



Notice is given under section 1 of the Statistics of Trade Act 1947

Quarterly Survey of Insurance Companies: General Business Transactions and Balances

 Office for
National Statistics

Please do not discard this important document - your response is legally required

00001 65120
CONTACT NAME
OFFICE FOR NATIONAL STATISTICS
GOVERNMENT BUILDINGS
CARDIFF ROAD
NEWPORT
NP10 8XG
***** EXAMPLE PRINT *****

Please write any changes to your name and address in the box below, using black ink

To be completed for: ALL INSURANCE ACTIVITY

Please complete and return by 15 January 2016

Dear Sir or Madam,

Please find the Quarter 4 2015 questionnaire for the Quarterly Survey of Insurance Companies: General Business Transactions and Balances attached. Please complete for the period Quarter 4 2015 (1 October 2015 to 31 December 2015). If actual figures are not available, please provide informed estimates. Once complete, the questionnaire can be returned by post or fax using the details in the box below.

The information supplied forms part of the UK's National Accounts and Balance of Payments, which are widely used within and outside government. Results from the survey are published in an ONS Statistical Bulletin; Investment by Insurance Companies, Pension Funds and Trusts (MQ5). This publication is freely available on the National Statistics website.

You are required by law to complete this questionnaire. If you do not complete and return this questionnaire by 15 January 2016, penalties may be incurred (under section 4 of the Statistics of Trade Act 1947). All the information you provide is kept strictly confidential. It is illegal for us to reveal your data or identify your business to unauthorised persons.

Thank you for your co-operation,
Office for National Statistics

Questionnaire return details

To return via fax: 01633 652707

To return via post: Please use the prepaid envelope provided which is addressed to:
Office for National Statistics, Government Buildings, Cardiff Road, Newport, NP10 8XG

Contact numbers

Er mwyn gwneud cais am ffurflen Gymraeg (To request a questionnaire in Welsh) 0300 1234 921

If you would like to use our Minicom service for the Deaf 01633 815 044

To complete the questionnaire in Euros 01633 456188

For any other queries, please contact **Jaqui MacKinlay** **01633 456188**
or go to www.ons.gov.uk/surveys

When contacting the office you may be asked for the following information

Survey code: 102

Reference number: 4990 0000 000F

Period: 201512

- Telephone calls may be recorded for training and quality purposes

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Quarterly Survey of Insurance Companies: General Business Transactions and Balances

Purpose of this Survey

The purpose of this survey is to establish the value of assets and liabilities of, and transactions by, insurance companies who undertake general insurance business.

Data from this survey will help to produce a record of the UK Balance of Payments and the measurement of Gross Domestic Product (GDP).

Information Required

This questionnaire is divided into five sections:

Section A asks for information regarding the reporting period.

Section B asks for information regarding your short-term assets.

Section C asks for information regarding your transactions.

Section D asks for information regarding your liabilities.

Section E provides you with a comments box and asks for your contact details.

Basis for Completion

- This questionnaire should be completed on an accruals accounting basis and would normally utilise data from the accounting system that is used for the production of your statutory accounts. Well informed estimates are acceptable. However, it may be convenient for you to obtain data from reporting systems based on, for example, regulatory (PRA) principles.

How to Complete the Questionnaire

This questionnaire will be scanned, therefore please:

- Complete in **black ink**
- Ensure letters and numbers are PRINTED and centred within each box
- Do not use commas ,
- Do not cross sevens 7 or zeros 0
- Indicate negative figures by a minus sign
- Please leave question blank if not applicable

For example, £(17,000,000) = £

- .

Coverage

- Include in this questionnaire all general insurance business within your United Kingdom company or group of companies.
- The United Kingdom consists of England, Scotland, Wales and Northern Ireland but excludes the Channel Islands and the Isle of Man.
- Exclude overseas branches, subsidiaries and agencies.



