quoted?.....	£	<input type="text"/> · <input type="text"/> 3046	£	<input type="text"/> · <input type="text"/> 3047
Unquoted?.....	£	<input type="text"/> · <input type="text"/> 3048	£	<input type="text"/> · <input type="text"/> 3049

15. What were your acquisitions and disposals of the following overseas corporate securities:

	Acquisitions of assets at cost		Disposals of assets	
	Millions of £		Millions of £	
(a) Overseas bonds?.....	£	<input type="text"/> · <input type="text"/> 3050	£	<input type="text"/> · <input type="text"/> 3051
Of (a), what was the value of the EU component?.....	£	<input type="text"/> · <input type="text"/> 3052	£	<input type="text"/> · <input type="text"/> 3053
(b) Overseas ordinary shares?	£	<input type="text"/> · <input type="text"/> 3054	£	<input type="text"/> · <input type="text"/> 3055
Of (b), what was the value of the EU component?.....	£	<input type="text"/> · <input type="text"/> 3056	£	<input type="text"/> · <input type="text"/> 3057
(c) Overseas preference shares?.....	£	<input type="text"/> · <input type="text"/> 3058	£	<input type="text"/> · <input type="text"/> 3059
Of (c), what was the value of the EU component?.....	£	<input type="text"/> · <input type="text"/> 3060	£	<input type="text"/> · <input type="text"/> 3061



Section D - Liabilities

23. What were your outstanding balances of borrowing from:

Include:

- Foreign currency liabilities on back-to-back loans.
- Overdrafts.

Balance at the End of the Quarter
Millions of £

(a) UK banks:												
Sterling?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	2000
Foreign currency?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	2001
(b) Building societies:												
Sterling?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	2002
Foreign currency?	£	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	·	<input type="text"/>	2003

24. What was your outstanding balance of any other UK borrowing?

Include issues of floating rate notes and preference shares. £

Balance at the End of the Quarter
Millions of £

· 2111

25. What were your outstanding balances of other overseas borrowing:

(a) Banks? £

Balance at the End of the Quarter
Millions of £

· 2007

(b) Other? £

· 2112

Section E - Comments and Contact Details

26. Please use the box below to:

- Describe any significant movements in data between this and previous returns.
- Tell us if you have merged with, or been acquired by, another company.
- Please include details of any significant impacts upon your data resulting from changes in the accounting approaches you have taken.



27. Please provide details of the person we should contact if we have any queries regarding the information returned on this questionnaire:

Contact Name

Position in business

Telephone Number Ext.

Fax Number

E-mail address

Signature _____

Date _____

28. How long has it taken you to complete this questionnaire?

Include any time spent extracting information from your accounting systems and collating data over and above normal accounting operations.

Hours Minutes

Please take a photocopy of this questionnaire for your records and return the original copy to the Office for National Statistics in the envelope provided.



Annex A: List of Overseas European Union Member States

The following countries are overseas members of the European Union:

Austria
Belgium
Bulgaria
Croatia
Cyprus
Czech Republic
Denmark
Estonia
Finland
France
Germany
Greece
Hungary
Irish Republic
Italy
Latvia
Lithuania
Luxembourg
Malta
Netherlands
Poland
Portugal
Romania
Slovakia
Slovenia
Spain
Sweden