Section A - Reporting Period

1. What are the dates of the 3 month period that you will be reporting for:

| | | | | | | | |
|-------|---------------------------------------------------------|---------------------------------------------------------|---------------------------------------------------------|-----|---------------------------------------------------------|---------------------------------------------------------|---------------------------------------------------------|
| | Day | Month | Year | | Day | Month | Year |
| From: | <input style="width: 20px; height: 20px;" type="text"/> | <input style="width: 20px; height: 20px;" type="text"/> | <input style="width: 20px; height: 20px;" type="text"/> | | <input style="width: 20px; height: 20px;" type="text"/> | <input style="width: 20px; height: 20px;" type="text"/> | <input style="width: 20px; height: 20px;" type="text"/> |
| | <input style="width: 20px; height: 20px;" type="text"/> | <input style="width: 20px; height: 20px;" type="text"/> | <input style="width: 20px; height: 20px;" type="text"/> | To: | <input style="width: 20px; height: 20px;" type="text"/> | <input style="width: 20px; height: 20px;" type="text"/> | <input style="width: 20px; height: 20px;" type="text"/> |

Section B - Short-Term Assets

Short-term assets are defined as those maturing within one year of their originating date, including loans repayable at lender's option within one year of the date of issue.

Include:

- Money at call and short notice and other short-term loans including longer-term mortgages (other than local authority mortgages) that are repayable by invoking a break clause within the first year.
- Any accrued interest normally included in the market values of short-term assets.

2. What were your holdings of the following liquid assets:

Balance at the End of the Quarter
Millions of £

| | | | | | | | | |
|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---|---------------------------------------------------------|---|---------------------------------------------------------|--|------|
| (a) | Cash? | | £ | <input style="width: 60px; height: 20px;" type="text"/> | · | <input style="width: 20px; height: 20px;" type="text"/> | | 1000 |
| | Include notes and coins of all denominations held on the premises. . . . | | | | | | | |
| (b) | Balances with banks in the UK: | | | | | | | |
| | A UK bank is a bank that trades in the UK. This includes subsidiaries and branches of foreign owned banks. | | | | | | | |
| | Balances held with banks include current and deposit accounts including any term deposit, even if for 12 months or more. | | | | | | | |
| | Include all foreign currency balances held in the UK. | | | | | | | |
| | Do not deduct overdrafts and other borrowing. | | | | | | | |
| | Sterling deposits? | | £ | <input style="width: 60px; height: 20px;" type="text"/> | · | <input style="width: 20px; height: 20px;" type="text"/> | | 1001 |
| | Foreign currency deposits? | | £ | <input style="width: 60px; height: 20px;" type="text"/> | · | <input style="width: 20px; height: 20px;" type="text"/> | | 1002 |
| (c) | Balances with overseas banks? | | | | | | | |
| | Overseas banks are those located outside the UK. | | | | | | | |
| | Do not deduct overdrafts and other borrowing. | | | | | | | |
| | | | £ | <input style="width: 60px; height: 20px;" type="text"/> | · | <input style="width: 20px; height: 20px;" type="text"/> | | 1005 |
| (d) | Building society deposits: | | | | | | | |
| | Do not deduct borrowing. | | | | | | | |
| | Sterling deposits? | | £ | <input style="width: 60px; height: 20px;" type="text"/> | · | <input style="width: 20px; height: 20px;" type="text"/> | | 1003 |
| | Foreign currency deposits? | | £ | <input style="width: 60px; height: 20px;" type="text"/> | · | <input style="width: 20px; height: 20px;" type="text"/> | | 1004 |
| (e) | Any other liquid deposits? | | | | | | | |
| | For example, money market funds, liquidity funds, cash liquidity funds. | | | | | | | |
| | Money market funds are mutual funds that invest in short term debt and debt related investments. Liquidity funds are open ended investment companies which aim to preserve capital by investing in a diversified portfolio of short term debt and debt related investments. | | | | | | | |
| | These combine the benefits of same day liquidity with the potential for competitive returns. | | | | | | | |
| | | | £ | <input style="width: 60px; height: 20px;" type="text"/> | · | <input style="width: 20px; height: 20px;" type="text"/> | | 1006 |
| | Of (e), what was the value of overseas component? | | £ | <input style="width: 60px; height: 20px;" type="text"/> | · | <input style="width: 20px; height: 20px;" type="text"/> | | 1330 |



9. What were your acquisitions and disposals of UK Government securities that were:

Exclude treasury bills.

| | Acquisitions of assets at cost | | Disposals of assets | |
|-------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------|------------------------|-----------------------------|
| | Millions of £ | | Millions of £ | |
| (a) Denominated in sterling: 0 up to 15 years maturity? . . . £ | <input type="text"/> | · <input type="text"/> 3238 | £ <input type="text"/> | · <input type="text"/> 3239 |
| (b) Denominated in sterling: Over 15 years and undated maturity? £ | <input type="text"/> | · <input type="text"/> 3240 | £ <input type="text"/> | · <input type="text"/> 3241 |
| (c) Index linked securities denominated in sterling? . . . £ | <input type="text"/> | · <input type="text"/> 3014 | £ <input type="text"/> | · <input type="text"/> 3015 |
| (d) Denominated in foreign currency? Including foreign currency index linked securities. £ | <input type="text"/> | · <input type="text"/> 3016 | £ <input type="text"/> | · <input type="text"/> 3017 |

10. What were your acquisitions and disposals of UK public sector investments for the following:

| | Acquisitions of assets at cost | | Disposals of assets | |
|---------------------------------------------------------------------|--------------------------------|-----------------------------|------------------------|-----------------------------|
| | Millions of £ | | Millions of £ | |
| (a) Local authority securities and negotiable bonds? £ | <input type="text"/> | · <input type="text"/> 3018 | £ <input type="text"/> | · <input type="text"/> 3019 |
| (b) Local authority loans and mortgages? £ | <input type="text"/> | · <input type="text"/> 3020 | £ <input type="text"/> | · <input type="text"/> 3021 |
| (c) Public corporation loans and mortgages? £ | <input type="text"/> | · <input type="text"/> 3022 | £ <input type="text"/> | · <input type="text"/> 3023 |
| (d) Other UK public sector investments? £ | <input type="text"/> | · <input type="text"/> 3024 | £ <input type="text"/> | · <input type="text"/> 3025 |

11. What were your acquisitions and disposals of:

Include all transactions regardless of currency or location.

| | Acquisitions of assets at cost | | Disposals of assets | |
|--------------------------------------------------------------------------------|--------------------------------|-----------------------------|------------------------|-----------------------------|
| | Millions of £ | | Millions of £ | |
| (a) Overseas government provincial and municipal securities? £ | <input type="text"/> | · <input type="text"/> 3026 | £ <input type="text"/> | · <input type="text"/> 3027 |
| Of (a), what was the value of the EU component? £ | <input type="text"/> | · <input type="text"/> 3028 | £ <input type="text"/> | · <input type="text"/> 3029 |

12. What were your acquisitions and disposals of UK corporate sterling bonds issued by:

A UK corporate bond is a certificate of debt that is issued by a corporation in order to raise money. It makes a promise to pay a specified sum of money, either at a fixed time in the future or during a future time period, and carries interest, either at a fixed or variable rate.

| | Acquisitions of assets at cost | | Disposals of assets | |
|--------------------------------------------------|--------------------------------|-----------------------------|------------------------|-----------------------------|
| | Millions of £ | | Millions of £ | |
| (a) Banks and building societies? £ | <input type="text"/> | · <input type="text"/> 3216 | £ <input type="text"/> | · <input type="text"/> 3217 |
| (b) Other UK corporates? £ | <input type="text"/> | · <input type="text"/> 3034 | £ <input type="text"/> | · <input type="text"/> 3035 |



20. What were your acquisitions and disposals of direct investment assets for the following:

Direct investment is a long-term relationship between two entities. It is held to exist where the parent owns 10% or more of the ordinary shares or voting power of an incorporated enterprise or the equivalent of an unincorporated enterprise. It involves both the initial transaction between the two entities and all subsequent capital transactions between them and among affiliated enterprises, both incorporated and unincorporated.

Report the value of acquisitions and disposals in companies that are attributable to you, and for your overseas branches and agencies, give the value of loans made and repayments received on branch/head office account. Include:

- The value of loans from and repayments to the UK reporting company but do not deduct borrowing by the UK reporting company from these concerns.
- Inter-company loans made and repayments received.

| | Assets acquired (i.e. loans made) during the quarter (at cost) | | Disposals of assets (i.e. loans settled) during the quarter (at settlement amount) | |
|--------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| | Millions of £ | | Millions of £ | |
| (a) Non-insurance subsidiary and associate companies in the UK? | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3104 | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3105 |
| (b) UK insurance subsidiary, associate and holding companies, where consolidated figures are not included in this questionnaire? | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3106 | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3107 |
| (c) Overseas subsidiaries, associates, branches and agencies? | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3108 | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3109 |

21. What were your transactions in overseas loans for the following:

Include loans to overseas parent companies' head office, fellow subsidiaries and associates not included elsewhere in this questionnaire.

Exclude:

- Assets acquired and/or realised that have been reported within question 20.
- Loans covered by Export Credit Guarantee Department (ECGD) specific bank guarantees or ECGD buyer credit guarantees.

| | Assets acquired (i.e. loans made) during the quarter (at cost) | | Disposals of assets (i.e. loans settled) during the quarter (at settlement amount) | |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| | Millions of £ | | Millions of £ | |
| (a) Parent companies? | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3084 | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3085 |
| (b) Other overseas loans? | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3086 | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> | 3087 |



25. What were your outstanding balances of overseas borrowing from: **Balance at the End of the Quarter**
Millions of £

(a) Banks? £ · 2007

(b) Related companies overseas?
Include borrowing from parent, subsidiary and associate companies,
and other related concerns not included elsewhere in this questionnaire . . . £ · 2008

26. What were your outstanding balances of other overseas borrowing for: **Balance at the End of the Quarter**
Millions of £

(a) One year or less? £ · 2009

(b) More than a year? £ · 2010

27. What were your issues and redemptions for cash of share capital of companies included in this questionnaire?

| | Issues Millions of £ | | Redemptions Millions of £ | |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Gross of dealing costs. | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> · <input type="text"/> | 3116 | £ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> · <input type="text"/> | 3117 |

Section E - Comments and Contact Details

- 28. Please use the box below to:**
- Describe any significant movements in data between this and previous returns.
 - Tell us if you have merged with, or been acquired by, another company.
 - Please include details of any significant impacts upon your data resulting from changes in the accounting approaches you have taken.

29. Please provide details of the person we should contact if we have any queries regarding the information returned on this questionnaire:

Contact Name

Position in business

Telephone Number Ext.

Fax Number

E-mail address

Signature _____

Date _____

30. How long has it taken you to complete this questionnaire?

Include any time spent extracting information from your accounting systems and collating data over and above normal accounting operations.

Hours Minutes

Please take a photocopy of this questionnaire for your records and return the original copy to the Office for National Statistics in the envelope provided.



Annex A: List of Overseas European Union Member States

The following countries are overseas members of the European Union:

Austria
Belgium
Bulgaria
Croatia
Cyprus
Czech Republic
Denmark
Estonia
Finland
France
Germany
Greece
Hungary
Irish Republic
Italy
Latvia
Lithuania
Luxembourg
Malta
Netherlands
Poland
Portugal
Romania
Slovakia
Slovenia
Spain
Sweden

